

eTrust Audit

Release Notes

r8 SP2 CR1



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CA Product References

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- eTrust Security Command Center (SCC)
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Contents

Chapter 1: Welcome	9
Chapter 2: Operating System Support	11
eTrust Audit Client	11
eTrust Audit Administrator (Policy Manager)	15
eTrust Audit Data Tools	16
eTrust Audit Reporter and Viewer	19
Chapter 3: System Requirements	23
System Requirements	23
Chapter 4: Prerequisites	27
Health Monitor Requires SQL Server Agent	27
Solaris Policy Manager Utilities Require DBA Privilege for Oracle Databases	28
Distribution Server Service Does Not Start after System Start or Restart	28
Policy Manager Database Connection Fails	29
Supported Report Formats	29
Error Message during AuditShared Installation on Solaris Systems	30
Chapter 5: Installation Considerations	31
Data Tools and Client May Not Install iGateway Package from DVD Media	31
Manually Register the Audit Components with an Embedded IAM Server	32
Memory Leak May Occur When eIAM and Policy Manager Share a Server	34
Policy Manager Installation Requires Web Server Domain Name	35
Cannot Connect to Remote Policy Manager Database with Custom Port during Installation	35
Cannot Connect to Remote Collector Database with Custom Port during Installation	36
Migrated User Policies Do Not Display in Legacy Policies Folder	37
Unable to Start eTrust Directory Router Due to Port Conflicts with CA License Service	37
Reporter and Viewer Job, Log, and Filter Migration	38
Job Scheduling Changes	38
Changing the Reporter and Viewer's Web Servers (Solaris)	40
Health Monitor Requires Initialization Parameter - Oracle	40
Silent Modify Option for Windows 2003 Is Not Supported	40
Cannot Install Client as "su root" on SuSe Linux	41
Unable to See Events after Upgrade of Oracle SAPI Recorder	41

Unable to Upgrade Certain SAPI Recorders	42
Removing Obsolete SAPI Recorders on UNIX Systems	42
Chapter 6: General Considerations	43
Minimum Database Permissions to Run eTrust Audit	44
Configuration File and Registry File Backups	45
Using Static Ports with eTrust Audit	45
Connection to eIAM Fails When Using Non-English Collector or Policy Manager Database Name	46
Allowing Non-Administrator Access	46
How to Create and Use Custom Report Templates	46
iGateway Service on eIAM Server Uses Too Much Memory	47
Chapter 7: New Features	49
Web-based User Interface	49
Updated Configuration Interface	50
New Policy Manager Interface	52
New Reporter Interface	54
New Viewer Interface	55
New Health Monitor Interface	56
Policy Manager Change Control Support	57
Centralized Management of Message Parsing (MP) Files	57
Improved Policy Distribution	58
Audit Client Status Polling	59
Additional Client Platform Support	59
Enhanced Policy Manager Support	59
Native Packaging for Solaris, and Red Hat and SUSE Linux	60
New Policy Conversion, Import, and Export Utilities	60
Chapter 8: Changes to Existing Features	61
Advanced Encryption Standard (AES) Support	61
UNIX SAPI Recorders Available Separately	62
Visualizer Supported Only on Windows	62
Client Support Changes	62
Microsoft Access Not Supported	62
Post-Collection Utility Supported Only on Windows	63
Chapter 9: Known Issues	65
eTrust Audit Client	65
Received "Failed to Find Local Router" Error Using Generic Recorder	65
Router Fails to Start on VMware ESX Server	66

Received "Could Not Stop iTechnology iGateway 4.5 Service" Error	66
Received "No Version Information" Message from iGateway	67
Unable to Stop Recorder Installation	67
eTrust Audit SNMP Recorder Cannot Fetch Events	67
Using eTrust Access Control as Logname for Viewer Does Not Display Events	68
Custom Silent Install Fails When the iRecorder Option Is Not Selected	68
Cannot Modify eTrust Audit Client During Silent Installation	68
acrecorderd Service Does Not Start after Client Install	69
eTrust Audit Administrator	69
Audit Administrator Interface May Become Inoperative While Viewing Reports or Graphs	69
Computer Becomes Unresponsive When Running Visualizer Queries	70
pkgadd Cannot Access Oracle Home	70
Error Setting Up Connection to eTrust Audit Database	71
Configuring Data Sources Runs Slowly	71
Web Browser Times Out When Accessing Oracle	71
eTrust Audit Data Tools	72
Scheduled Reports Do Not Show Scheduled Jobs	72
Post-Collection Utility Cannot Be Installed	72
Collector Service Fails to Start	72
Cannot Insert Events in the Collector Database	73
Health Monitor Audit Node and Policy Are Obsolete	73
Health Monitor Event Source May Not Display in Security Monitor or Collector Database	74
eTrust Audit Policy Manager	74
Cannot Access Policy Manager Database after Upgrade	75
Policy Manager Logs Display Reporter Log Entries	76
Default Policies Folder Not Visible in Policy Manager	76
iGateway Service May Stop during MP File Import	77
Import of Custom AN Type Appears Unsuccessful	78
Policy Distribution to Disabled Node May Require Manual Reactivation	78
Distribution Server is not Automatically Started on System Restart	79
iTechPoz Services Set to Manual After Upgrade	79
eTrust Audit Reporter and Viewer	79
Reporter and Viewer Cannot Connect to eTrust Audit Databases	80
Insufficient Privilege to Access Reporter and Viewer on Windows 2003	81
Unable to Start Reporter or Viewer with service.sh Script	82
Cannot Display Desired Maximum Rows in Viewer	82
Authentication Fails for Reporter or Viewer	83
Improper Results When Using Viewer Filters	83
Viewer Slows Down When Using "Show all events" Filter	84
Generated RTF Reports Do Not Open Directly	84
Report Templates Not Localized in eTrust Audit Reporter	85
Export a Report	85
Viewer Report Displays Improper Page Numbers	85

Reports Not Generated at Scheduled Time	86
General.....	86
Unable to Set Up Services after eTrust Audit Install or Upgrade	86
Removal of Third-Party Software Disables Portmapper Service	87
iGateway Service Does Not Start Successfully on Solaris	87
Watchdog Service Does Not Start Properly	88
eTrust Audit Services Fail to Stop	88
Mixed Versions of eTrust Audit Components Do Not Function Properly after Upgrading	88
acstat Utility Returns "Cannot find file (filename)" Error Message.....	89
ac_set_env.sh Command Fails	89
Knowledge Base	89
Changes to iRecorder Not Reflected in List of iRecorder Hosts	90
Microsoft SQL Server Connection Fails	90
Newly Generated Events Not Displayed	91
Harvesting Events Fails with eTrust VPN or eTrust Access Control Installed	91
Data Tools Uninstall Removes eAudit_DSN ODBC Data Source	91
Mail Delivery Problems Using SMTP	92
Chapter 10: Fixed Issues	93
Test Fixes	93
Fixed Issues List	93
Chapter 11: Documentation	95
Bookshelf	95
How to Use the Bookshelf	95
Chapter 12: Third-Party Acknowledgements	97
Apache Licenses	97
NET-SNMP 5.1.2	102
OpenSSL Toolkit 0.9.8.d.....	105
Sun JDK 1.4.2_13.....	108
Sun JDK 1.6.0	121

Chapter 1: Welcome

Welcome to eTrust Audit r8 SP2 CR1. This document contains information about product installation considerations, operating system support, new features, known and fixed issues, and information about contacting CA Technical Support.

Chapter 2: Operating System Support

This section contains the following topics:

[eTrust Audit Client](#) (see page 11)

[eTrust Audit Administrator \(Policy Manager\)](#) (see page 15)

[eTrust Audit Data Tools](#) (see page 16)

[eTrust Audit Reporter and Viewer](#) (see page 19)

eTrust Audit Client

The following are the supported operating systems for eTrust Audit Client:

Note: If you are installing the Client on a Windows system, and plan to install on a partition other than the system partition, you must have at least 200 MB free space on the system partition, and at least 200 MB free space on the target partition.

Platform	Platform Version	Processor	Patch Level	Prerequisites and Notes
Windows	XP Professional	x86	Service Pack 2	
	XP Home			
	Server 2003, Standard Edition	x86	Service Pack 1, Release 2	
	Server 2003, Enterprise Edition	x64 (AMD64, EM64T)	Service Pack 1, Release 2	
	Server 2008, Standard Edition	x86	Service Pack 1	
	Server 2008, Enterprise Edition	x86	Service Pack 1	
Solaris	8	SPARC	Patches 108434 and 109147, or cluster pack	
	9	SPARC		
	10	SPARC		
	Including running in a Solaris Logical Domain (LDOM) and Solaris whole root zone			

Platform	Platform Version	Processor	Patch Level	Prerequisites and Notes
Red Hat Linux	EL 3.0	x86	Kernel 2.4.21-4 EL	The following rpm packages need to be installed: compat-libstdc++-33-3.2.3-47.3.i386.rpm compat-gcc-32-3.2.3-47.3.i386.rpm compat-gcc-32-c++-3.2.3-47.3.i386.rpm glibc-devel-2.3.4-2.i386.rpm glibc-headers-2.3.4-2.i386.rpm glibc-kernheaders-2.4-9.1.87.i386.rpm
	EL 4.0	x86	Kernel 2.6.9-5.ELsmp	The following rpm packages need to be installed: compat-libstdc++-33-3.2.3-47.3.i386.rpm compat-gcc-32-3.2.3-47.3.i386.rpm compat-gcc-32-c++-3.2.3-47.3.i386.rpm glibc-devel-2.3.4-2.i386.rpm glibc-headers-2.3.4-2.i386.rpm glibc-kernheaders-2.4-9.1.87.i386.rpm
	EL 4.0 U3	x64 (AMD64 / EM64T)	Kernel 2.6.9-34.0.1.EL smp	32-bit libstdc++.so.5
	EL 5.0	x86		
	EL 5.0	x64 (AMD64 / EM64T)		
	EL 5.1	x86	Kernel 2.6.18-53.el5	The following rpm packages need to be installed: compat-libstdc++-33-3.2.3-47.3.i386.rpm compat-gcc-32-3.2.3-47.3.i386.rpm compat-gcc-32-c++-3.2.3-

Platform	Platform Version	Processor	Patch Level	Prerequisites and Notes
				47.3.i386.rpm glibc-devel-2.3.4-2.i386.rpm glibc-headers-2.3.4-2.i386.rpm glibc-kernheaders-2.4-9.1.87.i386.rpm
	EL 5.1	x64 (AMD64 / EM64T)	Kernel 2.6.18-53.el5	
SuSe Linux	ES 8	x86	Kernel 2.4.21-138	Same binaries as for Red Hat Linux.
	ES 9	x86	Kernel 2.6.5-7.97 Kernel 2.6.5-7.282	
	ES 8	OS/390 - 31 bit	Kernel 2.4.19-3-S390	No iRouter support - Event Routing from remote iRecorders is not possible.
	ES 9	OS/390 - 31 bit	Kernel 2.6.5-7.97-S390	
	ES 8	z/OS - 64 bit	Kernel 2.4.19-3-S390x	
	ES 9	z/OS - 64 bit	Kernel 2.6.5-7.97	No iRouter support - Event Routing from remote iRecorders is not possible.
	ES 10	z/OS - 64 bit	Kernel 2.6.16.46-0.12	No iRouter support - Event Routing from remote iRecorders is not possible.
	ES 10 SP1	x86	Kernel 2.6.16.46-0.12	
HP-UX	11.11	PA-RISC	PHSS_26263 PHCO_31903 PHCO_31923	No iRouter support on 11iv2 (11.23) on Intel Itanium IA-64 (64-bit native mode) - Event Routing from remote iRecorders is not possible iGateway and eTrust Audit Recorders for iPlanet and Netscape Web Servers are

Platform	Platform Version	Processor	Patch Level	Prerequisites and Notes
				not supported eTrust Audit Client distribution through Unicenter Software Delivery (USA 4.0 SP1) on HPUX 11iv2 (IA-64) is not supported
	11iv2 (11.23)	PA-RISC		(same)
	11iv3 (11.31)	PA-RISC		(same)
	11iv2 (11.23)	Intel Itanium IA-64, 64-bit native mode		(same)
	11iv3 (11.31)	Intel Itanium IA-64, 32-bit emulation mode		(same)
AIX	5.2	POWER		
	5.3	POWER with LPAR support	5300-05	The recommended Technology Level is 5 (5300-05).
	6.1	POWER with LPAR support		
VMware ESX Server	3.0.2	3.0, 3.0.1	Kernel 2.4.21-37.0.2E	
	3.5.0		L	

eTrust Audit Administrator (Policy Manager)

The following are the supported operating systems for eTrust Audit Administrator (Policy Manager):

Important! eTrust Audit Administrator (Policy Manager) requires Microsoft Internet Explorer 6.0 with Service Pack 1 or Internet Explorer 7.

The Policy Manager for Windows computers also requires the Microsoft SQL Server JDBC driver v1.1 regardless of the version of Microsoft SQL Server you are using. This driver is installed automatically as part of the Policy Manager installation.

Note: If you are installing the Policy Manager on a Windows system, and plan to install on a partition other than the system partition, you must have at least 800 MB free space on the system partition, and at least 1 GB (1000 MB) free space on the target partition.

Platform	Platform Version	Patch Level	Prerequisites and Notes
Solaris	10 (SPARC) Includes running in a Solaris Logical Domain (LDOM) and Solaris whole root zone.		Requires Oracle 10g (10.2.0.2 or later). Supports Oracle 11g. Supports Microsoft Internet Explorer 6.0 SP1 or 7. Use the Sun JDK 1.6 during the Policy Manager installation.
Windows	2003 Server Standard Edition	Service Pack 1 Service Pack 2, R2	Requires the Windows Installer 3.1 v2 (msi.dll version 3.1.4000.2435). The installation package is located in the Winnt\Shared directory. Use the WindowsServer2003-KB898715-x86-enu.exe package for this Windows version. Supports Microsoft Internet Explorer 6.0 SP1 or 7. Microsoft SQL Server 2000 SP4, or SQL Server 2005 with TCP/IP protocol support enabled.
Windows	2003 Enterprise Edition (x86)	Service Pack 1 Service Pack 2, R2	Requires the Windows Installer 3.1 v2 (msi.dll version 3.1.4000.2435). The installation package is located in the Winnt\Shared directory. Use the WindowsServer2003-KB898715-x86-enu.exe package for this Windows version.

Platform	Platform Version	Patch Level	Prerequisites and Notes
			<p>nu.exe package for this Windows version.</p> <p>Supports Microsoft Internet Explorer 6.0 SP1 or 7.</p> <p>Microsoft SQL Server 2000 SP4, or SQL Server 2005 with TCP/IP protocol support enabled.</p>

eTrust Audit Data Tools

The following are the supported operating environments for the eTrust Audit Data Tools:

Note: If you are installing the Data Tools on a Windows system, and plan to install on a partition other than the system partition, you must have at least 700 MB free space on the system partition, and at least 1 GB (1000 MB) free space on the target partition.

Platform	Platform Version	Processor	Patch Level	Prerequisites and Notes
Windows	2003 Server Standard Edition	x86	Service Pack 1 Service Pack 2, R2	<p>Security Monitor runs only on Windows platforms.</p> <p>Supports only Microsoft SQL Server 2000 SP4 or Microsoft SQL Server 2005 databases.</p> <p>Microsoft SQL Server agent must be running to use Health Monitor.</p> <p>Supports only Oracle 9i Release 2 and 10g databases.</p>

Platform	Platform Version	Processor	Patch Level	Prerequisites and Notes
	2003 Enterprise Edition	x86	Service Pack 1 Service Pack 2, R2	Security Monitor runs only on Windows platforms. Supports only Microsoft SQL Server 2000 SP4 or Microsoft SQL Server 2005 databases. Microsoft SQL Server agent must be running to use Health Monitor. Supports only Oracle 9i Release 2 and 10g databases.
Solaris	8	SPARC	Patches 108434 and 109147, or cluster pack	Audit Reporter and Viewer use IBM WebSphere Application Server 6.0 or Tomcat 5.0.28. If you plan to use WebSphere, install it before running the Data Tools installation. Tomcat is installed automatically if selected during the Data Tools installation. Solaris 8 supports only Oracle 9i Release 2 databases.
	9	SPARC		Oracle 9i Release 2 or 10g databases. Java 1.6 runtime location must be in path.
	10 Including running in a Solaris Logical Domain (LDOM) and Solaris whole root	SPARC		Oracle 9i Release, 10g, or 11g databases Java 1.6 runtime location must be in path.

Platform	Platform Version	Processor	Patch Level	Prerequisites and Notes
zone.				
HP-UX	11.11	PA-RISC	PHSS_26263 PHCO_31903 PHCO_31923	Oracle 9i Release 2 or 10g Health Monitor is supported only on PA-RISC systems. Do not install on the same system as the eTrust Audit Client. Oracle 11g is not supported on 32 bit emulation mode for IA-64.
	11iv2 (11.23)	PA-RISC		(same)
	11iv2 (11.31)	PA-RISC		(same)
	11iv2 (11.23)	Intel Itanium IA-64		(same)
	11iv2 (11.23)	Intel Itanium IA-64 (32-bit emulation mode)		(same)
	11iv3 (11.31)	Intel Itanium IA-64: 64-bit Native Mode		(same)
	11iv3 (11.31)	Intel Itanium IA-64: 32-bit Emulation Mode	Oracle 11g is not supported for 32-bit emulation mode.	(same)
AIX	5.2	POWER		AIX 5.2 supports only Oracle 9i. For Oracle 9i Release 2

Platform	Platform Version	Processor	Patch Level	Prerequisites and Notes
				or 10g, enable 64-bit operation in the AIX kernel using AIX <i>smit</i> system administration tool.
5.3		POWER	5300-05	The recommended Technology Level is 5 (5300-05). AIX 5.2 supports only Oracle 9i.
6.1		POWER		Supports Oracle 11g.

eTrust Audit Reporter and Viewer

The following are the supported operating systems for eTrust Audit Reporter and Viewer:

Note: The Reporter and Viewer for Windows systems requires the Microsoft SQL Server JDBC driver v1.1 regardless of the version of Microsoft SQL Server you are using. This driver is installed automatically as part of the Reporter and Viewer installation.

Note: If you are installing the Reporter and Viewer on a Windows system, and plan to install on a partition other than the system partition, you must have at least 700 MB free space on the system partition, and at least 1 GB (1000 MB) free space on the target partition.

Platform	Platform Version	Processor	Patch Level	Prerequisites and Notes
Windows	2003 Server Standard Edition	x86	Service Pack 1	Supports only Microsoft SQL Server 2000 SP4 or SQL Server 2005 databases. Supports only Oracle 9i Release 2 or 10g databases. Audit Reporter uses Tomcat 5.0.28 which is installed automatically.

Platform	Platform Version	Processor	Patch Level	Prerequisites and Notes
	2003 Enterprise Edition	x86	Service Pack 2, R2	<p>Supports only Microsoft SQL Server 2000 SP4 or SQL Server 2005 databases.</p> <p>Supports only Oracle 9i Release 2 or 10g databases.</p> <p>Audit Reporter uses Tomcat 5.0.28 which is installed automatically.</p>
Solaris	8	SPARC	<p>Patches 108434 and 109147, or cluster pack</p>	<p>Reporter and Viewer require Java 1.4.2_13. The Java runtime location must also be in the path.</p> <p>Audit Reporter and Viewer use IBM WebSphere Application Server 6.0 or Tomcat 5.0.28.</p> <p>If you plan to use WebSphere, install it before running the Reporter and Viewer installation.</p> <p>Tomcat is installed automatically if selected during the Reporter and Viewer installation.</p> <p>On Solaris 8, Reporter and Viewer supports only an Oracle 9i Release 2 database.</p>

Platform	Platform Version	Processor	Patch Level	Prerequisites and Notes
	9	SPARC		<p>Reporter and Viewer require Java 1.4.2_13. The Java runtime location must also be in the path.</p> <p>Audit Viewer and Reporter use IBM WebSphere Application Server 6.0 or Tomcat 5.0.28.</p> <p>If you plan to use WebSphere, install it before running the Reporter and Viewer installation.</p> <p>Tomcat is installed automatically if selected during the Reporter and Viewer installation.</p> <p>Supports Oracle 9i Release 2 or 10g databases.</p>
	10	SPARC		<p>Reporter and Viewer require Java 1.4.2_13. The Java runtime location must also be in the path.</p> <p>Audit Viewer and Reporter use IBM WebSphere Application Server 6.0 or Tomcat 5.0.28.</p> <p>If you plan to use WebSphere, install it before running the Reporter and Viewer installation.</p> <p>Tomcat is installed automatically if selected during the Reporter and Viewer installation.</p> <p>Supports Oracle 9i Release 2, 10g, or 11g databases.</p>

Chapter 3: System Requirements

This section contains the following topics:

[System Requirements](#) (see page 23)

System Requirements

The following are the minimum hardware requirements to run eTrust Audit:

Note: You can install the Data Tools components on a server with the DBMS and database on the same system (Data Tools with DBMS), or you can install the Data Tools on a dedicated database server and point to a remote database (Data Tools without DBMS).

Product	Operating Environment	CPU	CPU Speed	RAM (MB)	Disk Space (MB)	System Class
Client	Windows 2000 SP4 Windows XP SP2 Windows Server 2003 SP1	1 x Pentium	1 GHz	128	200 (see note at end of table)	
	AIX 5.2, 5.3	POWER	1 GHz	512	100	Workstation-class computer
	HP-UX 11.11, 11iv2 (11.23)	PA-RISC	1 GHz	512	100	Workstation-class computer
	HP-UX 11iv2 (11.23)	Intel Itanium IA-64	1 GHz	512	100	
	Red Hat Linux AS 3.0, AS 4.0	Pentium IV	1 GHz	512	100	Workstation-class computer
	SuSe Linux ES 8, ES 9	Pentium IV	1 GHz	512	100	Workstation-class computer
	Solaris 8, 9, 10	UltraSPARC	1 GHz	512	100	Workstation-class computer
	Tru64 5.1a, 5.1b	Alpha	1 GHz	512	100	Workstation-class computer

Product	Operating Environment	CPU	CPU Speed	RAM (MB)	Disk Space (MB)	System Class
	UnixWare 7.1, 7.1.3	Pentium IV	1 GHz	512	100	Workstation-class computer
Policy Manager or Reporter and Viewer	Windows 2000 SP4 Windows 2003 SP1	1 x Pentium	1 GHz	1000	1000 (see note at end of table)	Requires Microsoft Data Access Components (MDAC) 2.8.
	Solaris 10	UltraSPARC	1 GHz	1000	100	
Data Tools with Microsoft Data Access Components (MDAC) 2.8	Windows 2000 Server SP4 Windows 2003 SP1	1xPentium	1 GHz	1000	1000 (see note at end of table)	
Data Tools without DBMS	AIX 5.2, 5.3	POWER	1 GHz	1000	100	Workstation-class computer
	HP-UX 11.11, 11iv2 (11.23)	PA-RISC	1 GHz	1000	100	Workstation-class computer
	HP -UX 11iv2 (11.23)	Intel Itanium IA-64	1 GHz	1000	100	Workstation-class computer
	Solaris 8, 9, 10	UltraSPARC	1 GHz	1000	100	Workstation-class computer
Data Tools with DBMS	AIX 5.2, 5.3	2 x POWER	1 GHz	1000	1000	Server-class computer
	HP-UX 11.11, 11iv2 (11.23)	2 x PA-RISC	1 GHz	1000	1000	Server-class computer
	HP -UX 11iv2 (11.23)	Intel Itanium IA-64	1 GHz	1000	1000	Server-class computer
	Solaris 8, 9, 10	2 x UltraSPARC	1 GHz	1000	1000	Server-class computer

Note: For Windows systems, when you plan to install on a partition other than the system partition, you must have at least a certain amount of free space on the system partition, in addition to the listed free space on the target partition. The additional space requirements on the system partition or disk are as follows:

- Client - 200 MB
- Data Tools - 700 MB
- Reporter and Viewer - 700 MB
- Policy Manager - 800 MB

Chapter 4: Prerequisites

The following known issues function as prerequisites you should be aware of, or whose solutions you may need to implement, prior to using the related eTrust Audit components.

This section contains the following topics:

- [Health Monitor Requires SQL Server Agent \(see page 27\)](#)
- [Solaris Policy Manager Utilities Require DBA Privilege for Oracle Databases \(see page 28\)](#)
- [Distribution Server Service Does Not Start after System Start or Restart \(see page 28\)](#)
- [Policy Manager Database Connection Fails \(see page 29\)](#)
- [Supported Report Formats \(see page 29\)](#)
- [Error Message during AuditShared Installation on Solaris Systems \(see page 30\)](#)

Health Monitor Requires SQL Server Agent

Symptom:

The Health Monitor is installed and running, but alerts do not display even though threshold settings have been exceeded.

Solution:

The Health Monitor makes use of stored database procedures. To use the stored procedures, the SQL Server agent must be running. Start the SQL Server agent on the Microsoft SQL Server database before attempting to use the Health Monitor.

Solaris Policy Manager Utilities Require DBA Privilege for Oracle Databases

Symptom:

When the Policy Manager is installed with database privileges other than those of a database administrator in Solaris with Oracle database the Policy Manager utilities acpmdb2xml and acxml2pmdb will not work.

Solution:

Use an account with database administrator privileges to access the acpmdb2xml and acxml2pmdb utilities, or install the Policy Manager with a database account that has database administrator privileges.

Distribution Server Service Does Not Start after System Start or Restart

Symptom:

The eTrust Audit Distribution Server does not start automatically when the system starts or restarts. If the Distribution Server service attempts to connect to the Policy Manager database prior to the start-up of the Microsoft SQL Server database service, the connection cannot be made, and the Distribution Server times out. On Windows systems, the event log shows messages similar to the following:

Event Management.Server.Diagnostic.F.W
eTrust Audit Distribution Server failed to connect to the distribution log : SqlState:(S1T00) NativeError:(0) Microsoft' ODBC SQL Server Driver'Timeout expired; .

Event Management.Server.Diagnostic.F.W
Can't connect to Database eTAuditPMDB. Error: SqlState:(S1T00) NativeError:(0) Microsoft'ODBC SQL Server Driver'Timeout expired;

Solution:

Ensure that the eTrust Audit Distribution Server service starts after the Microsoft SQL Server database service. To do this, add a dependency on the Distribution Server service for the MSSQLSERVER service.

Policy Manager Database Connection Fails

Symptom:

When the Policy Manager database is located on a different workstation from the Policy Manager program and Distribution Server service, the connection sometimes fails.

Solution:

If the Policy Manager program and Distribution Server service cannot connect to the computer where the Policy Manager database resides, restoring the connection may not solve the problem.

After the connection is restored, restart the Distribution Server service and reopen the Policy Manager.

Supported Report Formats

Symptom:

Report jobs scheduled in eTrust Audit releases prior to r8 SP2 support the following report formats:

- Text
- Crystal Reports (RPT)
- Word for Windows Document
- HTML 4.0

Attempts to generate reports in the Text, Word for Windows, and HTML formats are not successful.

Solution

The r8 SP2 release of eTrust Audit supports only the following report formats:

- Crystal Reports (RPT)
- PDF
- Rich Text Format (RTF)
- Comma Separated Value

During r8 SP2 upgrade from previous releases, report jobs with unsupported report formats are set to use the Crystal Reports (RPT) format.

Error Message during AuditShared Installation on Solaris Systems

Symptom:

An error message, "sanity Test failed error code 3," displays during the AuditShared installation on Solaris systems.

Solution:

This problem occurs when there are traces of IPv6 enabled on the Solaris computer, and IPv6 has not been configured completely. To resolve this error, do one of the following and then re-install eTrust Audit:

- Edit the /etc/inet/iphnodes file to comment out the line containing " ::1 locahost"
- Configure either IPv4 or IPv6 completely on this Solaris computer

Chapter 5: Installation Considerations

This section contains the following topics:

- [Data Tools and Client May Not Install iGateway Package from DVD Media](#) (see page 31)
- [Manually Register the Audit Components with an Embedded IAM Server](#) (see page 32)
- [Memory Leak May Occur When eIAM and Policy Manager Share a Server](#) (see page 34)
- [Policy Manager Installation Requires Web Server Domain Name](#) (see page 35)
- [Cannot Connect to Remote Policy Manager Database with Custom Port during Installation](#) (see page 35)
- [Cannot Connect to Remote Collector Database with Custom Port during Installation](#) (see page 36)
- [Migrated User Policies Do Not Display in Legacy Policies Folder](#) (see page 37)
- [Unable to Start eTrust Directory Router Due to Port Conflicts with CA License Service](#) (see page 37)
- [Reporter and Viewer Job, Log, and Filter Migration](#) (see page 38)
- [Changing the Reporter and Viewer's Web Servers \(Solaris\)](#) (see page 40)
- [Health Monitor Requires Initialization Parameter - Oracle](#) (see page 40)
- [Silent Modify Option for Windows 2003 Is Not Supported](#) (see page 40)
- [Cannot Install Client as "su root" on SuSe Linux](#) (see page 41)
- [Unable to See Events after Upgrade of Oracle SAPI Recorder](#) (see page 41)
- [Unable to Upgrade Certain SAPI Recorders](#) (see page 42)
- [Removing Obsolete SAPI Recorders on UNIX Systems](#) (see page 42)

Data Tools and Client May Not Install iGateway Package from DVD Media

Symptom:

The installations for the Data Tools and eTrust Audit Client on AIX and HP-UX platforms may not install the iGateway package when you install using DVD media.

Solution:

Copy the Audit and Shared folders from the DVD media to the computer where you are performing the installation.

Examples:

[DVD]\Aix

[DVD]\Hpx

Manually Register the Audit Components with an Embedded IAM Server

Occasionally, it is necessary to register the Audit application with an embedded Identity and Access Management (eIAM) server manually. The process contains the following two basic steps:

- Register the Audit application and obtain the AuditAdminCert.p12 digital certificate file.
- Deploy the AuditAdminCert.p12 certificate.

You can perform these steps on both Windows and Solaris systems.

To register the Audit application on Windows

1. Change directory to %AUDIT_HOME%\IAMT.
2. Run the following registration command:

```
Safex-f Audit_win32.xml -h <IAMThost> -u EiamAdmin -p <EiamAdminPassword>
```

The registration process produces the digital certificate file, %AUDIT_HOME%\IAMT\AuditAdminCert.p12.

To deploy the digital certificate on Windows

1. Stop the iGateway service with the command:

```
net stop igateway
```
2. Navigate to the iGateway folder.
The default folder is <drive>:\Program Files\CA\SharedComponents\iTechnology.
3. Remove the old AuditAdminCert.p12 file from the iGateway folder with the command:

```
del AuditAdminCert.p12
```
4. Navigate to the eIAM directory.
The default eIAM directory is <drive>:\Program Files\CA\eTrust Audit\IAMT.
5. Copy the new AuditAdminCert.p12 into the iGateway location with the command:

```
copy AuditAdminCert.p12 "<drive>:\Program Files\CA\SharedComponents\iTechnology\AuditAdminCert.p12"
```
6. Restart the iGateway service with the command:

```
net start igateway
```

To register the Audit application on Solaris

1. Change directory to \$AUDIT_HOME/IAMT.

2. Execute the commands:

```
LD_LIBRARY_PATH=.:$LD_LIBRARY_PATH; export LD_LIBRARY_PATH
```

3. Run the following registration command:

```
Safex-f Audit.xml -h <IAMTHost> -u EiamAdmin -p <EiamAdminPassword>
```

The registration process produces the digital certificate file, /tmp/AuditAdminCert.p12.

To deploy the digital certificate on Solaris

1. Stop the iGateway service with the command:

```
/opt/CA/SharedComponents/Technology/S99igateway stop
```

2. Remove the old AuditAdminCert.p12 file from the iGateway folder with the command:

```
rm /opt/CA/SharedComponents/Technology/AuditAdminCert.p12
```

3. Copy the new AuditAdminCert.p12 file into the iGateway location with the command:

```
cp /tmp/AuditAdminCert.p12 /opt/CA/SharedComponents/Technology/AuditAdminCert.p12
```

4. Restart the iGateway service with the command:

```
/opt/CA/SharedComponents/Technology/S99igateway start
```

Memory Leak May Occur When eIAM and Policy Manager Share a Server

Symptom:

A memory leak may occur when the Policy Manager and the embedded Identity and Access Management (eIAM) components are installed on the same server. Eventually, the iGateway service will slow down noticeably and may eventually hang.

Solution:

Stop and restart the iGateway service or daemon and monitor for memory usage.

To restart the iGateway daemon on Solaris systems

1. Stop the iGateway service (Windows) or iGateway daemon (Solaris) with the command:
`$IGW_LOC > S99igateway stop`
2. Restart the iGateway service or daemon with the command:
`S99igateway start`

To restart the iGateway service on Windows systems

1. Stop the iGateway service with the command:
`net stop igateway`
2. Restart the iGateway service or daemon with the command:
`net start igateway`

If Policy Manager and eIAM server are installed on the same physical server, manually monitor the memory available to ensure that iGateway is still running efficiently. When the remaining memory gets low, stop and restart the iGateway service. Your time between restarts will vary depending on the amount of real memory on your server, your level of Policy Manager usage, and the number of users logged in to Policy Manager.

Separating the eIAM and Policy Manager installations to discrete servers helps to alleviate this problem. CA eIAM is a commonly embedded component in many CA products. If you plan to install several CA products, you may want to dedicate a server for eIAM use. If you already have other CA products installed, you may already have a separate eIAM server.

Policy Manager Installation Requires Web Server Domain Name

The Policy Manager installation requires a fully-qualified domain name for the Web Server to be able to connect to Reporter and Viewer URL. The name you enter should follow the format, *server_name.domain_name*. For example, your fully-qualified Web Server domain name might be, WebServer1.MyCompany.com.

Cannot Connect to Remote Policy Manager Database with Custom Port during Installation

Symptom:

Unable to connect to a Policy Manager database during installation on Windows systems when the database is remote and configured to use a custom port.

Solution:

Configure the ODBC data source credentials so that remote connection is correct.

To configure the ODBC data source for a remote database with a custom port

1. Launch the Policy Manager installation.
The installer creates a System DSN in ODBC connection with name "eTAuditPMDBTemp".
2. Access the Administrative Tools from the Windows Control Panel and double-click Data Sources (ODBC).
3. Select the System DSN tab, select the eTAuditPMDBTemp data source, and then click Configure.
4. Click Next to accept the data source name, description, and server name.
5. Click the Client Configuration... button in the Edit Network Library Configuration dialog.
6. Remove the selection check mark from the check box, Dynamically determine port.
7. Enter the custom port number of the database server in the Port Number field and click OK.
8. Click Cancel to close the MS SQL Server DSN Configuration window.
9. Restart the Policy Manager installation and enter the remote database system details when prompted.

Cannot Connect to Remote Collector Database with Custom Port during Installation

Symptom:

Unable to connect to a Collector (Data Tools) database during installation on Windows when the database is remote and configured to use a custom port.

Solution:

Configure the ODBC data source credentials so that remote connection is correct.

To configure the ODBC data source for a remote database with custom port.

1. Launch the Policy Manager installation.
The installer creates a System DSN in ODBC connection with name "eTAuditDTNTTemp".
2. Access the Administrative Tools from the Windows Control Panel and double-click Data Sources (ODBC).
3. Select the System DSN tab, select the eTAuditDTNTTemp data source, and then click Configure.
4. Click Next to accept the data source name, description, and server name.
5. Click the Client Configuration... button in the Edit Network Library Configuration dialog.
6. Remove the selection check mark from the check box, Dynamically determine port.
7. Enter the custom port number of the database server in the Port Number field and click OK.
8. Click Cancel to close the MS SQL Server DSN Configuration window.
9. Restart the Policy Manager installation and enter the remote database system details when prompted.

Migrated User Policies Do Not Display in Legacy Policies Folder

Symptom:

After migration to the r8 SP2 Policy Manager, the folder containing legacy policies may not display some policies.

Solution:

Policies that contain actions are migrated into the r8 SP2 release. User policies that do not contain selected rules are not shown in the eTrust Audit Administrator after migration.

Unable to Start eTrust Directory Router Due to Port Conflicts with CA License Service

Symptom:

Not able to start the iTechPoz-<hostname> or iTechPoz-<hostname>-Router services because the port is being used by the CA Licensing (lic98fds) service.

Solution:

Upgrade the CA Licensing software to version 1.63.03 on the Solaris system running the eTrust Audit r8 SP2 Policy Manager. You can download the latest CA Licensing software from the CA SupportConnect web site.

Reporter and Viewer Job, Log, and Filter Migration

The upgrade to eTrust Audit r8 SP2 migrates the following kinds of information from older Reporter/Viewer releases into the r8 SP2 Reporter/Viewer:

- Report jobs
- Report job logs
- User-specific Viewer filters

The following information is not migrated:

- Generated reports

Migrated user-specific information is available only to users who have the same user name in the new eTrust Audit release. It is the administrator's responsibility to define these users after the upgrade process.

For example, suppose a user named William_North created some personal filters for the Viewer in Audit v1.5 SP3. After an upgrade to r8 SP2, these filters are only available to a user called William_North and defined in the new release. The eTrust Audit administrator would have to define this user after the upgrade is complete.

In addition to this, an administrator must assign all migrated report jobs to an owner. After upgrading, the migrated jobs and their corresponding logs are available only to the specified user or a superuser.

Important! Some migrated jobs make use of report templates that are no longer supported. You should remove MS Proxy report jobs prior to upgrading the Data Tools. If they are not removed, a warning message displays during installation.

Job Scheduling Changes

Job scheduling information may appear differently in eTrust Audit r8 SP2. The following is a description of each of the old scheduling types, and their corresponding settings:

Previous Schedule	r8 SP2 Schedule	Comments
Today	Next (today's date)	
Tomorrow	Next (tomorrow's date)	
Next day1, day2, ...	Next day1, day2, ...	
Every day1, day2, ...	Next day 1, day2, ...	

Jobs whose scheduling information doesn't migrate properly can generally be updated through the user interface.

Filters based on job time may appear differently in eTrust Auditr8 SP2. The following is a description of each of the previous time-based filtering options, and their corresponding settings:

Previous Filter	r8 SP2 Filter	Comments
Today Only	Today Only	
Yesterday Only	Yesterday Only	
Last 2 Days	Past 2 Days	
Last 3 Days	Past 3 Days	
Current Week	Not supported.	Converted to new filter, Week to date.
Last Week	from: 14 days ago to: most recent event	
Week to date	from: 7 days ago to: most recent event	
Current Month	Current Month	
Last Month	Past Month	
Earliest to date	Any time	
Custom Dates	Custom-defined filter	

Note: Since eTrust Audit r8 SP2 is sensitive to jobs from multiple time zones, all migrated jobs are scheduled according to time zone of the Reporter and Viewer server.

Changing the Reporter and Viewer's Web Servers (Solaris)

Valid for Solaris systems

During the Reporter and Viewer's first installation, you can choose a desired Web Server. The application is registered with the Web Server as part of the installation.

If you install the Reporter and Viewer *again*, you receive a warning that the Reporter and Viewer are already installed. If you confirm that you want to continue the installation, you can reconfigure the install parameters. This includes choosing another database server, a different eIAM Server, or a new Web Server. During the installation, the Reporter and Viewer are registered to the selected Web Server.

Important! The existing registration to the previous Web Server is not automatically removed. You must remove it manually.

Health Monitor Requires Initialization Parameter - Oracle

Symptom:

The Health Monitor jobs do not run after installing for use with an Oracle database.

Solution:

When the job_queue_processes initialization parameter is set to 0 for an Oracle database, the Health Monitor jobs will not run.

That value needs to be set to a value greater than 0 in order for the Health Monitor system to function correctly. We recommend setting that value to 10 or greater. Please notify your database administrator or consult the Oracle database documentation for instructions on how to make this change.

Silent Modify Option for Windows 2003 Is Not Supported

The Silent Modify option is not supported for systems running Windows 2003.

Cannot Install Client as "su root" on SuSe Linux

Symptom:

The eTrust Audit Client cannot be installed on SuSe Linux if a user uses the command 'su root' from a normal user's login session.

Solution:

To install Audit Client on SuSe Linux, login as a root user prior to running the installation.

Unable to See Events after Upgrade of Oracle SAPI Recorder

Symptom:

After installation of the Oracle SAPI Recorder, no events are sent to the Collector database or Security Monitor, even though connection to the database was successful, and shared libraries loaded successfully.

For direct installation of eTrust Audit r8 SP2, this problem occurs on the following platforms:

- RHEL4 with Oracle 10g
- SuSe 9 with Oracle 10g
- HP-UX 11.23 (PA-RISC) with Oracle 9i

For upgrades from eTrust Audit r8 SP1 CR2 to r8 SP2, the problem occurs on all platforms.

Solution:

During upgrade from r8 SP1 CR2 to r8 SP2, use the same level of encryption during the upgrade processing. As an alternative, you can follow the instructions for generating a new encryption value below.

For direct installation of r8 SP2, (or to update the encryption after upgrade from r8 SP1 CR2), edit the recorder.ini file to replace the existing encrypted user ID and password with new encryption values. You do not need to change the underlying database access credentials.

You can use the encup utility to create these new values. More information on using the encup utility is available in the *eTrust Audit Reference Guide*.

Unable to Upgrade Certain SAPI Recorders

Symptom:

Attempts to upgrade the FW-1 and Netscape SAPI Recorders are not successful. During the installation, a warning message appears as follows: If any of the eTrust Audit SAPI recorders is detected on the machine, these recorders and their configuration will be preserved. You must upgrade to version r8 SP2 of these SAPI recorders to ensure proper functionality.

NOTE. Upgrade is not available for CheckPoint FW-1 and Netscape SAPI recorders. You should use Check Point iRecorder and SunONE iPlanet iRecorder instead.

Do you want to continue?

Solution:

The FW-1 and Netscape SAPI Recorders are discontinued in eTrust Audit r8 SP2 and can no longer be upgraded. They are replaced with the CheckPoint and SunONE iPlanet SAPI Recorders, respectively.

Removing Obsolete SAPI Recorders on UNIX Systems

Some SAPI recorders are no longer supported in eTrust Audit r8 SP2, and are thus obsolete. The list of obsolete SAPI Recorders includes the following:

- Check Point FW-1 4.1
- Cisco PIX
- Netscape
- iPlanet
- Solaris BSM
- Microsoft ISA
- Microsoft IIS

You should remove these recorders by following these steps.

To un-install obsolete SAPI Recorders

1. Navigate to the Shared folder on the CD image.
2. Run the provided script, `uninstall_obsolete_recorders`.

Chapter 6: General Considerations

For installation planning and information about the distributed components of eTrust Audit, see the *eTrust Audit Implementation Guide*.

You can access the documentation for the latest release on the CA Support web site. For assistance, contact Technical Support at <http://ca.com/support>.

This section contains the following topics:

- [Minimum Database Permissions to Run eTrust Audit](#) (see page 44)
- [Configuration File and Registry File Backups](#) (see page 45)
- [Using Static Ports with eTrust Audit](#) (see page 45)
- [Connection to eIAM Fails When Using Non-English Collector or Policy Manager](#)
- [Database Name](#) (see page 46)
- [Allowing Non-Administrator Access](#) (see page 46)
- [How to Create and Use Custom Report Templates](#) (see page 46)
- [iGateway Service on eIAM Server Uses Too Much Memory](#) (see page 47)

Minimum Database Permissions to Run eTrust Audit

The r8 SP2 CR1 Implementation Guide lists required database permissions for working with the Collector and Policy Manager databases. While those permissions represent the recommended levels, a lower level of access is possible.

For Oracle databases, you only need to be the owner of the relevant tablespace and have the following permissions:

- roles
 - CONNECT
 - RESOURCE
- privileges
 - CREATE ANY DIRECTORY
 - EXECUTE ANY PROCEDURE
 - SELECT ANY DICTIONARY
 - UNLIMITED TABLESPACE

For Microsoft SQL Server databases, you can be the owner of the database and have the following permissions on the database:

- db_public
- db_owner

The Data Tools on Windows systems using Microsoft SQL Server 2005 require the following permissions:

- db_public
- db_owner
- sysadmin (Server Role)

Note: The sysadmin Server role is required for Health Monitor installation.

Configuration File and Registry File Backups

eTrust Audit uses a variety of configuration files to store information for startup and operations of your Security Information Management (SIM) system.

For some platforms, you must edit registry entries to make configuration changes. Configuration entries are also saved in digitally signed files that can be modified through policies you create using the Policy Manager.

Best practice for handling configuration files is to make a backup copy of the current registry files before you make your changes. It is also highly recommended that you make backup copies of all configuration files on a regular basis.

Using Static Ports with eTrust Audit

Normally, eTrust Audit is configured to use dynamic ports, which are found using the RPC portmapper service. When you configure eTrust Audit to use static ports, as for operations with a firewall, the same static ports need to be configured on *all* Audit components. This includes the Router, Security Monitor, and Collector. Failure to configure the static ports correctly results in disruptions of proper operation.

For example, if you configure a Router to use MonitorSapiPort 1 and the Security Monitor to use MonitorSapiPort 2, no events from that Router can display on the Security Monitor. The same type of configuration problem can occur with traffic between Routers. For example, if two Routers are configured with different RouterSapiPorts, they cannot communicate with each other and cannot execute any remote actions.

Connection to eIAM Fails When Using Non-English Collector or Policy Manager Database Name

Valid on Windows

Symptom:

A "Server not present in trusted servers list" error appears when trying to log on to the Audit Administrator or Reporter or Viewer web interfaces, and the Collector database or Policy Manager database were set up with a non-English database name during Reporter and Viewer installation.

Solution:

Ensure that an English database name is used for the Policy Manager and Data Tools Collector database during installation.

Allowing Non-Administrator Access

To allow members of groups other than "Administrators" to manage the eTrust Audit Administrator and the eTrust Audit Post-Collection Utility, place the name of your group in the <AdminGroupName> tag of the iControl.conf file.

How to Create and Use Custom Report Templates

You can create custom report templates using the Crystal Reports package. After you create your custom template, you can import that template, and use it with the eTrust Audit Reporter.

Creating custom reports involves the following basic steps:

- Creating a valid report template
- Copying your custom templates to a new folder accessible to eTrust Audit
- Generating or scheduling a report from the Reporter user interface, as usual

iGateway Service on eIAM Server Uses Too Much Memory

Symptom:

The iGateway service can use over 100 MB of memory on a Windows eIAM server, and over 200 MB of memory on a Solaris eIAM server in some cases.

Solution:

Reduce the size of the runtime buffer that the iGateway service uses to cache events.

To reduce event storage

1. Stop the iGateway service.
2. Edit the iControl.conf file.
3. Change the <EventsToCache> value from 5000 to 10.
4. Change the <StoreEventHost max=xxxxx> value from 10000 to 100.
5. Save and exit the file.
6. Start the iGateway service.

Chapter 7: New Features

This section contains the following topics:

- [Web-based User Interface](#) (see page 49)
- [Policy Manager Change Control Support](#) (see page 57)
- [Centralized Management of Message Parsing \(MP\) Files](#) (see page 57)
- [Improved Policy Distribution](#) (see page 58)
- [Audit Client Status Polling](#) (see page 59)
- [Additional Client Platform Support](#) (see page 59)
- [Enhanced Policy Manager Support](#) (see page 59)
- [Native Packaging for Solaris, and Red Hat and SUSE Linux](#) (see page 60)
- [New Policy Conversion, Import, and Export Utilities](#) (see page 60)

Web-based User Interface

The eTrust Audit user interface has been updated and reorganized to reflect new functionality and improve work flow. Entirely new web-based features include:

- Audit Policy Manager
- Audit Reporter
- Audit Viewer

These features replace the Win32 interfaces for all eTrust Audit components except Security Monitor, which remains a Win32 interface. The following topics illustrate some of the new interface features.

Note: The new web-based interface introduces significant changes to the Policy Manager and other administrative tasks. Before you upgrade or use the new interface, it is important to review the *eTrust Audit and eTrust Security Command Center Administration Guide*. Additional information is also available in the online help for the Audit Administrator interface and the *eTrust Audit and eTrust Security Command Center Implementation Guide*.

Updated Configuration Interface

The updated Audit Administrator configuration interface lets you complete various types of management and configuration tasks using the UI subtabs as shown in the following illustration.



Welcome: superuser Role: Admin... (Logout) Updated: 10/20/06 07:44:29

Help About

Configuration iRecorder Manager Policy Manager Reporter Viewer Health Monitor

Audit Host Discovery > Content Update > User and Access Management > Reporter / Viewer > Policy Manager

Discovery Jobs New Job Help

View, create, and remove Audit Host Discoveries.

Address	Interval (hrs)	Last Scheduled	Remove

Discovery Statistics Refresh

View the Audit Host Discovery Statistics

Threads	64	Hosts	53	Methods	407	Spindles	53
Queue Length	0	Sponsors	19	Links	120	Spindle Links	53

Next IP to discover:

New Discovery Job

Enter the subnet, bit mask, and interval for the new discovery job.

Subnet: *
Interval: (hours)
*Network address/subnet mask bits, for example: 10.0.0.0/24

Save Close

iRegistry Plugin Status

View the status of the iRegistry Plugins

Plugin Name	Hosts	Expired Hosts	Sponsors	Methods	Host/Sponsor Links
rpAuditMgr	43	0	18	372	100

Audit Host Discovery

Lets you set up and view discovery job status for eTrust Audit Hosts.

Content Update

Lets you update Audit Administrator content by downloading template information and Message Parsing (MP) files from a CA website.

User and Access Management

Lets you configure user role assignments and access to the interface for your Audit Administrator environment.

Reporter/Viewer

Lets you configure eTrust Audit Data Sources for use by the Reporter and Viewer utilities.

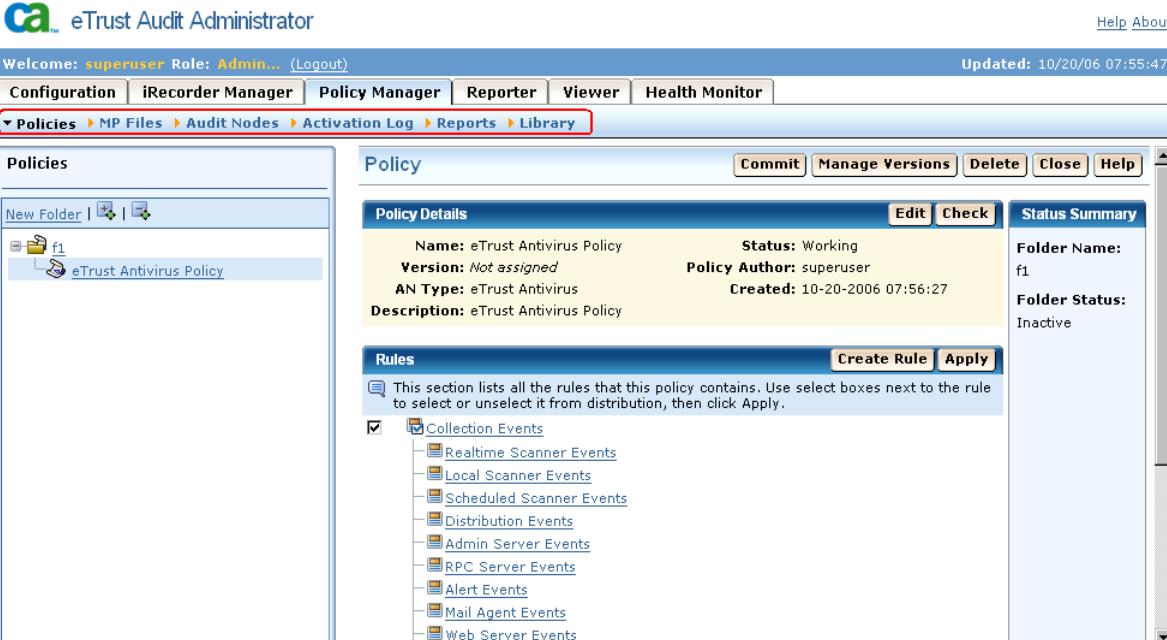
Policy Manager

Lets you perform Policy Manager administration tasks, configuring the Distribution Server and managing locked Policy Manager objects.

See the "Managing Audit Administrator" chapter of the *eTrust Audit and eTrust Security Command Center Administration Guide* for more information on the new Configuration interface. Task-based information for each of the UI subtabs illustrated here is contained in its own sub-chapter.

New Policy Manager Interface

The new Policy Manager interface lets you perform tasks related to creation and distribution of policies and MP files using the UI subtabs as shown in the following illustration.



The screenshot shows the eTrust Audit Administrator interface with the following details:

- Header:** Welcome: superuser Role: Admin... (Logout) Updated: 10/20/06 07:55:47
- Top Navigation:** Configuration, iRecorder Manager, Policy Manager (highlighted with a red box), Reporter, Viewer, Health Monitor
- Breadcrumb:** Policies > MP Files > Audit Nodes > Activation Log > Reports > Library
- Left Panel (Policies):** Shows a tree structure with a folder 'f1' containing 'eTrust Antivirus Policy'.
- Right Panel (Policy Details):**
 - Policy Details:** Name: eTrust Antivirus Policy, Status: Working, Version: Not assigned, Policy Author: superuser, AN Type: eTrust Antivirus, Created: 10-20-2006 07:56:27, Description: eTrust Antivirus Policy
 - Buttons:** Commit, Manage Versions, Delete, Close, Help
 - Status Summary:** Folder Name: f1, Folder Status: Inactive
- Bottom Panel (Rules):**
 - Rules:** Create Rule, Apply
 - Instructions:** This section lists all the rules that this policy contains. Use select boxes next to the rule to select or unselect it from distribution, then click Apply.
 - Rule List:** Collection Events (selected), Realtime Scanner Events, Local Scanner Events, Scheduled Scanner Events, Distribution Events, Admin Server Events, RPC Server Events, Alert Events, Mail Agent Events, Web Server Events

All the main Maker and Checker tasks are completed in the Policy Manager interface.

Policies

Lets you create policy folders and policies, and review and distribute them.

MP Files

Lets you create MP folders and files, and review and distribute them.

Audit Nodes

Lets you create and search for eTrust Audit host nodes in your environment and attach them to policy or MP folders.

Activation Log

Lets you view policy and MP file activation history for your eTrust Audit environment.

Reports

Lets you access the Reporter utility from the Policy Manager window.

Library

Lets you view and create audit node types, and view rule templates.

See the "Policy Manager Tasks" chapter of the *eTrust Audit and eTrust Security Command Center Administration Guide*, for more information on the new Policy Manager interface. Task-based information for each of the user interface subtabs illustrated here is contained in its own sub-chapter, along with related user role information.

More information

[Policy Manager Change Control Support](#) (see page 57)

New Reporter Interface

The new Reporter utility interface lets you view selected data from eTrust Audit event databases in the form of graphic or detailed reports. It displays available report template types in a folder tree, which you can expand to view specific report templates, as shown in the following illustration.

Select	Report Name	Occurrence	Time	Note
<input type="checkbox"/>	Detailed CA - Top Secret Events	Every Wednesday	13:45:43 GMT-04:00	
<input type="checkbox"/>	General_Reports/Detailed_Events_Activities_(Daily)	Every Monday,Thursday	9:3:6 GMT-04:00	

When you select a report template, it appears in the right pane, displaying any previously generated reports of that type. You can create an immediate report, schedule a new report, or view report job logs.

The Scheduled Jobs area displays all scheduled reports for your environment, regardless of their type.

See the "Using Reporter" chapter of the *eTrust Audit and eTrust Security Command Center Administration Guide* for more information on the new Reporter interface, including instructions for viewing, scheduling, and generating reports.

New Viewer Interface

The new Viewer utility interface lets you view, sort, and filter the eTrust Audit event database. It displays available filters in a folder tree, which you can expand to view specific filter details, as shown in the following illustration.

The screenshot shows the eTrust Audit Administrator interface. The top navigation bar includes links for Configuration, iRecorder Manager, Policy Manager, Reporter, Viewer (which is selected), and Health Monitor. The top right shows the date and time: Updated: 10/20/06 09:18:22. The main content area is titled 'Audit Viewer - Manage Filters'. On the left, there's a sidebar with 'Add Filter' and 'Select Filter' buttons, and a tree view of 'Audit Viewer Filters' including 'Predefined Filters' like 'Last 15 min's records' and 'superuser's Filters'. The right side shows a table titled 'EventTable Today's records - All Records' with columns for Detail, Type, Time Stamp, Log Name, Computer Name, Domain Name, User Name, Source, Event Category, and Event ID. The table lists several audit events from 2006-10-20, mostly from the 'BROWSER' source.

DETAIL	TYPE	Time Stamp	Log Name	Computer Name	Domain Name	User Name	Source	Event Category	Event ID
2006-10-20 07:55:42.0	NT-System	ndtw2kscc	workgroup	N/A	BROWSER	None	8032		
2006-10-20 07:55:42.0	NT-System	ndtw2kscc	workgroup	N/A	BROWSER	None	8021		
2006-10-20 05:55:42.0	NT-System	ndtw2kscc	workgroup	N/A	BROWSER	None	8032		
2006-10-20 05:55:42.0	NT-System	ndtw2kscc	workgroup	N/A	BROWSER	None	8021		
2006-10-20 03:55:42.0	NT-System	ndtw2kscc	workgroup	N/A	BROWSER	None	8032		
2006-10-20 03:55:41.0	NT-System	ndtw2kscc	workgroup	N/A	BROWSER	None	8021		
2006-10-20 01:55:41.0	NT-System	ndtw2kscc	workgroup	N/A	BROWSER	None	8032		
2006-10-20 01:55:41.0	NT-System	ndtw2kscc	workgroup	N/A	BROWSER	None	8021		

You can add new filters of your design or edit existing filters.

Events matching the qualifications of the filter you select appear in the Event Table pane. You can configure how many rows or events you want the table to display, and sort the events by any attribute other than Detail or Type. You can click the detail icon for any event to open an expanded view in a new window.

See the "Using Viewer" chapter of the *eTrust Audit and eTrust Security Command Center Administration Guide* for more information on the new Viewer interface, including instructions for viewing and filtering events.

New Health Monitor Interface

The new Health Monitor Utility lets you search and display Health Monitor hosts in your environment, as shown in the following illustration.

The screenshot shows the eTrust Audit Administrator interface. The top navigation bar includes a logo, the title 'eTrust Audit Administrator', and links for 'Help' and 'About'. The main menu bar has tabs: 'Configuration', 'iRecorder Manager', 'Policy Manager', 'Reporter', 'Viewer', 'Health Monitor' (which is selected and highlighted in blue). The left pane is titled 'Health Monitor Hosts' and contains a list of hosts: AULAB14, USECPC12, USECPC123, USECPC45_YUAN, irdsun03, scolis1, scolis3, and uscmcp01. The host 'USECPC12' is selected and highlighted in blue. The right pane is titled 'Host: usecpc12 (Login)' and shows 'Health Monitor Information'. It includes tabs for 'Alert', 'Event Rate Summary', 'Configuration', and 'Logs'. The 'Alert' tab is selected. It displays a message about alerts and a 'Filters' section with dropdown menus for 'Type' (set to 'All'), 'Log Name' (set to 'All'), 'Host Name' (set to 'All'), and 'Domain Name' (set to 'All'). It also shows 'Start Time' (Wednesday, March 28, 2007 8:05:00) and 'End Time' (Wednesday, April 04, 2007 8:05:06). A note says 'Use the calendar to select the date and time criteria'. At the bottom of the right pane are 'Go' and 'Reset' buttons. The top right of the right pane shows the date 'Wednesday, April 04, 2007 8:00:18 AM' and buttons for 'Refresh' and 'Help'.

You can select any of the available hosts displayed in the left pane to view Alert, Event Rate Summary, or Log information from the appropriate tab. You can also control the settings of the selected Health Monitor using the Configuration tab.

See the "Using Health Monitor" chapter of the *eTrust Audit and eTrust Security Command Center Administration Guide* for more information on the new Health Monitor interface, including instructions for viewing and filtering alerts, log records, and setting configuration parameters.

Policy Manager Change Control Support

eTrust Audit Policy Manager supports change control features through the Maker and Checker roles, which are pre-defined in the Embedded Identity and Access Manager (EIAM) Tool Kit.

These roles divide responsibility for the creation of policies, rules and Message Parsing files from responsibility for their review and distribution to the clients. Users with the Maker role are able to create new policies and users with the Checker role to reject or approve them. This distribution of duties is called segregation of duty.

The Maker and Checker roles can be assumed by different users, or the same user, depending on your chosen configuration. In addition, there are two levels of segregation of duty. Segregation of duty is turned off by default. The default level for segregation of duty on is strict, where no Maker can also be a Checker for a given policy, MP file, or folder. You can also enable a transactional level of duty segregation, where a given Maker cannot check a policy, MP file, or folder if he was the last person to make a change.

See the Managing Users and Access section of the "Managing Audit Administrator" chapter in the *eTrust Audit and eTrust Security Command Center Administration Guide* for more information on change control support through user roles. Additional information is available in the "Policy Manager Tasks" chapter of the *eTrust Audit and eTrust Security Command Center Administration Guide*.

Note: Policy Manager's internal user management is not available in this release. It is replaced by the EIAM Tool Kit.

Centralized Management of Message Parsing (MP) Files

Message Parsing (MP) files are used by several generic Audit Recorders or iRecorders to read text-format log event data. MP Files are managed in the same way as policies; both have the same level of acknowledgement, logging, version control and reporting support.

MP files are attached to Audit Node (AN) groups for distribution by Policy Manager, using the same improved distribution system as policies.

See the MP Files section of the "Policy Manager Tasks" chapter in the *eTrust Audit and eTrust Security Command Center Administration Guide* for more information on MP files.

Note: MP files are created or modified manually and then imported into Policy Manager for version control and distribution.

More information

[Improved Policy Distribution](#) (see page 58)

Improved Policy Distribution

SP2 release includes broad improvements to policy distribution protocols. The following list summarizes these enhancements:

1. Policies and MP files are both distributed by the policy distribution protocol.
2. The maximum number of distribution threads can be configured in the user interface. The default number of threads is 10, and can be increased to a maximum setting of 64.
3. When policy files whose names include spaces are distributed to clients running on UNIX, the spaces are converted to underscores, automatically complying with UNIX naming standards.
4. eTrust Audit clients store policy version information in the Policy Manager database.
5. The Disable Node Retry feature allows a Maker to select a node or multiple nodes and exempt these nodes from all automatic policy/MP redistribution.
6. Automatic activation and enforcement is supported for policy/MP files delivered by the Policy Manager without any service restart requirements.

See the Distribution Server section of the "Managing Audit Administrator" chapter in the *eTrust Audit and eTrust Security Command Center Administration Guide* for more information on policy distribution. More information on the Policy Manager database can be found in the Installing Databases chapter of the *eTrust Audit and eTrust Security Command Center Implementation Guide*.

More information

[Centralized Management of Message Parsing \(MP\) Files](#) (see page 57)

Audit Client Status Polling

This feature adds policy and MP file version control, enabling automatic validation of deployed policies or MP files. This allows you to recover from any changes to those policies or MP files.

Policy Manager periodically tests for any difference in status or version between active policies or MP files on the distribution server and those distributed to clients. If a difference is detected, Policy Manager generates an event, and gives you the option to redistribute the correct policy or MP file version.

See the Distribution Server section of the "Managing Audit Administrator" chapter in the *eTrust Audit and eTrust Security Command Center Administration Guide* for more information on client polling. Additional information on policy and MP file version control is available in the Policies and MP Files sections of the "Policy Manager Tasks" chapter.

Additional Client Platform Support

The eTrust Audit client is now supported on additional platforms, including Solaris. For a full list of supported platforms, see the *eTrust Audit Readme*.

Enhanced Policy Manager Support

eTrust Audit Policy Manager now supports MS SQL Server 2000 and 2005 on Windows and Oracle 10g on Solaris 10. For a full list of supported databases, see the *eTrust Audit Readme*.

Migration scripts are provided to upgrade MS Access Policy Manager databases to Oracle 10g or MS SQL Server Policy Manager databases. See the Introduction to the "Installing Databases" chapter of the *eTrust Audit and eTrust Security Command Center Implementation Guide* for more information on databases.

Native Packaging for Solaris, and Red Hat and SUSE Linux

All install packages on Solaris and Linux are now in native packaging format:

- pkg on Solaris
- RPM on Linux

See the *eTrust Audit and eTrust Security Command Center Implementation Guide* for additional installation information. You can consult the chapters on installing various components including Databases, Data Tools, and the Policy Manager.

New Policy Conversion, Import, and Export Utilities

During administration of your r8 SP2 Policy Manager database, you may from time-to-time need to import or export policies. You may also need to convert Windows system PTF files to XML for use with older iRecorders and SAPI Recorders.

For example, if you download an iRecorder and you want to import its default policies to the r8 SP2 Policy Manager database, it may not have an XML policy file supplied with it. In that case, you would need to convert the supplied .ptf file to XML using this utility, and then import the new XML file to the Policy Manager database.

You can use the following utilities to convert policy files to XML, and to import and export policy files:

acptf2xml

Converts Windows PTF policy files to XML format.

acxml2pmdb

Imports XML policy files to the r8 SP2 Policy Manager database.

acpmdb2xml

Exports policy files from the r8 SP2 Policy Manager database to XML files.

See the "Importing, Exporting, and Converting Policies" chapter in the *eTrust Audit and eTrust Security Command Center Reference Guide* for more information.

Chapter 8: Changes to Existing Features

This section contains the following topics:

- [Advanced Encryption Standard \(AES\) Support](#) (see page 61)
- [UNIX SAPI Recorders Available Separately](#) (see page 62)
- [Visualizer Supported Only on Windows](#) (see page 62)
- [Client Support Changes](#) (see page 62)
- [Microsoft Access Not Supported](#) (see page 62)
- [Post-Collection Utility Supported Only on Windows](#) (see page 63)

A main feature of this eTrust Audit release is a [new web-based interface](#) (see page 49), so there are significant changes to the Policy Manager and other administrative tasks. Before you upgrade or use the new interface, it is important to review the *eTrust Audit and eTrust Security Command Center Administration Guide*. Additional information is also available in the online help for the Audit Administrator interface and the *eTrust Audit and eTrust Security Command Center Implementation Guide*.

Advanced Encryption Standard (AES) Support

The eTrust Audit Policy Manager distribution server is in frequent contact with eTrust Audit client computers in order to distribute new or changed policies or MP files, receive alerts, or generate reports. You can also configure the distribution server to poll the client computers and automatically redistribute policy or MP files to any node where the software detects version or status changes.

eTrust Audit supports AES 256 for Policy Manager server/client communication, replacing AES 128 as the default encryption method and providing more secure communication for your environment.

See the Distribution Server section of the "Managing Audit Administrator" chapter in the *eTrust Audit and eTrust Security Command Center Administration Guide* for more information on file distribution and client/server contact.

UNIX SAPI Recorders Available Separately

UNIX Supplementary SAPI Recorders, including Oracle, Sybase, DB2, and Apache recorders, are not included in the basic eTrust Audit package for UNIX. These recorders are now available separately as standalone installation packages.

See <http://ca.com/support> for a full list of available UNIX SAPI recorders.

Visualizer Supported Only on Windows

The Audit Administrator Visualizer utility allows you to run standard queries on data processed by the Post-Collection Utility or drawn from eTrust Security Command Center table collectors. The Visualizer is available only to Windows users.

See the "Using Visualizer" chapter of the *eTrust Audit and eTrust Security Command Center Administration Guide* for more information on the Visualizer interface, including instructions for generating and displaying Visualizer queries.

Client Support Changes

For the eTrust Audit client r8 SP2 release, certain platforms are no longer supported. For a full list of supported platforms, see the *eTrust Audit Readme*.

Microsoft Access Not Supported

With the eTrust Audit enhancement to database support, MS Access is no longer supported for the Collector and Policy Manager databases. Migration scripts are provided to upgrade MS Access Policy Manager databases to Oracle 10g or MS SQL Server Policy Manager databases.

More information

[Enhanced Policy Manager Support](#) (see page 59)

Post-Collection Utility Supported Only on Windows

The Post-Collection Utility (PCU) provides a set of tools for defining policies, managing the collector database, and detecting event tampering. The Post-Collection Utility is available only to Windows users.

See the "Post-Collection Utility (PCU) Tasks" chapter of the *eTrust Audit and eTrust Security Command Center Administration Guide* for more information on the Visualizer interface, including instructions for generating and displaying Visualizer queries.

Chapter 9: Known Issues

This section contains the following topics:

[eTrust Audit Client](#) (see page 65)
[eTrust Audit Administrator](#) (see page 69)
[eTrust Audit Data Tools](#) (see page 72)
[eTrust Audit Policy Manager](#) (see page 74)
[eTrust Audit Reporter and Viewer](#) (see page 79)
[General](#) (see page 86)
[Knowledge Base](#) (see page 89)

eTrust Audit Client

The topics that follow describe the known issues, workarounds, and solutions for eTrust Audit Client.

Received "Failed to Find Local Router" Error Using Generic Recorder

Symptom:

When installing the eTrust Audit Client, if the router is set to localhost, the Generic Recorder fails with the following error:

Failed to find local router

Solution:

Do one of the following:

- Instead of using localhost, use a specific host name or IP address.
- Edit the hosts file and add the line 127.0.0.1 localhost.

The hosts file is in the /etc directory for UNIX systems.

The hosts file is in the \system32\drivers\etc directory for Windows systems.

Router Fails to Start on VMware ESX Server

Valid on VMware ESX Server 3.0 and 3.5

Symptom:

Router service does not start after installation. The installer displays the following errors while starting the service:

```
starting iGateway
WARNING: Could not remove rpc.info from system file.
```

```
.
.
.
Starting eTrust Audit Router
eTrust Audit Log Router (aclogrd) - ok
Message from syslogd@mansr02-sl-v9-udp0565657uds at Tue Oct 31 22:25:24 2006
<servername> eTAudit Router:"Event Management.Agent.Start.F.W"
eTrust Audit Log Router failed to start, error=0X40023004
```

The same error occurs when starting the Router from "bin" folder.

Solution:

VMWareESX3.0 does not support the Portmapper service. By default the Router will not start until eTrust Audit is configured to run with fixed ports, and *without* the portmapper (RegisterPort=0). Port values can be set in the eaudit.ini file. More information on configuring this file is available in the *eTrust Audit Reference Guide*.

Received "Could Not Stop iTechnology iGateway 4.5 Service" Error

Valid on Windows

Symptom:

When stopping the iTechnology iGateway 4.5 service on Windows, the following error message sometimes appears:

```
Could not stop the iTechnology iGateway 4.5 Service on Local computer. Error 1067: The process terminated unexpectedly.
```

Despite the error message, the iGateway service stops.

Solution:

Restart the iGateway service.

Note: There is no impact on iRecorders and or other eTrust Audit components that use the iGateway service when the iGateway service restarts.

Received "No Version Information" Message from iGateway

Valid on Linux

Symptom:

When the eTrust Audit Client or iRecorder such as the Syslog iRecorder is installed on Linux, the following message appears:

```
./igateway: ./libetpki2.so: no version information (required by ./igateway)
```

Solution:

This message causes no problems in the operations of iGateway, iRecorder, or iRouter.

Unable to Stop Recorder Installation

Symptom:

During installation of a Recorder, answering "q" to quit after responding to the license agreement, does not appear to stop the installation. Some files are still created even though the install was stopped.

Solution:

Remove the files by running the supplied recorder uninstall script.

eTrust Audit SNMP Recorder Cannot Fetch Events

Symptom:

When using the eTrust Audit SNMP Recorder, the Recorder has problems fetching SNMP events.

Solution:

The SNMP Recorder is an SNMP agent that uses common ports to capture SNMP events. You must disable any other SNMP agent running on the same host as the eTrust Audit SNMP Recorder to ensure proper operation of the Recorder.

Using eTrust Access Control as Logname for Viewer Does Not Display Events

Symptom:

When using the eTrust Audit Viewer with eTrust Access Control specified as the Logname in the filter for Access Control events, the Viewer does not display Access Control events.

Solution:

The Logname field for the eTrust Access Control events is stored as eTrust AC in the collector database. Use eTrust AC as the Logname in the filter in the eTrust Audit Viewer.

eTrust Access Control events sent to the eTrust Audit Router use eTrust Access Control as the Logname. Policy rules to process eTrust Access Control events that are sent to the eTrust Audit Router must use eTrust Access Control as the Logname.

Custom Silent Install Fails When the iRecorder Option Is Not Selected

Symptom:

When the iRecorder option is not selected on the Optional Components dialog when recording the custom silent response file for eTrust Audit Client, the installation fails when the silent installation runs.

Solution:

When creating a custom silent response file for the eTrust Audit Client, select the iRecorder option. This lets you successfully install the Client silently.

Cannot Modify eTrust Audit Client During Silent Installation

Valid on Windows

Symptom:

When using the modify option in the eTrust Audit Client silent installation, the installation fails.

Solution:

The eTrust Audit Client r8 SP2 installation process does not support the modify option in silent mode, and the installation will fail if you attempt to use this option.

acrecorderd Service Does Not Start after Client Install

Valid on SuSE 10 ES SP1

Symptom:

The acrecorderd service will not start after the installation of the eTrust Audit Client on SuSE 10 ES SP1 systems. SuSE 10 ES SP1 supplies syslog-*ng* daemon as a default.

Solution:

The eTrust Audit Client does not support syslog-*ng*. To resolve this situation, install the syslog service on the system. The r8 SP2 CR1 eTrust Audit Client is certified with syslog.

eTrust Audit Administrator

The topics that follow describe the known issues, workarounds, and solutions for eTrust Audit Administrator.

Audit Administrator Interface May Become Inoperative While Viewing Reports or Graphs

Symptom:

When using the eTrust Audit Administrator to configure a report, you select a query from the list of Queries. This configures a report or a visual analyzer. The Audit Administrator may become inoperative if you click the View Report or View Graph buttons multiple times.

Solution:

In order to view graphs, you must install the Visualizer on the same computer as the Audit Administrator.

Note: The Visualizer is included in the Policy Manager Windows install package and is installed by default.

The View Report and View Graph buttons initiate a long-running database query. If you click these buttons multiple times, ODBC may experience a fatal error and render the Audit Administrator interface inoperative.

To avoid this situation, click View Report or View Graph button only once, and wait until the results are returned to the Audit Administrator interface.

Computer Becomes Unresponsive When Running Visualizer Queries

Symptom:

When a query is run to extract a large volume of data in an eTrust Audit database of about a million rows, the computer stops responding.

Solution:

When executing a query the computer allocates all resources to processing data and may stop responding. To free up system resources, stop the query manually from the database management interface, or restart the iGateway service.

To prevent slowdowns, limit the queries to a small set of rows.

Example:

Specify a limited date range in the query to return a smaller set of data.

pkgadd Cannot Access Oracle Home

Valid on Solaris

Symptom:

When installing the Policy Manager, the pkgadd utility cannot access Oracle Home as if Oracle is not installed.

Solution

The Solaris 10 pkgadd utility runs internally as a non-root user with limited permissions. To change this default behavior, set the environment variable NONABI_SCRIPTS to TRUE before using the pkgadd command as follows:

Bourne shell, Korn shell or GNU bash

```
NONABI_SCRIPTS=TRUE; export NONABI_SCRIPTS
pkgadd -G -d <Audit package name>
```

csh

```
setenv NONABI_SCRIPTS TRUE
pkgadd -G -d <Audit package name>
```

Error Setting Up Connection to eTrust Audit Database

Valid on Solaris

Symptom:

During the installation of the Policy Manager, Data Tools, or Reporter-Viewer, the following error appears when attempting to establish the connection to the Policy Manager or Collector database when using Oracle:

Bad Oracle service name

Solution:

Ensure that the Oracle System Identifier (SID) consists of four to eight characters. eTrust Audit requires a SID of at least four characters. A SID with fewer than four characters may cause remote connection errors on some platforms.

For more information about the Oracle SID, see the *Oracle Database Installation Guide 10g Release 1 (10.1) for UNIX Systems* and *Oracle Installation Guide 10g Release 2 for UNIX Systems*.

Configuring Data Sources Runs Slowly

Symptom:

It may take more than one minute to display and configure the eTrust Audit Data Sources, using the Configuration tab's Reporter / Viewer sub-tab. Access is faster after the first display, unless the browser is closed. In some cases, a blank page is displayed instead of the Audit Data Sources window.

Solution:

If a blank screen displays, click the Reporter / Viewer sub-tab again to display the Data Sources window.

Web Browser Times Out When Accessing Oracle

Symptom:

When using Oracle 10.2.0.1, the web browser displaying the eTrust Audit Administrator times out during routine operations.

Solution:

There is a known issue in Oracle 10.2.0.1 that causes frequent iGateway crashes. This causes the web browser to time out.

Download and install Oracle 10.2.0.2 to avoid this issue.

eTrust Audit Data Tools

The topics that follow describe the known issues, workarounds, and solutions for eTrust Audit Data Tools.

Scheduled Reports Do Not Show Scheduled Jobs

Symptom:

When using a version of Microsoft Internet Explorer lower than 5.0023, the list of scheduled jobs is not shown in the Scheduled Reports.

Solution:

Upgrade to Microsoft Internet Explorer 6.0 to meet minimum requirements.

Post-Collection Utility Cannot Be Installed

Symptom:

Errors appear when trying to install the Post-Collection Utility (PCU) using the eTrust Audit Post-Collection Utility package.

Solution:

Do not use the eTrust Audit Post-Collection Utility package to install the PCU. By design, the PCU is automatically installed as part of the Data Tools installation. This ensures proper configuration and functionality.

Collector Service Fails to Start

Valid on Windows

Symptom:

When running the eTrust Audit Collector service on Windows for the first time after installation, an error message appears indicating that the Data Source failed to open, and the Collector service fails to start.

Solution:

Do the following:

1. Review the following registry keys for the value, eAudit_DSN:
HKEY_USERS\DEFAULT\Software\ODBC\ODBC.INI
HKEY_CURRENT_USER\Software\ODBC\ODBC.INI
2. Delete the value, if it appears.

Cannot Insert Events in the Collector Database

Valid on AIX

Symptom:

Events cannot be inserted in bulk to an Oracle 9i Release 2 Collector database when running on an AIX system.

Solution:

A limit of 500 rows for a single bulk insert operation exists for Oracle 9i Release 2 databases running on AIX. The Collector database configuration parameter, MaxBulkInsertRows, is limited to a maximum of 500 rows for this database and operating system combination.

Health Monitor Audit Node and Policy Are Obsolete

The Health Monitor audit node (AN) and the HealthMonitor policies are obsolete and can be safely ignored. You can collect Health Monitor events with a policy that filters on Logname = 'eTrust Audit' and Src = 'Health Monitor'.

Health Monitor Event Source May Not Display in Security Monitor or Collector Database

Symptom:

Health Monitor generates events with Logname = "eTrust Audit" and Source = "Health Monitor" that may not appear in the Security Monitor or Collector database when an eTrust Audit Policy is deployed. But they do appear in the syslog (log file configured in syslog.conf) on AIX systems.

Solution:

1. Manually modify the recorder.ini file to update the location of the messages file to the directory, var/adm/messages, or any log filename configured in syslog.conf.

The section to be modified is shown in boldface type in the following example:

```
Syslog
{
    LogName = Unix
    DWORD:StartOver = 0
    DWORD:ReloadLogs = 0
    DWORD:SendUnmatched = 0
    DWORD:SkipCurrentLogs = 1
    MPFile = cfg/syslog.mp
    ConfigFile = /etc/syslog.conf
    DWORD:Source = 1
    LogFiles
    {
        Log1 = /var/adm/messages
    }
}
```

2. Restart the acrecorderd service using the following command:

```
/opt/CA/eTrustAudit/bin/acrecorderd -start
```

eTrust Audit Policy Manager

The topics that follow describe the known issues, workarounds, and solutions for eTrust Audit Policy Manager.

Cannot Access Policy Manager Database after Upgrade

Symptom:

The upgraded Policy Manager does not connect to the Policy Manager database in Microsoft SQL Server. The DSN exists and connection through the ODBC administrator is successful. Attempts to connect using the Audit Administrator interface return the error message:

Error: Can not read policies
Decrypt failed with internal error 376882
Failed to connect to the database

Solution:

Change the Policy Manager database user ID and password after upgrading to r8 SP2 in order to gain access to the database.

To change the Policy Manager database access credentials

1. Back up the current registry file.
2. Export HKEY_LOCAL_MACHINE\SOFTWARE\ComputerAssociates\eTrust Audit\Policy Manager\Database Key to a .reg file.
3. Run the encup utility with the command:

encup -ic
or
encup -hc

Note: If you not want the screen to display what you type, use the -hc parameter.

4. Enter and confirm the user ID and password.
This returns an encrypted value in comma-separated, hexadecimal format.
5. Edit the .reg file and paste in the entire encrypted value returned by the encup utility.
6. Run the .reg file so that the new values are saved to the registry.
7. Restart the Distribution Server and iGateway services.

Policy Manager Logs Display Reporter Log Entries

Symptom:

When you access the Policy Manager logs from user credentials with the Maker role, log entries from the Reporter are displayed in addition to the Policy Manager log entries.

Solution:

This is a known issue with the r8 SP2 Policy Manager. There is no workaround.

Default Policies Folder Not Visible in Policy Manager

Symptom:

Rules created under the Default Policies folder in eTrust Audit Policy Manager are not visible.

Solution:

The Default Policies folder is a repository of template policies and should not be used for policy deployment. The Policy Manager screen of Audit Administrator shows the template policies on the Policy Library tab.

To create new policies and rules, we recommend that you create your own policy folder and copy the rules from the Default Policies folder to your policy folder.

iGateway Service May Stop during MP File Import

Symptom:

The iGateway service may stop when an MP file is created using the Browse option (import), when it is committed, or when it is checked.

This problem occurs more frequently when the embedded Identity and Access Management (eIAM) server and the Policy Manager reside on the same physical server.

Solution:

Stop and restart the iGateway service and then try to import the file again.

Installing the eIAM server on a separate physical server helps to prevent this problem.

To restart the iGateway daemon on Solaris systems

1. Stop the iGateway service (Windows) or iGateway daemon (Solaris) with the command:

```
$IGW_LOC > S99igateway stop
```

2. Restart the iGateway service or daemon with the command:

```
S99igateway start
```

To restart the iGateway service on Windows systems

1. Stop the iGateway service with the command:

```
net stop igateway
```

2. Restart the iGateway service or daemon with the command:

```
net start igateway
```

Import of Custom AN Type Appears Unsuccessful

Symptom:

After the following procedure, a custom AN type created in an earlier Policy Manager version and migrated to r8 SP2 does not display correctly:

1. Create a custom AN type.
2. Create a folder that contains the custom AN type.
3. Generate a .ptf file using the utility, pmu_template_exchange, supplied with the r8 SP1 CR2 Policy Manager.
4. Copy the .ptf file to the r8SP2 Policy Manager server.
5. Generate an .xml file using the utility, acptf2xml, supplied with the r8 SP2 Policy Manager.
6. Run the utility, acxml2pmdb, to import the .xml file to the SQL database.
7. Log in to the Audit Administrator interface page.
8. Create a policy folder.
9. Click Create Policy and select the option, Create a policy from an existing policy.
10. Observe the AN node created.

The existing policy template is created with the name, policymame..version, for example:

policy1..1

No policy folder name is displayed.

Solution:

Log off from the Audit Administrator interface and then log in again. This causes display of the imported AN type as expected.

Policy Distribution to Disabled Node May Require Manual Reactivation

Symptom:

Distribution of a policy or MP File to a node that is physically inactive during the distribution processing may not be successful, even if the active polling feature is enabled. The message "Policy Failed to Distribute" appears in the Policy Manager interface.

Solution:

Re-activate the specific audit node manually from within the Policy Manager and then redistribute the policy or MP file to it.

Distribution Server is not Automatically Started on System Restart

Valid on Windows systems

Symptom:

After the Policy Manager is installed and the server machine is restarted, the Distribution Server service intermittently does not start automatically. The Distribution Server Service is not started as it tries to connect to Microsoft SQL Server which was not up with all of the databases mounted after reboot.

Solution:

To resolve this problem, start the Distribution Server service manually.

iTechPoz Services Set to Manual After Upgrade

Valid on Windows systems

Symptom:

After upgrading the Policy Manager from eTrust Audit Build 125 to r8 SP2 CR1 and you restart the server, both the *iTechPoz%SERVERNAME* and *iTechPoz%SERVERNAME-Router* services are in Manual startup mode. This causes an iPoz error in CA EEM as well as the eTrust Audit Admin user interface.

Solution:

Both of the iTechPoz services are set to manual start-up during the upgrade. Before restarting the Policy Manager server, set both of the services to Automatic.

If you have rebooted the Policy Manager server *before* setting these services to Automatic start-up, do the following:

1. Manually both iTechPoz services manually.
2. Restart the iGateway service.

eTrust Audit Reporter and Viewer

The topics that follow describe the known issues, workarounds, and solutions for eTrust Audit Reporter and Viewer.

Reporter and Viewer Cannot Connect to eTrust Audit Databases

Symptom:

After a successful installation, the eTrust Audit Reporter and Viewer are unable to connect to a Microsoft SQL Server or Oracle database.

Solution

When you install a database system, it uses a standard default port number. For example, Microsoft SQL Server uses default port 1433 and Oracle uses port 1521. The Reporter and Viewer are set out-of-the-box to use default port 1433 for SQL Server or 1521 for Oracle when connecting to the Collector and Policy Manager databases.

If the database server is not using the default port value, you must configure the Reporter and Viewer by inserting the correct port number for each data source. If you chose to use a different default port value during the installation of your DBMS, the Reporter and Viewer will not be able to connect until you change the port assignments.

To change the Reporter and Viewer port assignments

1. Navigate to the *Audit install/etc* directory.
2. Edit the *RVConfiguration.xml* file.
3. Locate the data source nodes for both the Collector and Policy Manager databases by finding the following lines:
For the Collector database:
`<dataType>event</dataType>`
For the Policy Manager database:
`<dataType>policy</dataType>`
4. Insert the following line just before the closing *</datasource>* tag for each data source which does not use a default port:
`<port>portnumber</port>`
5. Save the XML file.
6. Restart the Web Server to re-initialize the Reporter and Viewer applications.

Insufficient Privilege to Access Reporter and Viewer on Windows 2003

Symptom:

Receive an insufficient privileges error message when accessing the Reporter and Viewer from a Microsoft Internet Explorer browser in Windows 2003.

Solution:

A new feature in Windows 2003 called Internet Explorer Enhanced Security Configuration is blocking the Reporter and Viewer pages. Add both the Reporter and Viewer URLs to the Local Internet list in the browser security settings.

To enable Reporter and Viewer display

1. Access the Microsoft Internet Explorer web browser.
2. Select the Tools menu, then select the Internet Options menu item.
3. Select the Security tab.
4. Select the Local Intranet web zone.
5. Click the Sites button.
6. Click the Advanced button to add the URLs.

Unable to Start Reporter or Viewer with service.sh Script

Symptom

Unable to start or stop the Tomcat Web Service for the Reporter and Viewer using the provided service.sh script. The Reporter and Viewer were installed with a custom path, for example /opt/eTrust.

An attempt to start or stop the service from the custom installation directory displays the following error message:

```
bash-2.05# pwd
/opt/eTrust/jakarta-tomcat-5.0.28/bin
bash-2.05# ./service.sh stop "$JAVA_HOME" "$CATALINA_HOME" "root"
cat: cannot open /opt/CA/eTrustAudit/jakarta-tomcat-5.0.28/jsvc.pid
./service.sh: usage: kill [[ -sig ] id ... | -l]
./service.sh stop "$JAVA_HOME" "$CATALINA_HOME" "root"
/opt/CA/eTrustAudit/jakarta-tomcat-5.0.28/jsvc.pid: No such file or directory
```

Solution:

You must start and stop the Reporter and Viewer from the /bin directory of the eTrust Audit installation for proper functioning.

To use the service.sh script after installing with a custom installation path

1. Navigate to the /<install Audit path>/bin directory
2. Run the service.sh script from that directory using one of the following commands:

```
./service.sh start "$JAVA_HOME" "$CATALINA_HOME" "root"
./service.sh stop "$JAVA_HOME" "$CATALINA_HOME" "root"
```

Cannot Display Desired Maximum Rows in Viewer

Symptom:

When configuring the number of rows for display in the Viewer, cannot set the value to more than 1000.

Solution:

There is a maximum limit of 1000 rows set in the Viewer to maintain operational efficiency.

Authentication Fails for Reporter or Viewer

Symptom:

When using a Microsoft SQL Server 2000 or 2005 database with Credential type Windows Authentication, you may experience a failure to authenticate when accessing the eTrust Audit Reporter and Viewer. This error can occur immediately after installation, or when you are creating a new DSN name for use with a remote database.

Solution:

Configure the service to use the account credentials at startup.

To configure the service with account credentials

1. Click Start, Settings, Control Panel, Administrative Services, and then access the Services tab.
2. Right-click the eTrust Audit Web Server service and select Properties....
3. Click the Log On tab.
4. Select the This account radio button and enter the domain user name and password in the fields to the right.
5. Save the changes and restart the service.

Improper Results When Using Viewer Filters

Symptom:

When using a Microsoft SQL Server or Oracle database for the Collector database, the eTrust Audit Viewer filter does not give proper results.

Solution:

The eTrust Audit Viewer filters are case-sensitive for Microsoft SQL Server or Oracle Collector databases. You must use the correct character type when defining the filters.

Viewer Slows Down When Using "Show all events" Filter

Symptom:

When using the *Show all events* filter in the eTrust Audit Viewer, the Viewer becomes slow or stops responding.

Solution:

With the *Show all events* (as opposed to *Show top records*) option selected, the Viewer can be slow and unresponsive when processing large amount of data from the Collector database. It also waits for the query to return data from the database, so if the data is difficult to locate, the Viewer can appear unresponsive. This happens when the query is searching matched rows using non-indexed fields of the Collector database. Either wait for the results to return, or exit the application.

You can use more specific selection criteria to reduce the result set size and prevent slowdowns. Generally, you should use a report when you want to look at a set of data that would span more than a couple of screens in the Viewer.

Example:

Limit the range of dates in the query, or select *Show top records* and specify a smaller number of records to view (no more than 1000).

Generated RTF Reports Do Not Open Directly

Symptom:

When opening a scheduled and generated a Rich Text Format (RTF) report, a dialog appears that requires you to select whether to open or save the report. The report should open by default, without having to respond to the dialog or save it first.

Solution:

By default, Microsoft Office plugins for Internet Explorer do not open embedded documents for security reasons. Check the "always open files of this type" check box to cause the reports to open without prompting.

Report Templates Not Localized in eTrust Audit Reporter

Symptom:

When a localized version of the Reporter is installed on a computer with localized Windows, some dialogs in the Reporter are displayed in English and the report templates are not localized.

Solution:

The Reporter uses a non-localized version of Crystal Reporter XI R2 (Business Objects). Because of this, some dialogs remain in English and the report templates cannot be localized. The report data is localized if the data is in a supported language.

Export a Report

You can export a report created in Crystal Reports format to another format, or to a new location.

To export a report

1. Generate a new report in Crystal Reports, or select a report previously generated in Crystal Reports.
The report opens in a new window.
2. Click the Export button at the top left of the window.
The Export Report dialog appears.
3. Select the format you want from the File Format drop-down menu. You can select Crystal Reports to save the report to your computer.
4. (Optional) Specify a page range for the export.
5. Click OK.
A dialog appears, prompting you to open or save the report in the format you want.

Viewer Report Displays Improper Page Numbers

Symptom:

When using Microsoft Word 2003 SP2 to import a report that is exported using Crystal Reports Viewer to Microsoft Word-Editable RTF format, all the page numbers appear either as page 1, or the number specified in the field, From page number.

Solution:

To import the report into Microsoft Word, you must export to RTF.

Reports Not Generated at Scheduled Time

Valid on Solaris

Symptom:

The Reporter is configured to generate recurrent reports at a particular time. During the seasonal switch to daylight savings time, the scheduled report is generated either one hour earlier or one hour later than the scheduled time.

Solution:

Ensure that the Reporter computer's time is correctly maintained between Daylight Saving Time periods.

Ensure the system time is synchronized with the real local time for that time zone.

General

The topics that follow describe the general known issues, workarounds, and solutions for eTrust Audit.

Unable to Set Up Services after eTrust Audit Install or Upgrade

Symptom:

While installing, upgrading, or uninstalling eTrust Audit, an attempt to start, stop, add, or remove an eTrust Audit or iGateway service causes the installation, upgrade, or uninstall to fail.

Solution:

The Service Applet Window sometimes locks the service table. If the eTrust Audit install, upgrade, or uninstall process attempts to start, stop, add, or remove a service while the table is locked, the task will fail causing the install, upgrade, or uninstall to fail. The Service Applet window must be closed when installing, upgrading, or uninstalling eTrust Audit.

Removal of Third-Party Software Disables Portmapper Service

Symptom:

During eTrust Audit installation, if a third-party compatible portmapper service is detected on the computer, eTrust Audit uses that service. The eTrust Audit Portmap service is still installed by default, but it is automatically disabled to allow use of the third-party portmapper service. This prevents problems with other software packages, but allows Audit to run normally.

If the third-party portmapper is removed from the computer where Audit is running, you must manually reconfigure Audit to use the default eTrust Audit Portmap service.

Solution:

You can use the following procedure to reconfigure the eTrust Audit Portmap service.

To reconfigure the Portmap service

1. Access the Windows Registry Editor.
2. Locate the key:
My Computer, HKEY_LOCAL_MACHINE, SYSTEM, ControlSet001, Services, eTrust Audit Log Router
3. Select the DependOnService key in the right pane.
4. Modify the current value "portmap LanmanWorkstation LanmanServer" with the new value as "eTrust Audit portmap LanmanWorkstation LanmanServer".
5. Restart the computer.

The eTrust Audit Log Router service starts and Audit should operate normally.

iGateway Service Does Not Start Successfully on Solaris

Symptom:

The Security Monitor shows frequent attempts to restart the iGateway daemon, but it is not able to start successfully. The watchdog daemons continue to try to restart iGateway generating a self-monitoring message each time.

Solution:

The Distribution Server is preventing the iGateway daemon from starting properly. To resolve this situation, do the following:

1. Stop the Distribution Server.
2. Start iGateway.
3. Restart the Distribution Server after iGateway has started up completely.

Watchdog Service Does Not Start Properly

Valid on HP-UX PA-RISC and Itanium platforms

Symptom:

After a system restart, the watchdog service that ensures that the iGateway daemon is running does not start. Only the iGateway daemon is running.

Solution:

Manually stop and then restart the iGateway daemon. This automatically restarts the watchdog service.

eTrust Audit Services Fail to Stop

Symptom:

Attempts to stop eTrust Audit services sometimes fail.

Solution:

If the eTrust Audit services cannot be stopped, use the *kill.exe* utility from the eTrust Audit installation directory to kill the service executables.

Mixed Versions of eTrust Audit Components Do Not Function Properly after Upgrading

Symptom:

When different versions of eTrust Audit components are installed on the same computer, they do not function properly.

Solution:

You must upgrade all eTrust Audit components on the same system to the same release for the product to function properly.

acstat Utility Returns "Cannot find file (filename)" Error Message

Valid on all UNIX platforms

Symptom:

After completing an eTrust Audit install, running the acstat utility in the same console window may produce output containing an error message similar to the following in the iTechnology section:

"Cannot find file: (filename)"

Solution:

Verify that the required environment variables (like LIBPATH) are exported. If they are not exported, do the following:

1. Access a command prompt.
2. Navigate to the directory, Audit_install_dir/bin
3. Execute the following script:

`./ac_set_env.sh`

ac_set_env.sh Command Fails

Valid on HP-UX

Symptom:

When running `./ac_set_env.sh` within a POSIX shell session, the command fails with the following error:

`sh: SHLIB_PATH: Parameter not set`

Solution:

Run a Korn shell session (`/usr/bin/ksh`), and then run the following command again:

`./ac_set_env.sh`

Knowledge Base

The topics that follow describe the known facts, workarounds, and solutions for eTrust Audit.

Changes to iRecorder Not Reflected in List of iRecorder Hosts

Symptom:

When changing the host name of an iRecorder host or installing a new iRecorder, the changes are not immediately reflected in the list of discovered iRecorders in the Audit Administrator's iRecorder Manager.

Solution:

The list of discovered iRecorders in the iRecorder Manager is not refreshed automatically whenever you change the host name of a discovered iRecorder host, add or remove iRecorders on a discovered host, or install new iRecorders on a new host.

The iRecorders are discovered by Host Discovery jobs. The next time you execute the discovery job, changes on a discovered host are reflected in the list. The host with newly installed iRecorders is discovered if it is in the subnet of an existing job, or if you define a new job for the new host's subnet.

Use the Show Host option to directly browse a host from which you want to retrieve information on the installed iRecorders or to manage the iRecorders.

Microsoft SQL Server Connection Fails

Symptom:

When trying to connect to a Microsoft SQL Server 2000 database from a client with an ODBC driver older than 2000, the connection fails.

Solution:

Do the following:

- Verify the SQL Server 2000 Network Library configuration for the connection type by invoking the Client Configuration dialog.
- When you select Named Pipes as the Network Library, ensure that the Pipe name is the same as the server to which it is pointing.

Note: If you are not sure of the server pipe name, select TCP/IP as Network Library.

- Verify the ODBC services to determine whether an upgrade to the latest MDAC is necessary.

Newly Generated Events Not Displayed

Symptom:

A Collector database query for newly generated events does not display the events.

Solution:

If new events are generated, the queries do not show these events until the AuditExtendString table created by the Post-Collection Utility is populated.

Harvesting Events Fails with eTrust VPN or eTrust Access Control Installed

Symptom:

When eTrust VPN and eTrust Access Control are installed on the same network, eTrust Audit experiences problems harvesting the events.

Solution:

When eTrust VPN or eTrust Access Control is installed on the network, either product can block access for eTrust Audit messages or other information in need of delivery.

Stop eTrust VPN or eTrust Access Control to determine whether the problem persists.

Data Tools Uninstall Removes eAudit_DSN ODBC Data Source

Symptom:

When Data Tools are uninstalled, the data source named eAudit_DSN is removed.

Solution:

The Data Tools access the Collector database using an ODBC data source. The data source eAudit_DSN is created automatically during installation. When you uninstall the Data Tools, this data source name is also removed.

Do not use eAudit_DSN as the name of the ODBC data source for other programs you install. This name is reserved for the Data Tools.

Mail Delivery Problems Using SMTP

Symptom:

SMTP mail delivery is not functioning properly.

Solution:

If you have problems with mail delivery using SMTP, you might need to change the name for the mail sender. Certain SMTP servers require the mail sender to have a valid mail account.

The name of the mail sender is stored in the value entry *Sender* under the registry key:

`HKEY_LOCAL_MACHINE\SOFTWARE\ComputerAssociates\leTrust Audit\Mail`

Chapter 10: Fixed Issues

This section contains the following topics:

[Test Fixes](#) (see page 93)

[Fixed Issues List](#) (see page 93)

Test Fixes

eTrust Audit r8 SP2 CR1 contains the following test fixes:

RO04803	QO94353	T5HU004	T35E121	T35E116
RO02694	QO94057	T5H9009	T35E120	T35E117
RO01054	QO93909	T5HU003	T5IU001	T35E115
RO00546	QO90315	T35E123	T5G4014	T35E114
QO95511	T5G4027	T35E122	T35E118	T4A5020
QO95260	T5FS011	T5FS010	T35E119	T4A5018
QO94490	T35E124	T4A5043	T5HL005	

Fixed Issues List

The following issues are fixed in the r8 SP2 CR1 release:

15018433	15882826	16974228 / 01	17190350	17658920
15108944	15886509 / 01	16986832	17198245	17658925
15112543	15957937	16987582	17216923 / 2	17658937
15186099 / 01	15963917	17055397	17236203	17658958
15205754	16097345	17055397	17238157	17658977
15216636	16097807	17055446	17477693 / 01	17659066
15266211	16179405 / 01	17068469	17482584	17675671 / 1
15279620	16179499	17068469	17482667	17675671 / 2
15337411	16179499 / 2	17068495	17483088	17683059
15417746	16179499 / 3	17068495	17483095	17683059 / 02
15470600	16179499 / 4	17137083	17483135	17733748

15472775	16299173 / 01	17146458 / 2	17483163	17733748 / 01
15494094	16299173 / 02	17160756	17486963 / 01	17733776 / 01
15583389	16299173 / 2	17160793	17563213 / 01	17935041
15644898	16497183 / 05	17160835	17656613 / 01	
15732559	16569229 / 2	17161024	17658596	
15733432	16569229 / 3	17161029	17658651	
15819249	16712926	17161048	17658695	
15841505	16969169	17168836	17658717	
15882810 / 01	16969169 / 01	17168931	17658881	

Chapter 11: Documentation

This section contains the following topics:

[Bookshelf](#) (see page 95)

[How to Use the Bookshelf](#) (see page 95)

Bookshelf

The Bookshelf provides access to all eTrust Audit documentation from a central location. The Bookshelf includes the following:

- Single expandable list of contents for all guides in HTML format
- Full text search across all guides with search terms highlighted in the content and ranked search results
- Breadcrumbs that link you to higher level topics
- Single index across all guides
- Links to PDF versions of guides for printing

The Audit_bookshelf_enu.zip is available for download from the following location:

- [CA Support Site](#)

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How to Use the Bookshelf

To use the Bookshelf

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2. Choose one of the following methods:
 - Open the Bookshelf.hta file if the bookshelf is on the local system and you are using Internet Explorer.
 - Open the Bookshelf.html file if the bookshelf is on a remote system or if you are using Mozilla Firefox.

The Bookshelf opens.

Chapter 12: Third-Party Acknowledgements

This section contains the following topics:

- [Apache Licenses](#) (see page 97)
- [NET-SNMP 5.1.2](#) (see page 102)
- [OpenSSL Toolkit 0.9.8.d](#) (see page 105)
- [Sun JDK 1.4.2_13](#) (see page 108)
- [Sun JDK 1.6.0](#) (see page 121)

Apache Licenses

The following Apache products are used by eTrust Audit r8 SP2 CR1:

- Apache Ant 1.6.5
- Apache Tomcat 5.0.28
- Apache Xalan-C 1.9.0
- Apache Xerces-C 2.6.0

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NET-SNMP 5.1.2

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