CA AuthMinder™

Installation and Deployment Guide for Microsoft Windows

r7.1



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Chapter 1: Understanding the Basics

With the exponential increase in cases of Internet-based fraud over the last few years, relying just on user name-password for authentication is no longer sufficient. The need for stronger authentication can either be to protect end users or to comply with government-mandated security requirements, internal policies, or best practices. However, adding stronger authentication often creates conflict between compliance requirements and user convenience. In other words, organizations must increase the security of their authentication processes by reducing the complexity, and reduce the risk of financial losses or brand damage by increasing the customer and partner access to applications and data.

CA AuthMinder (later referred to as AuthMinder) is a trusted strong authentication service that enables your application to verify and protect the identity of your end users by:

- Not transmitting passwords (either in clear or encrypted form) over the network.
- Enabling you to choose the authentication method that best suits the security and convenience of different types of users.
- Using ArcotID PKI® and ArcotID OTP, which are based on the patented
 Cryptographic Camouflage technique to protect keys.

In *Cryptographic Camouflage*, the keys are not encrypted with a password that is too long for exhaustive attacks. Instead, keys are encrypted such that only one password will decrypt it correctly, but many passwords can decrypt it to produce a key that looks valid enough to fool an attacker. As a result, this method protects a user's private key against dictionary attacks and Man-in-the-Middle (MITM) attacks, as a smartcard does, but entirely in the software format.

See "How Cryptographic Camouflage Works" (see page 20) for more information.

This guide provides information for planning the deployment of CA AuthMinder based on different solution requirements. Each solution consists of multiple components that interact with each other and other systems in an enterprise or multiple-network systems.

The biggest advantage of AuthMinder is that it enables you to upgrade security from simple user name-password authentication without changing the user login experience or critical business processes by using an ArcotID PKI for authentication.

This chapter introduces you to AuthMinder and covers the following topics:

- AuthMinder as a Versatile Authentication Server (see page 12)
- Plugging Into AuthMinder (see page 13)
- AuthMinder Architecture (see page 14)
- ArcotID PKI Key Concepts (see page 17)
- User Authentication in AuthMinder (see page 23)
- What's New in this Release (see page 25)

Note: CA AuthMinder still contains the terms Arcot and WebFort in some of its code objects and other artifacts. Therefore, you will find occurrences of Arcot and WebFort in all CA AuthMinder documentation. In addition, some of the topics in this guide do not follow the standard formatting guidelines. These inconsistencies will be fixed in a future release.

AuthMinder as a Versatile Authentication Server

AuthMinder is a *Versatile Authentication Server* (*VAS*) because it supports the implementation of a wide range of proprietary and open authentication mechanisms. In addition to supporting authentication by using Public Key Infrastructure (PKI) and one-time password (OTP), it is also designed to plug in any existing authentication methods, if required, thereby enabling your organization to handle changes to critical systems and partner applications seamlessly.

As a result, the VAS functionality of AuthMinder provides your organization the complete flexibility to choose the authentication method that best suits the needs of your end users. You can choose to:

- Integrate with a variety of standard authentication interfaces.
- Implement standards-based hardware or software authentication methods.
- Add new authentication methods, such as ArcotID PKI, while continuing to support legacy technology, such as OTP tokens.
- Extend AuthMinder VAS through Plug-ins to do proprietary authentication.

Plugging Into AuthMinder

AuthMinder provides the following authentication methods out-of-the-box:

■ ArcotID

ArcotID PKI is a CA-proprietary secure software credential that provides two-factor authentication. An ArcotID PKI is a small data file that by itself can be used for strong authentication to a variety of clients such as, Web or Virtual Private Networks (VPNs).

See "ArcotID PKI Key Concepts" (see page 17) for more information on ArcotID PKI.

Password

A regular credential, where the user is issued a username and a password to log in to the system.

One-Time Password

One-time password is another credential generated by AuthMinder Server. An OTP can be numeric or an alpha-numeric string. It is also possible to configure the number of times it can be used.

■ OATH-Compliant One-Time Password

One-time passwords that are complaint to Open Authentication (OATH) standards. AuthMinder supports both counter-based OATH OTPs (HOTPs) and time-based OATH OTPs (TOTPs).

Question and Answer

Question and Answer (also known as *QnA*) is a challenge-response authentication mechanism. Users authenticate to AuthMinder Server by providing correct answers for the questions they are asked. These Questions and Answers are set by the users themselves during registration.

■ ArcotOTP

ArcotID OTP is compliant to the OATH, Europay, MasterCard, and VISA (EMV) standards. If your application is integrated with ArcotID OTP, then it accepts the user's password as an input and generates passwords (also known as *passcodes*) on the users' device. The user, then, submits this generated passcode to authenticate to your Web application. Based on the authentication result, the user is granted access to the protected application or denied access.

Passcode generation is an offline process, which means that your application need not be connected to AuthMinder for generating passcodes.

■ LDAP Username-Password

AuthMinder supports LDAP authentication, where the user credentials in the directory service are used to authenticate users.

You can issue one or more of these credentials to your users. You can also issue multiple credentials of the same type. For example, you can issue two password credentials, an ArcotID PKI credential, and a QnA credential for a single user.

If you want to extend the default authentication mechanisms, then AuthMinder provides you the flexibility to do so by writing <u>Plug-Ins</u> (see page 14).

Plug-Ins

Plug-in is a custom server-side component, written in C or C++, that enables you to extend the functionality of AuthMinder VAS. Plug-in is implemented as a custom event handler library within the context of the AuthMinder Server.

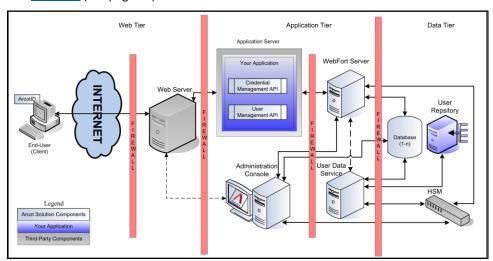
You must register your plug-in (by using the Administration Console) to a published set of events, so that the plug-in is invoked when the specified event occurs.

You can configure multiple plug-ins for one organization, and you can also configure the same plug-in for multiple organizations.

AuthMinder Architecture

You can install AuthMinder on a single system or you can distribute its components across multiple systems. However, to ensure maximum security of transactions, it is recommended that you implement the architecture shown in the following figure:

- Web Tier (see page 15)
- <u>Application Tier</u> (see page 16)
- <u>Data Tier</u> (see page 17)



The following subsections discuss the AuthMinder components in each of these layers.

Web Tier

This layer comprises the static (HTML) content and interacts directly with the user over a network or the Internet.

This layer serves the ArcotID PKI Client (Java, Flash, or Native) to the end user's browser. ArcotID PKI Client interacts with AuthMinder Server for user authentication. It collects the ArcotID PKI password, signs the challenge, and then sends the signed challenge to the AuthMinder Server for verification.

Note: See the CA ArcotID PKI Client Reference Guide for details on ArcotID PKI Client.

Application Tier

This layer constitutes AuthMinder Server, your application that uses AuthMinder SDKs, and the application servers where the Administration Console and the User Data Service (UDS) reside.

Note: All components in this layer can be installed on one system or can be distributed across multiple systems.

■ AuthMinder Server

Server component that processes issuance and authentication requests from your application through AuthMinder SDKs.

Administration Console

Web-based console for configuring server instances, communication mode between AuthMinder components, authentication policies, credential profiles, managing credentials, and for managing organizations, administrators, and users.

■ User Data Service

The abstraction layer that provides access to user- and organization-related data from different types of user repositories, such as Relational Database Management Systems (RDBMSs) and directory servers (LDAPs).

■ Authentication API

Java APIs that can be invoked by your application to forward authentication requests to AuthMinder Server.

■ Credential Management API

Java APIs that can be invoked by your application to forward issuance requests to AuthMinder Server for creating and managing user credentials in AuthMinder.

User Management API

The Web Services client that can be invoked by your application to forward issuance requests to User Data Service for creating and managing users in AuthMinder.

■ Sample Application

Sample Application demonstrates the usage of AuthMinder Java APIs and how your application can be integrated with AuthMinder. The Sample Application can also be used to verify if AuthMinder was installed successfully, and if it is able to perform issuance and authentication operations.

Data Tier

This tier comprises the RDBMSs that AuthMinder uses to store configurations, credential information, and user data if other user repositories are not configured.

If Hardware Security Module (HSM) is used to encrypt the user sensitive data, then it will be a part of this tier.

ArcotID PKI Key Concepts

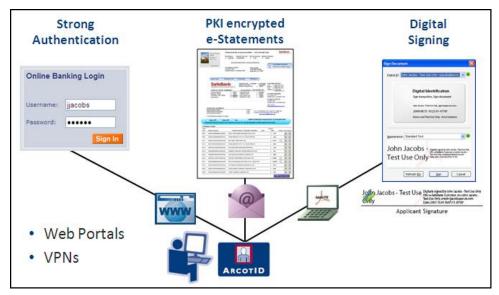
This section introduces the key concepts of ArcotID PKI, which is a prime credential that AuthMinder supports.

- Introduction to ArcotID PKI (see page 18)
- <u>ArcotID PKI File Structure</u> (see page 20)
- How Cryptographic Camouflage Works (see page 20)
- <u>Support Roaming Download</u> (see page 21)
- ArcotID PKI as a Secure Container (Key Authority) (see page 22)
- ArcotID PKI Client (see page 23)

Introduction to ArcotID PKI

An ArcotID PKI offers the same capabilities as a physical smartcard for authentication, digital signing, encryption, and decryption for PKI-enabled applications, without requiring any end-user hardware. The ArcotID PKI can authenticate to any Web application, even if that application does *not* support PKI-based authentication.

The following figure illustrates the use cases for ArcotID PKI.



An ArcotID PKI is a data file that is saved on an end user's computer, USB drive, or downloaded remotely for secure on-demand authentication. Unlike the simple password, an ArcotID PKI is *not* vulnerable to brute force password attacks. Additionally, the ArcotID PKI is not vulnerable to man-in-middle attacks, which, in turn, protects users from phishing attacks.

An ArcotID PKI can be used for strong authentication with a variety of applications, such as the Web or Virtual Private Networks (VPNs).

The ArcotID PKI is a configurable solution that bridges the gap between simple-but-insecure username-password-authentication and expensive-difficult-to-deploy, but very secure smartcard and USB token solutions.

The ArcotID PKI is based on industry standards and CA's patented Cryptographic Camouflage technology to provide software-only, strong authentication that is protected against brute force attacks.

Although an ArcotID PKI is protected by a password, it supports the following features to provide strong authentication:

- Only the correct ArcotID PKI password can access an ArcotID PKI.
- A plausible response is generated for every ArcotID PKI password entered, even if it is incorrect. As a result, preventing offline identification of the ArcotID PKI password is not easy.
- The ArcotID PKI authentication is a challenge-response authentication protocol, which ensures the user's password is only used locally and never transmitted or verified at the server end.
- Repeated incorrect ArcotID PKI password entries locks out ArcotID PKI depending on the maximum authentication attempts configured.
- Is valid only in the domain that issued the ArcotID PKI.
- ArcotID PKIs can only be used online, which means the user must connect to AuthMinder Server to validate their ArcotID PKI password.

ArcotID PKI File Structure

An ArcotID PKI contains the following main components:

- 1. The standard X.509v3 digital certificate with CA-specific extension.
- 2. A second pair of public and private keys that is generated for authenticating to AuthMinder Server. It is not used for general signing or encryption.
 - The public key is stored in the encrypted format. It is encrypted using the *Domain Key*, which is used to create and authenticate ArcotID PKIs. You can configure a domain key at the global-level or at the organization-level. An ArcotID PKI issued with the organization-specific domain key *cannot* be used across organizations.
 - The private key is cryptographically camouflaged by using the ArcotID PKI password.
- 3. A section to store the user's Open PKI keys and certificates, which they can use for signing, encrypting, and decrypting. See "ArcotID PKI as a Secure Container (Key Authority)" (see page 22) for more information.

How Cryptographic Camouflage Works

With the advent of support for public key cryptography in Web browsers, the use of public key cryptographic signatures and authentication protocols is becoming more common. The security of the private key, however, remains a problem. The most basic threat is the theft of a private key that is stored on a disk. Usually such a key is stored in a software key container, a file, wherein the keys are encrypted by using a password. An attacker who steals the container can try to guess the password using a dictionary attack.

To overcome such problems, CA proposes a method for secure storage of private keys in software, using cryptographic camouflage, where attacks on the key container are inherently supervised. The key container embeds the user's private key among spurious private keys. An attacker who tries to crack the key container will recover many plausible private keys, but will not be able to distinguish the correct private key from the spurious decoys until they use the keys to sign the challenge and send it to AuthMinder Server. In such cases, AuthMinder Server notices the multiple authentication failures and suspends the user's access.

Support Roaming Download

ArcotID PKI can be downloaded by using any device while the user is traveling. This feature is known as *Roaming*. AuthMinder Server offers roaming capabilities to enable the user to securely download the ArcotID PKI and authenticate from any system when the need arises. As a result, this provides instant access to the critical data and services whenever required, while keeping the data safe from unauthorized access.

A roaming user can be authenticated using QnA, OTP, or any customized third-party solutions.

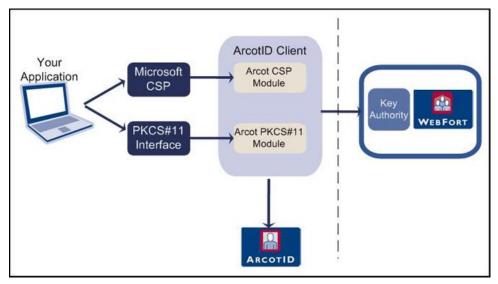
ArcotID PKI as a Secure Container (Key Authority)

In addition to providing strong authentication, ArcotID PKI can also be used as a secure container to store digital certificates and private keys that can be used for different applications or operations such as, email signing (S/MIME), document signing, certificate-based authentication (open PKI). This process of managing private key storage in the ArcotID PKI is performed by *Key Authority* (KA).

An unsigned attribute is created in the ArcotID PKI to store these credentials and this attribute is referred to as *Key Bag* or *Key Vault*. The digital certificates are stored in an unencrypted format in the Key Bag, but the private keys are encrypted using a key called *Key Authority* key, which is stored in the AuthMinder database.

To use the private keys that are stored in a Key Bag, the ArcotID PKI Client (see "ArcotID PKI Client" (see page 23)) makes a request for the KA key to AuthMinder Server by signing the request with the user's private key. The AuthMinder Server authenticates the incoming request and sends the KA key to the client, which then uses this key to open the Key Bag and access the private keys.

The following figure illustrates how to use ArcotID PKI as an open PKI container.



ArcotID PKI Client

The ArcotID PKI Client software is used in conjunction with AuthMinder Server. The ArcotID PKI Client enables an end user to use an ArcotID PKI in a Web browser to authenticate to a Web site, VPN, or other online resources.

To support a wide variety of application environments (operating systems, browsers, JVMs), the ArcotID PKI Client is available in a variety of flavors, such as:

- Native Client
- Flash Client
- Java Signed Applet
- Java Unsigned Applet
- JavaScript Client

Note: Refer to the *CA ArcotID PKI Client Reference Guide* for more information on these client types.

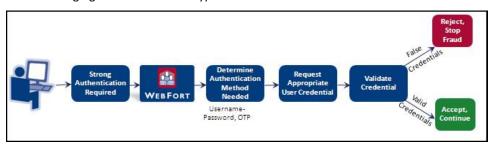
User Authentication in AuthMinder

A user trying to access the Web application protected by AuthMinder can be authenticated using any of the out-of-the-box credentials supported by AuthMinder.

In all the authentication mechanisms, the client is provided with an authentication token after every successful authentication. The authentication token is further used to prove that the client is already authenticated to the server. The authentication token is valid only for a certain interval, after which the client has to re-authenticate to the server.

All password type credentials namely, password, OTP, ArcotID OTP, and OATH OTP are based on the single-step authentication model, which means the credentials are passed by the client to the user and the server verifies the user credentials.

The following figure illustrates the typical authentication workflow.



However, ArcotID PKI and QnA are based on the challenge-response authentication model. These authentication mechanisms include multiple steps to authenticate users.

ArcotID PKI Authentication Workflow

Authentication using ArcotID PKI is a PKI-based challenge-response mechanism. The client obtains an authentication token by proving the private key of the user. The client-server interactions during authentication are as follows:

1. Get User Credentials

Your application or the resource which is protected by AuthMinder obtains the user credentials. For example, if the user's ArcotID PKI is not available on the system or the USB.

2. Get Appropriate Challenge

Your application requests for a challenge used to authenticate the user.

AuthMinder Server prepares a unique challenge and sends it to your application.

3. Generate Signature

The user enters the correct ArcotID PKI password to uncover the ArcotID PKI. The client signs this challenge with the user's private key that is available as a result of uncover. The challenge can either be pre-loaded on the client machine or can be downloaded from the server.

4. Verify Signed Challenge

The signed challenge is sent to the AuthMinder Server for verification. If the signature is verified successfully, the user can login or access your protected resource. For every successful transaction, AuthMinder also returns an authentication token for a user.

The following figure illustrates the ArcotID PKI authentication flow.



What's New in this Release

See the release notes for information about the key features and enhancements that have been introduced in release 7.1.

Chapter 2: Planning the Deployment

This chapter will help you to select a deployment model, and determine which AuthMinder components and prerequisite software to install on each system. Architecture diagrams for each deployment model are also provided to assist you with planning.

Note: In this guide, *system* refers to a physical device and *server* refers to the software that is run on the system.

The chapter covers the following topics:

- <u>Deployment Overview for Fresh Install</u> (see page 28)
- <u>Deployment Overview for Upgrade</u> (see page 30)
- Choosing a Deployment Model (see page 30)

Deployment Overview for Fresh Install

This section briefly outlines the steps for deploying AuthMinder:

- 1. Choose a deployment model that suits your business needs. AuthMinder can be installed on a single system or across multiple systems.
 - See "Choosing a Deployment Model" (see page 30) for more information.
- 2. Ensure that the system where you plan to install AuthMinder and its components meets all hardware requirements.
 - See "Hardware Requirements" (see page 39) for more information.
- 3. Install the prerequisite software.
 - See "Software Requirements" (see page 40) for more information.
- 4. Configure the database server where you plan to seed the AuthMinder schema:
 - See "Configuring Microsoft SQL Server" (see page 45) for more information about configuring the MS SQL Server database.
 - See <u>"Configuring Oracle Database"</u> (see page 47) for more information about configuring the Oracle Database.
 - See "Configuring IBM DB2 Universal Database" (see page 49) for more information about configuring the IBM DB2 database.
 - See "<u>Configuring MySQL</u> (see page 50)" for more information about configuring the MySQL database.
- 5. Install AuthMinder:
 - See <u>"Deploying AuthMinder on a Single System"</u> (see page 61) for single-system deployment.
 - See <u>"Deploying AuthMinder on Distributed Systems"</u> (see page 97) for distributed-system deployment.
- 6. Run SQL scripts in the database to create the AuthMinder schema and set initial configuration values:
 - See "Running Database Scripts" (see page 70) for single-system deployment.
 - See <u>"Running Database Scripts"</u> (see page 109) for distributed-system deployment.
- 7. Copy required files and JARs on your application server. The Administration Console and the User Data Service use these files for proper functioning:
 - See <u>"Preparing Your Application Server"</u> (see page 71) for more information about copying the files for single-system deployments.
 - See <u>"Preparing Your Application Server"</u> (see page 110) for more information about copying the files for distributed deployments.
- 8. Deploy the Administration Console:

- See "Deploying Administration Console" (see page 79) for more information about deploying Administration Console for single-system deployments.
- See "<u>Deploying Administration Console</u>" (see page 117) for more information about deploying Administration Console for distributed-system deployments.
- 9. **(Optional)** Prepare your Administration Console for localization, if you want to use languages other than English.
 - See appendix, "Preparing for Localization" (see page 205) for more information.
- 10. Log in to Administration Console as Master Administrator and initialize AuthMinder:
 - See "Logging In to Administration Console" (see page 81) and "Bootstrapping the System" (see page 82) for more information about initializing the Administration Console for single-system deployments.
 - See "Logging In to Administration Console" (see page 119) and "Bootstrapping the System" (see page 82) for more information about initializing the Administration Console for distributed-system deployments.
- 11. Start the AuthMinder Server and verify that the service has coming up correctly:
 - See <u>"Starting AuthMinder Server"</u> (see page 84) and <u>"Verifying the Installation"</u> (see page 85) for more information about starting the AuthMinder Server for single-system deployments.
 - See <u>"Starting AuthMinder Server"</u> (see page 84) and <u>"Verifying the Installation"</u> (see page 85) for more information about starting the AuthMinder Server for distributed-system deployments.
- 12. Deploy and run the Sample Application to test AuthMinder installation:
 - See "Deploying Sample Application" (see page 89) and "Using Sample Application" (see page 89) for more information about doing this in a single-system environments.
 - See "Deploying Sample Application" (see page 128), "Configuring Sample
 Application for Communication with AuthMinder Server" (see page 129), and "Using Sample Application" (see page 130) for more information about doing this in a distributed environment.
- 13. **(Optional)** Deploy User Data Service (UDS). You need to do so *only if* you want to use directory service as user repository:
 - See "Deploying User Data Service" (see page 87) for more information about deploying and starting UDS for single-system deployments.
 - See <u>"Deploying User Data Service"</u> (see page 125) for more information about deploying and starting UDS for distributed deployments.

Deployment Overview for Upgrade

This section briefly outlines the steps for upgrading AuthMinder:

- 1. Check for the latest hardware and software support:
 - See "Hardware Requirements" (see page 39) for more information.
 - See <u>"Software Requirements"</u> (see page 40) for more information.
- 2. Upgrade your setup.

See chapter, "<u>Upgrading to AuthMinder 7.1</u>" (see page 143) for more information about upgrade instructions.

Choosing a Deployment Model

As a part of AuthMinder deployment, AuthMinder Server is the primary component that you must install as it authenticates users. Your applications that need to use AuthMinder Server can integrate with it by using Java SDKs or Web Services shipped with it.

AuthMinder also requires an SQL database for storing server configuration data, user-specific preferences, and usage data.

Typically, all AuthMinder components are installed on a single system for development and simple testing. However, in production deployments and staging environments, it is recommended that AuthMinder Server be installed on its own system. The shipped SDKs or Web Services are installed on a different system or systems that contain the application that users log in to.

AuthMinder is also shipped with Sample Application, which can be used to verify if AuthMinder is installed properly and is able to perform user authentication. Sample Application also serves as a code sample for integrating AuthMinder with your existing application(s).

The high-level deployment types supported by AuthMinder are:

- Single-System Deployment For development or testing

 See "Deploying on a Single System" (see page 31) for more information.
- **Distributed-System Deployment** For production or staging environments

 See "Deploying on Distributed Systems" (see page 33) for more information.
- High-Availability Deployment For high availability and scalability, production, or staging environments

See "Deploying in High-Availability Environment" (see page 36) for more information.

Deploying on a Single System

In a single-system deployment, all components of AuthMinder and the Web applications are installed on a single system. The database can be on the same system where AuthMinder is installed, or on a different system. This deployment model is typically used for development, proof of concept, or initial testing.

It is possible to use both Java SDKs and Web services in a single-system deployment, refer to "Software Requirements" (see page 40) for the prerequisite software for these components.

To deploy AuthMinder on a single system, choose the *Complete* option during AuthMinder installation. See chapter, "Deploying AuthMinder on a Single System" (see page 61) for more information about the installation and post-installation steps.

Component Diagrams

The component diagrams depict a few of the possible deployment options for prerequisite software and AuthMinder components. If you perform a Complete install, then both Java SDKs and Web services are on the system. You can use any of these methods for integrating AuthMinder with your Web application.

- **Deploying Java SDKs**
- **Deploying Web Services**

If you plan to perform a single-system deployment, ensure to make the following decisions:

Decision Points:

- Install a database server on the system which has AuthMinder Server, or use an existing database on a separate system.
- Use Sample Application or write my own Web application.

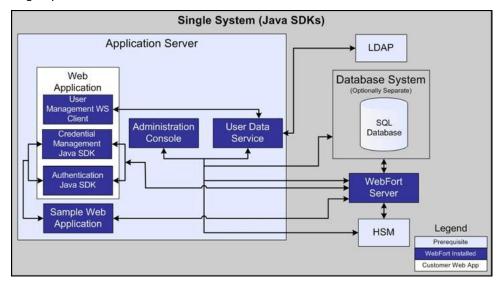
Important! Sample Application must *not* be used in production deployments. It is recommended that you build your own Web application by using Sample Application as a code-reference.

■ Use Java SDKs or Web services to integrate with your own Web application.

The following sections are designed to help you achieve your deployment decision.

Deploying Java SDKs

The following figure illustrates deployment of AuthMinder Server and Java SDKs on a single system.

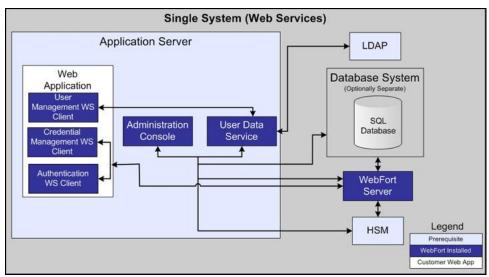


Note: The use of a Web server to deliver HTML pages for the application server is optional and is transparent to AuthMinder. In production deployments, this approach is used to improve Application Server performance and security. See the documentation of your Application Server for detailed information.

Deploying Web Services

If you plan to deploy Web services, then the following figure illustrates AuthMinder Server and Web Services on a single system.

Note: Because all Web Services are now built into the AuthMinder Server module itself, you just need to install the AuthMinder Server on the target system and generate the requisite client stubs. No further configuration is required.



Deploying on Distributed Systems

In a distributed-system deployment, AuthMinder components are installed on different systems. This type of deployment increases the security and performance. This model is typically used for production deployments or staging environments.

The most common deployment is to install AuthMinder Server on one system and one or more Web applications on additional systems. To deploy AuthMinder on distributed systems, you must choose the Custom option during AuthMinder installation. See chapter, "Deploying AuthMinder on Distributed Systems" (see page 97) for more information about the installation and post-installation steps.

Component Diagrams

The diagrams in this section depict several possible options, where prerequisites and AuthMinder components can be installed on multiple systems:

- Deploying Single Application with Java SDKs
- Deploying Multiple Applications with Java SDKs
- Deploying Single Application with Web Services

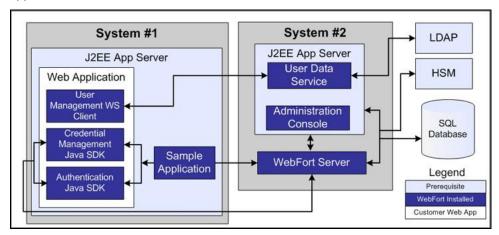
Decision Point

Which AuthMinder components will be installed on each system?

The following sections will help you to achieve your deployment decision.

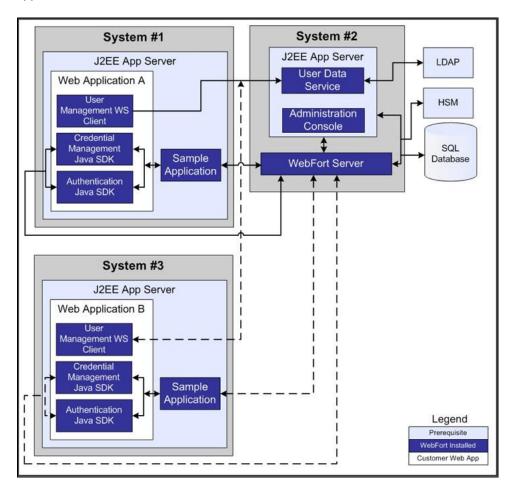
Deploying Single Application with Java SDKs

The following figure illustrates deployment of AuthMinder using Java SDKs on a single application.



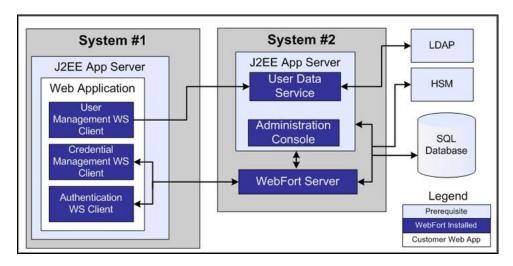
Deploying Multiple Applications with Java SDKs

The following figure illustrates AuthMinder deployment using Java SDK with multiple applications.



Deploying Single Application with Web Services

The following figure illustrates AuthMinder deployment using Web Services on a single application.



Deploying in High-Availability Environment

In a high-availability deployment, AuthMinder components are installed on more than one servers to provide high availability and scalability.

Component Diagrams

The diagrams in this section depict several possible options for which prerequisites and AuthMinder components can be installed on multiple systems for a high-availability deployment.

Decision Points

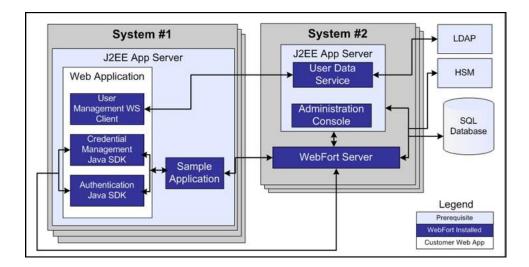
- When do I add a new server instance?
 - Typically, when your transaction rate exceeds the allowable threshold (as decided by your organizational policies), then you need to add a new server instance.
- How many AuthMinder Server, Administration Console, UDS, and SDK instances can I have?
 - AuthMinder Servers: Multiple instances are supported. The number depends on the transaction rate you want to achieve.
 - Administration Consoles: Multiple instances are supported. The number depends on the number of administrators in the system who log in to the Console simultaneously.
 - **UDS Servers:** Currently, *only* one is supported. But if you need multiple instances of UDS, then you need to put them behind a Load Balancer. However, UDS failover is not supported.
 - **SDKs:** Multiple instances are supported. This number depends on the number of your application instances that you plan to support.

The following sections will help you to achieve your deployment decision.

- High-Availability Deployment Using Java SDK
- High-Availability Deployment Using Web Services

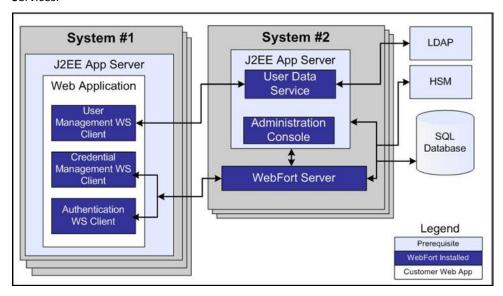
High-Availability Deployment Using Java SDK

The following figure illustrates multiple-instance deployment of AuthMinder using Java SDK.



High-Availability Deployment Using Web Services

The following figure illustrates multiple-instance deployment of AuthMinder using Web Services.



Chapter 3: Preparing for Installation

Before installing AuthMinder Server and its components, ensure that your computer meets the requirements described in this chapter. The chapter also provides configuration and planning-related information.

This chapter contains the following sections:

- Hardware Requirements (see page 39)
- Hardware Security Module (HSM) Requirements (see page 40)
- Software Requirements (see page 40)
- Configuring Database Server (see page 45)
- Getting Ready for Installation (see page 52)
- Pre-Installation Checklist (see page 56)

Hardware Requirements

The minimum hardware requirements for installing AuthMinder include:

- Requirements for AuthMinder with database on single system:
 - RAM: 2 GB
 - Hard Drive Space: 10 GB
 - Processor: 2.4 GHz
- Requirements for AuthMinder with database on a different system:
 - RAM: 1 GB
 - Hard Drive Space: 300 MB
 - Processor: 2.4 GHz

Note: Hardware resource requirements vary substantially for different applications and usage patterns. It is recommended that you load-test your site to determine the optimal memory required for the installation. While load-testing, you must keep in mind that some operating system utilities for monitoring memory can overstate memory usage (partially because of the representation of shared memory.) The preferred method for determining memory requirements is by monitoring the improvement in performance after adding more RAM/physical memory in the load test. See your platform vendor documentation for information about how to configure memory and processor resources for testing purposes.

Hardware Security Module (HSM) Requirements

You can now store sensitive keys either in the database or in an HSM. Currently, you can store the various encryption keys and the AuthMinder Server listener SSL key in the HSM. The following table lists the requirements for the supported HSM modules.

HSM Module	Java Cryptography Extension (JCE)	PKCS #11	
Thales nCipher netHSM (or nCipher netHSM)	JCE framework provided with		
SafeNet High Availability HSM (or Luna HSM)	the 32-bit versions of JDK 5.0, JDK 6.0, and JDK 7.0	pkcs11v2.01	

Note: The decision to use and configure an HSM, if necessary, must be made while you are still in the planning and preparation stages. Otherwise, you will need to re-initialize the database later, because all your current encryption would use keys in software.

Software Requirements

The following information for software requirements is provided in this section:

- Minimum Software Requirements (see page 40)
- AuthMinder Component-Specific Prerequisites (see page 44)

Minimum Software Requirements

The following table lists the minimal software that is required to install AuthMinder on Windows:

Note: For all the third-party software mentioned in this table, it is assumed that the higher versions are compatible with the specified supported version.

Software Type	Version
Operating System	■ Windows Server 2003 Enterprise Edition (32-bit)
	■ Windows Server 2003 Standard Edition (32-bit)
	■ Windows Server 2008 Enterprise Edition (32-bit)
	■ Windows Server 2008 Enterprise Edition (64-bit)
	■ Windows Server 2008 Standard Edition (32-bit)
	■ Windows Server 2008 Standard Edition (64-bit)
	■ Windows Server 2008 Release 2 (64-bit)

Software Type	Version
Service Pack	SP2 or higher
	 Microsoft SQL Server 2005, Standard Edition (SP2) or higher
	■ Microsoft SQL Server 2008 Enterprise Edition
Database Server	■ Oracle 10 <i>g</i>
	■ Oracle 11g or higher
	■ IBM DB2 UDB 9.5
	■ IBM DB2 UDB 9.7
	■ MySQL Enterprise Edition 5.1
JDBC Drivers (JARs) Important! It is strongly recommended that the JDBC JAR version is same as or higher than your database server version.	The JDBC driver version that is compatible with your database.
Directory Server	The following Directory Servers are supported:
	■ Windows Active Directory 2003
	■ Windows Active Directory 2008
	■ SunOne Directory Server 5.3
	■ SunOne Directory Server 6.1
	■ Oracle Directory Server 11g
	■ CA Directory Server 12.0 Service Pack 10

Software Type	Version			
	The following Application Servers are supported:			
	■ Apache Tomcat 5.5.x (x can be 31 or higher)			
	■ Apache Tomcat 6.x			
Application Server	■ Apache Tomcat 7.x			
	■ Ora	■ Oracle WebLogic 10.1.x		
	■ IBN	IBM WebSphere 6.1.x		
		planr 6.1, t apply Web: Serve 6.1.0 FP5 C	rtant! If you are ning to use WebSphere hen ensure that you the 6.1.0.41: Sphere Application er V6.1 Fix Pack 41 and .41: Java SDK 1.5 SR12 cumulative Fix for Sphere Application er.	
	■ IBN	1 WebSphere 7.0		
	■ JBc	ss 5.1.x		
	■ Ora	acle WebLogic 11g (Web	Logic Server 10.3)	

Software Type	Version		
	The JVM Memory Settings (Heap Size) for the application server must be a minimum of 512 MB or higher to support User Data Service (UDS) deployment. Note: If you plan to create organizations in LDAP repository with large user base (for example, 100,000 users), then it is recommended to increase the heap size to 1 GB or higher.		
	To set the heap size to 512 MB, use the -Xmx512M JVM memory setting. To set the heap size to 1 GB, use the -Xmx1024M JVM memory setting. Do not use the -Xms parameter when you set the JVM memory setting.		
Note: If you perform a fresh installation of JDK, then you must include the new path in the JAVA_HOME environment variable, and ensure that the application server uses the same JAVA_HOME. If you fail to do so, then the Administration Console and other JDK-dependant components might fail to start.	The JDK version that is best compatible with the Application Server that you are using: IBM JDK 1.5 or higher IBM JDK 1.6 or higher Oracle JDK 5.0 Oracle JDK 6.0 Oracle JDK 7.0 Oracle JRockit 5.0 or higher Important! Import		
Web Service Clients	Server. The following clients are supported:		
	Axis2 1.5 or higher		
	■ .NET Framework 4 or higher		

Software Type	Version		
Browsers	The following Web browsers are supported:		
	■ Internet Explorer 7.0 or higher		
	■ Mozilla Firefox 3.0 or higher		
	■ Apple Safari 5.0 or higher		
	■ Google Chrome 12 or higher		

AuthMinder Component-Specific Prerequisites

The prerequisite software is determined by the AuthMinder components that will be installed on a system. See the <u>"Planning the Deployment"</u> (see page 27) chapter to determine which AuthMinder components to install for each deployment type.

The following table indicates the prerequisite software required by each AuthMinder component:

	Prerequisite			
Component	Database Server	JDK	Application Server	
WebFort Server	✓			
Administration Console	✓	√ *	✓	
User Data Service	✓	√ *	✓	
Authentication Java SDK		√ *	✓	
Credential Management Java SDK		√ *	✓	
User Management Web Service		√ *	✓	
Authentication Web Service		√ *	✓	
Credential Management Web Service		√ *	✓	
Sample Application		√ *	✓	

^{*} The JDK depends on the application server you are using.

Configuring Database Server

Before installing AuthMinder, ensure to set up a database that is used for storing user information, server configuration data, audit log data, and other information.

AuthMinder supports a primary database and a backup database that can be used during failover and failback in high-availability deployments. Database connectivity can be configured in either of the following ways:

- Automatically during AuthMinder installation, when the Installer edits the <u>arcotcommon.ini</u> (see page 183) file with the database information you supply.
- By manually:
 - Creating the Data Source Name (DSN)
 - Editing the <u>arcotcommon.ini</u> (see page 183) file.
 - Updating securestore.enc using the dbutil tool

There are specific configuration requirements for each supported database. Use the following information to set up the database server yourself, or provide this information to your database administrator (DBA) when you request a database account.

Important! To protect the database, it is recommended that you ensure that the database server is protected with firewall or other access control mechanisms and is set to the same time-zone as all CA products.

- Configuring Microsoft SQL Server (see page 45)
- Configuring Oracle Database (see page 47)
- Configuring IBM DB2 Universal Database (see page 49)
- Configuring MySQL (see page 50)

Configuring Microsoft SQL Server

This section provides the following configuration information for MS SQL Server:

Note: See the MS SQL Server documentation for detailed information about performing the tasks listed in this section.

- 1. Verifying Authentication Mode (see page 46)
- Creating a New Database (see page 46)
- Creating a Database User (see page 46)

Verifying Authentication Mode

Verify that MS SQL Server is configured to use the "SQL Server Authentication" authentication method. AuthMinder will not be able to connect to the database if SQL Server is configured to "Windows Only" authentication mode.

Creating a New Database

To create a database, use the following criteria:

- 1. The recommended name is arcotdb.
- 2. The database size must be configured to grow automatically.

Creating a Database User

Use the following steps to create a database user:

Note: MS SQL Server refers to user as a Login.

1. In the SQL Server Management Studio, go to *SQL_Server_Name>*, expand the **Security** folder, and then click **Logins**.

Note: The *<SQL_Server_Name>* refers to the host name or IP address of the SQL Server where you created your database.

- 2. Right-click the **Logins** folder, and click **New Logi**n.
- 3. Enter the Login name. The recommended name is arcotuser.
- 4. Set the following parameters:
 - a. Authentication to **SQL Server Authentication**.
 - b. Specify **Password** and **Confirm password** for the login.

 Ensure that you specify other password settings on this page.
 - Ensure that you specify other password settings on this page according your organization's password policies.
 - c. **Default database** to the database (arcotdb) you created.
 - d. User Mapping for the login (in the Users mapped to this login section).
 - e. **User Mapping** (SQL 2005) for the default database to db_owner (in the **Database role membership for: <db_name>** section).

Configuring Oracle Database

This section provides the configuration information for Oracle database and AuthMinder Server.

Note: See the Oracle database documentation for details on performing the tasks that are listed in the following sections.

Required Tablespaces

Running AuthMinder on Oracle requires two tablespaces:

- The first tablespace is used for configuration data, audit logs, and user information. This tablespace can be the default user tablespace in the AuthMinder database.
 - See "Creating a New Database" (see page 48) for creating a database.
- The second tablespace is used to run reports. For high performance, it is recommended that you use a separate tablespace.

Database Configuration Script

The database configuration script, arcot-db-config-for-common-2.0.sql, automatically creates the tablespace for reports if the database user running the script has sufficient permissions to create a tablespace. If the user does not have the required permissions, a DBA should manually create this tablespace and delete the section in this script which creates the reports tablespace.

If a tablespace with that name already exists, then it is removed and re-created.

Important! The parameters for creating the reports tablespace in the arcot-db-config-for-common-2.0.sql database script can be changed according to the DBA's preferences. However, the tablespace name must be ARReports to generate reports successfully.

Perform the following steps to set up the Oracle database:

- 1. Creating a New Database (see page 48)
- 2. Creating a Database User (see page 48)

Creating a New Database

Create a new database that stores information in the UTF-8 character set. This allows AuthMinder to use international characters including double-byte languages.

To enable UTF-8 support, perform the following steps:

- 1. Log in to the Oracle database server as SYS or SYSTEM.
- 2. Run the following command:
 Update sys.props\$ set value\$='UTF8' where
 name='NLS_NCHAR_CHARACTERSET' Or name = 'NLS_CHARACTERSET';
- 3. Restart the database and check whether the character set is configured to UTF-8.

Creating a Database User

Create a user with the following criteria:

- 1. Create a user (the recommended name is arcotuser) in the new database arcotdb.
- 2. Set the quota of user to *at least* 5GB to 10GB for a development or test deployment, which is primarily used for audit logs.

Note: If the deployment is for production, staging, or other intensive testing, refer to appendix, "Database Reference" to determine the quota required for a user.

- 3. Grant the user the following privileges:
 - CREATE TABLE
 - CREATE INDEX
 - CREATE SEQUENCE
 - CREATE PROCEDURE
 - CREATE SESSION
 - DML PRIVILEGES
 - RESOURCE PRIVILEGES
 - CONNECT PRIVILEGES
 - ALTER TABLE
 - Additional privileges for upgrade only:
 - ALTER EXTENT PARAMETERS
 - CREATE TABLESPACE
 - Additional privileges for working with reports:
 - UNLIMITED TABLESPACE
 - (Optional) DROP TABLESPACE

Configuring IBM DB2 Universal Database

This section provides the following configuration information for IBM DB2 Universal Database (UDB):

Note: See IBM DB2 UDB documentation for detailed information about performing the tasks that are listed in this section.

- Creating a New Database (see page 49)
- Creating a Database User (see page 50)

Creating a New Database

Create a new database (recommended name is arcotdb) that stores information in the UTF-8 character set. This allows AuthMinder to use international characters including double-byte languages.

To configure the IBM DB2 UDB, perform the following steps:

- 1. Log in to the IBM DB2 UDB database server.
- Run the following command to enable UTF-8 support: create db <DB-NAME> using codeset utf-8 territory us;
- 3. Ensure that the following system environment variable is set: DB2C0DEPAGE=1208
- 4. Set the tablespace page size to 16K. By default, the tablespace page size is 4K.
 See the vendor documentation for more information about changing the tablespace page size.
- 5. If your data volume is high, then the default size of transaction log file might be insufficient. Therefore, it is recommended to increase the log file size.
 - See the vendor documentation for more information about changing the log file size.
- 6. Ensure that the configuration changes have been applied.

If you plan to use alternate schema, then refer to appendix, "Configuring Alternate Schema for IBM DB2 Universal Database" (see page 231) for more information.

Creating a Database User

Create a user with the following criteria:

- 1. Create a user (recommended name is arcotuser), with a schema in the new database arcotdb.
- 2. Grant the user the following privileges:
 - CREATE TABLE
 - CREATE INDEX
 - CREATE SEQUENCE
 - CREATE PROCEDURE
 - CREATE SESSION
 - DML PRIVILEGES
 - CONNECT PRIVILEGES
 - ALTER TABLE
 - Additional privileges for upgrade only:
 - CREATE TABLESPACE (with AUTORESIZE = yes)
 - Additional privileges for working with reports:
 - DROP TABLESPACE

Configuring MySQL

This section provides the following configuration information for MySQL:

Note: See the MySQL documentation for detailed information about performing the tasks listed in this section.

- Enabling Support for the InnoDB Transaction Engine (see page 51)
- Creating a New Database (see page 46)
- Creating a Database User (see page 46)

Enabling Support for the InnoDB Transaction Engine

AuthMinder uses the InnoDB storage engine of MySQL. To check whether this storage engine is supported by your MySQL installation, use the SHOW ENGINES command. If the output of this command shows that InnoDB is not supported, enable support for InnoDB.

Note: For information about the procedure to enable support for InnoDB, see MySQL documentation.

Creating a New Database

To create a database:

- 1. Open a MySQL command window.
- 2. To create the database schema, run the following command: CREATE SCHEMA '<schema-name>' DEFAULT CHARACTER SET utf8;
- 3. To create the database user, run the following command: CREATE USER '<user-name>' identified by '<user-password>';

Creating a Database User

Create a user with the following criteria:

- 1. Create a user (recommended name is arcotuser) in the new database arcotdb.
- 2. Grant the user the following privileges:
 - Object rights:
 - SELECT
 - INSERT
 - UPDATE
 - DELETE
 - **■** EXECUTE
 - DDL rights:
 - CREATE
 - ALTER
 - **■** CREATE ROUTINE
 - ALTER ROUTINE
 - DROP
 - Other rights:
 - GRANT OPTION

Getting Ready for Installation

Before you proceed with AuthMinder installation, you must set up the AuthMinder datastore, the Database Client, and gather the required Database information for use during the installation later. Ensure that the prerequisite JDK version and application server required by AuthMinder components are installed.

This section discusses the following topics:

- Configure UTF-8 Support on Client Systems (see page 53)
- Database Information that You Need for Installing AuthMinder (see page 53)
- Requirements for Java-Dependent Components (see page 56)
- (Optional, Only If You are Using HSMs) Requirements for HSM (see page 56)

Configure UTF-8 Support on Client Systems

You need to enable the UTF-8 support on the systems where you plan to install AuthMinder components that communicate with the database server. For example, AuthMinder Server, Administration Console, and User Data Service. This section walk you through the steps to do so.

Perform the following steps to enable UTF-8 support:

- 1. Install the required language package. See the vendor documentation for more information about how to do this.
- 2. Navigate to the following location:

Start -> Settings -> Control Panel -> Regional and Language Options

The Regional and Language Options dialog box appears.

- 3. Activate the Languages tab.
- 4. Select the following options:
 - Install files for complex script and right-to-left languages (including Thai)
 - Install files for East Asian Language
- 5. Click **Apply** to save the changes.
- 6. Click **OK** to close the dialog box.
- (For IBM DB2 UDB Only) Set the following system environment variable: DB2C0DEPAGE=1208

Database Information that You Need for Installing AuthMinder

Perform the tasks described in this section on the system where you will install AuthMinder or the system that uses AuthMinder components.

MS SQL Server

Get the following database information from the DBA. You will need this information when you install AuthMinder:

- 1. Name of the DSN to be created by the installer
- 2. Server
- 3. Database
- 4. User Name
- 5. Password
- 6. Port Number

For more information about these parameters, see the table for MS SQL Server in <u>Performing Complete Installation</u> (see page 63).

IBM DB2 UDB

Get the following database information from the DBA. You will need this information when you install AuthMinder:

- 1. Name of the DSN to be created by the installer
- 2. Server
- 3. Database
- 4. Host Name
- 5. Port Number
- 6. User Name
- 7. Password

For more information about these parameters, see the table for IBM DB2 UDB in <u>Performing Complete Installation</u> (see page 63).

Oracle Database

Get the following database information from the DBA. You will need this information when you install AuthMinder:

- 1. Name of the DSN to be created by the installer
- 2. Service ID (Instance identifier of the Oracle database)
- 3. Host Name
- 4. Port Number
- 5. User Name
- 6. Password

For more information about these parameters, see the table for Oracle Database in <u>Performing Complete Installation</u> (see page 63).

MySQL

Get the following database information from the DBA. You will need this information when you install AuthMinder:

- 1. Name of the DSN to be created by the installer
- 2. Server
- 3. Database
- 4. User Name
- 5. Password
- 6. Port Number

For more information about these parameters, see the table for MySQL in <u>Performing Complete Installation</u> (see page 63).

Requirements for Java-Dependent Components

Install the following components required by Administration Console, AuthMinder Java SDKs, and Web Services:

■ JDK

Note: If you perform a fresh installation of JDK, then you *must* set the JAVA_HOME environment variable. The path variable must point to %JAVA_HOME%**bin**\. And ensure that the application server uses the same JAVA_HOME. If you fail to do so, then the Administration Console and other JDK-dependant components might fail to start.

- Application Server
- UDS

(Optional, Only If You are Using HSMs) Requirements for HSM

If you are planning to use HSM to store encryption keys, then set up the following requirements before you proceed:

- 1. HSM Server
- 2. HSM Client
- 3. Create at least one 3DES key in HSM

Important! Ensure that you have securely written down the labels of these 3DES keys. They will be used later for encrypting information in the database.

See your platform vendor documentation for detailed information about how to install and configure your HSM Server and Client components and generate the required keys.

Pre-Installation Checklist

It is recommended that you complete this checklist before you proceed with the installation and setup of AuthMinder.

Note: The items and values in the following checklist are samples. Before you begin installation, modify this checklist so that it meets the requirements of your operating environment.

Your Information	Example Entry	Your Entry
HARDWARE		
Processor	Intel Xeon X5450 3 GHz	
RAM	2 GB	

Your Information	Example Entry	Your Entry	
Disk Space	20 GB		
SOFTWARE	•		
Operating System	Windows Server 2003		
Distribution	Enterprise Edition		
Service Pack (or Patch)	SP3		
DATABASE	•	•	
Туре	Oracle		
Database Name (<i>MS SQL and DB2</i> Only)	arcotdb		
DSN Name	arcotdsn		
Host Name (or Server IP Address)	51.100.25.24		
Port	1521		
Service ID (Oracle Databases Only)	oradb1		
Database User	arcotuser		
Database Login Password	password1234!		
Configured Privileges: Note: For all CREATE privileges, the corresponding DROP privilege is implied.			
Oracle Database			
CREATE TABLE			
CREATE INDEX			
CREATE SEQUENCE			
CREATE PROCEDURE			
CREATE SESSION			
DML PRIVILEGES			
RESOURCE PRIVILEGES			
CONNECT PRIVILEGES			
ALTER TABLE (For Upgrade Only)			
ALTER EXTENT PARAMETERS			
		1	

Your Information	Example Entry	Your Entry
CREATE TABLESPACE		
(For Reports)		
UNLIMITED TABLESPACE		
(For Reports, Optional)		
DROP TABLESPACE		
MS SQL Server		
Note: The user performing these actions must belong to the ddladmin role.		
CREATE TABLE		
CREATE INDEX		
CREATE PROCEDURE		
REFERENCES		
DML PRIVILEGES		
CONNECT PRIVILEGES		
ALTER		
(For Upgrade Only)		
DB2 Database		
CREATE TABLE		
CREATE INDEX		
CREATE SEQUENCE		
CREATE PROCEDURE		
CREATE SESSION		
DML PRIVILEGES		
CONNECT PRIVILEGES		
ALTER TABLE (For Upgrade Only)		
CREATE TABLESPACE (with AUTORESIZE = yes)		
(For Reports)		
DROP TABLESPACE		
MySQL		

Your Information	Example Entry	Your Entry	
SELECT			
INSERT			
UPDATE			
DELETE			
EXECUTE			
CREATE			
ALTER			
CREATE ROUTINE			
ALTER ROUTINE			
DROP			
GRANT OPTION			
APPLICATION SERVER	<u> </u>	•	
Туре	Apache Tomcat 5.5		
Host Name	localhost		
Port	8080		
JDK	1.5.0_10		
DIRECTORY SERVICE (OPTIONAL)		•	
Host Name	ds.myldap.com		
Port	389		
Schema Name	inetorgperson or user		
Base Distinguished Name	dc=myldap,dc=com		
User Name	cn=admin,cn=Adminis trators,cn=dscc		
Password	mypassword1234!		
WEB SERVER (OPTIONAL)	·		
Туре	IIS 6		
Host Name	mywebserver.com		
Port	443		

Chapter 4: Deploying AuthMinder on a Single System

Use the **Arcot WebFort 7.1 InstallAnywhere Wizard** to install AuthMinder components. This Wizard supports *Complete* and *Custom* installation types. However, to install and configure AuthMinder on a single computer, use the **Complete** option when you run the installer.

The following list provides a quick overview of the process:

- 1. Execute the AuthMinder installer to add AuthMinder components to your file-system and configure them to access your SQL database.
 - See "Performing Complete Installation" (see page 63) for install instructions.
- 2. Execute the database scripts to create schema and database tables. Also ensure that the database setup was successful.
 - See "Running Database Scripts" (see page 70) and "Verifying the Database Setup" (see page 109) for more information.
- 3. Prepare the application server to copy the files that CA Web components use.
 - See "Preparing Your Application Server" (see page 71) for more information.
- 4. Deploy Administration Console on the application server and verify the deployment.
 - See "Deploying Administration Console" (see page 79) and "Verifying the Administration Console" (see page 81) for more information.
- 5. (Optional) Prepare your Administration Console for localization, if you want to use languages other than English.
 - See appendix, "Preparing for Localization" (see page 205) for more information.

Log in to Administration Console as the Master Administrator to initialize AuthMinder.

See "Logging In to Administration Console" (see page 81) and "Bootstrapping the System" (see page 82) for more information.

7. Start the AuthMinder Server and verify if the service start successfully.

See <u>"Starting AuthMinder Server"</u> (see page 84) and <u>"Verifying the Installation"</u> (see page 85) for more information.

8. Deploy User Data Service on the application server and verify the deployment.

See "Deploying User Data Service" (see page 87) for more information.

9. Deploy and use Sample Application to test AuthMinder configuration.

Note: Sample Application is automatically installed as a part of Complete installation.

See <u>"Deploying Sample Application"</u> (see page 89) and <u>"Using Sample Application"</u> (see page 89) for more information.

10. (Optional) To ensure secure communication between AuthMinder components, you can configure them to support SSL (Secure Socket Layer) transport mode.

See appendix, "Configuring SSL" in *CA AuthMinder Administration Guide* for more information.

11. Complete the installation checklist.

See "Post-Installation Checklist" (see page 95) for more information.

Important Notes Related to the Installation

Keep the following points in mind while installing AuthMinder either on a single system or in a distributed environment:

- Ensure that the <install_location> must not contain any special characters (such as ~! @ # \$ % ^ & * () _ + = { }[] ' ").
- Currently, you cannot modify or repair AuthMinder components by using the installer. You must uninstall the component and then re-install it.
- Do not close the installer window, if the installation is in progress. If at any time during installation (*especially during the last stages*), if you click the **Cancel** button to abort the installation, then the installer might not remove *all* the directories it has created so far. You will manually need to clean up the installation directory, <install_location>\Arcot Systems\ and its subdirectories.
- If you run the installer on a system that already contains an instance of an existing %ARCOT_HOME%, then:
 - You will *not* be prompted for an installation directory.

- You will not be prompted for the database set up. The Installer will use the existing database.
- You will also *not* be prompted to set up encryption.

The AuthMinder installer supports the following types of installation. You must use the *Complete* installation for single-system deployment.

- 1. **Complete** Installs all AuthMinder components on a single system.
- 2. **Custom** Installs the AuthMinder components that you select.

Performing Complete Installation

To install (and later configure) AuthMinder on Windows successfully, the user account that you plan to use for installation *must* belong to the Administrators group. Else, some critical steps in the installation, such as DSN creation and configuration and AuthMinder service creation, will not go through successfully, though the installation might go through without any errors.

To install all AuthMinder component on a single system, you must use the *Complete* option. *Custom* installation allows you to install only the selected components from the package. This option is recommended for advanced users.

Note: Before proceeding with the installation, ensure that all the prerequisite software are installed and the database is set up, as described in chapter, "Preparing for Installation" (see page 39).

Follow these steps:

- 1. Locate the AuthMinder installer Arcot-WebFort-7.1-Windows-Installer.exe file in the installation package.
- 2. Double-click the installer Arcot-WebFort-7.1-Windows-Installer.exe.
 - The Welcome screen appears.
- 3. Click the Next button to proceed.
 - The License Agreement screen appears.
- 4. Read the license agreement carefully, select the I accept the terms of the license agreement option, and click the Next button to accept the agreement.
 - The installer now checks if any other CA product is installed on the computer.
 - If it does not find an existing CA product installation, then you will be prompted for an installation directory. In this case, the Installation Location screen appears.
 - If the installer detects an existing CA product installation, then you will not be prompted for an installation directory. The following screen appears when an existing ARCOT_HOME was located on the computer.

5. You can accept the default directory specified by the installer to install AuthMinder. You can also click Choose to navigate and to specify a different directory.

Click Next to install in the specified directory.

The Installation Type screen appears.

6. Select the Complete option to install all components and click Next.

The Database Type screen appears.

7. Select the database type, and click Next to proceed.

Depending on the Database you selected, the corresponding Database Details screen appears.

Enter the following details for the database that you are using:

1. Microsoft SQL Server Database Details

Perform the following tasks for MS SQL database access:

a. Enter the required information as described in the following table:

Field	Description
Primary ODBC DSN	The installer creates an ODBC connection that AuthMinder uses to connect to the database.
	The recommended value to enter is arcotdsn.
Server	The host name or IP address of the database server. If SQL server is deployed in Named Instance mode, then you must also enter a slash "\" followed by the instance name, refer to vendor documentation for more information on this.
	Default Instance
	Syntax: <server name=""></server>
	Example: demodatabase
	Named instance
	Syntax: <server name="">\<instance name=""></instance></server>
	Example: demodatabase\instance1
User Name	The User Name that AuthMinder uses to access the database (SQL Server refers to this as <i>login</i>). This name is specified by the database administrator.
	Note: The User Name for the Primary and Backup DSN must be different.
Password	The password that AuthMinder uses to access the database. This password is specified by the database administrator.
Database	The name of the database that AuthMinder will access.

Field	Description
	The port on which the database server listens to the incoming requests.

- b. Test for successful database connection by clicking Test Data Source.
- c. After completing the test, click Next to proceed.
- d. Go to Step 8.
- 2. IBM DB2 (UDB) Database Details

Perform the following tasks for IBM DB2 UDB database access:

a. Enter the required information as described in the following table:

Field	Description
Primary ODBC DSN	The installer creates an ODBC connection that AuthMinder uses to connect to the database. The recommended value to enter is arcotdsn.
Server	The host name or IP address of the database server. If the database is deployed in Named Instance mode, then you must also enter a slash "\" followed by the instance name, refer to vendor documentation for more information on this.
	Default Instance
	Syntax: <server name=""></server>
	Example : demodatabase
	Named instance
	Syntax: <server name="">\<instance name=""></instance></server>
	Example: demodatabase\instance1
User Name	The User Name that AuthMinder uses to access the database. This name is specified by the database administrator.
	Note: The User Name for the Primary and Backup DSN must be different.
Password	The password that AuthMinder uses to access the database. This password is specified by the database administrator.
Port Number	The port on which the database server listens to the incoming requests.
Database	The name of the database that AuthMinder will access.

- b. Click Next to proceed.
- c. Go to Step 8.
- 3. Oracle Database Details

Perform the following tasks for Oracle database access:

a. Enter the required information as described in the following table:

Field	Description
Primary ODBC DSN	The installer creates an ODBC connection that AuthMinder uses to connect to the database. The recommended value to enter is arcotdsn.
User Name	The User Name that AuthMinder uses to access the database. This name is specified by the database administrator. Note: The User Name for the Primary and Backup DSN must
Password	be different. The password that AuthMinder uses to access the database.
. 45511 51 4	This password is specified by the database administrator.
Service ID	The Oracle System Identifier that refers to the instance of the Oracle database running on the Oracle server.
Port Number	The port on which the database server listens to the incoming requests.
Host Name	The host name or the IP address of the computer where the Oracle server is available.
	Syntax: <server name=""></server>
	Example: demodatabase

- b. Test for successful database connection by clicking Test Data Source.
- c. After completing the test, click Next to proceed.
- 4. MySQL Database Details

Perform the following tasks for MySQL database access:

a. Enter the required information as described in the following table:

Field	Description
	The installer creates an ODBC connection that AuthMinder uses to connect to the database.
	The recommended value to enter is arcotdsn.

Field	Description
Server	The host name or IP address of the database server. If SQL server is deployed in Named Instance mode, then you must also enter a slash "\" followed by the instance name, refer to vendor documentation for more information on this.
	Default Instance
	Syntax: <server name=""></server>
	Example: demodatabase
	Named instance
	Syntax: <server name="">\<instance name=""></instance></server>
	Example: demodatabase\instance1
User Name	The User Name that AuthMinder uses to access the database (SQL Server refers to this as <i>login</i>). This name is specified by the database administrator.
	Note: The User Name for the Primary and Backup DSN must be different.
Password	The password that AuthMinder uses to access the database. This password is specified by the database administrator.
Database	The name of the database that AuthMinder will access.
Port Number	The port on which the database server listens to the incoming requests.

- b. Test for successful database connection by clicking Test Data Source.
- c. After completing the test, click Next to proceed.
- d. Go to Step 8.

The Encryption Configuration screen appears. This screen is used to select the encryption mode and set the information used for encryption.

- 8. Enter the following information in this screen:
 - Master Key: Enter the master key, which will be used to encrypt the data stored in the database. By default, the value of master key is set to MasterKey. This key is stored in the securestore.enc file located at <install_location>\Arcot Systems\conf.

If you want to change the value of the master key after the installation, then regenerate the securestore.enc file with a new master key value. For more information, see the *CA AuthMinder Administration Guide*.

■ Configure HSM: Select this option *only* if you want to use a Hardware Security Module (HSM) to encrypt the sensitive data. Otherwise, the software mode is used by default to encrypt the data.

Note: The following options are enabled *only* if you choose to Configure HSM.

- **PIN**: Enter the password that is used to connect to the HSM.
- Choose Hardware Module: Choose the HSM that you plan to use. Following are the HSMs that AuthMinder supports:
 - Luna HSM
 - nCipher netHSM
- **HSM Parameters**: Set the following HSM information:
 - **Shared Library**: The absolute path to the PKCS#11 shared library corresponding to the HSM.
 - For Luna (cryptoki.dll) and for nCipher netHSM (cknfast.dll), specify the absolute path and name of the file.
 - Storage Slot Number: The HSM slot where the 3DES keys used for encrypting the data are present. The default value for Luna is 0, and for nCipher netHSM the default value is 1.

Note: The HSM parameter values that you provide here are recorded in the arcotcommon.ini file located at *<install_location>*\Arcot Systems\conf. To change these values after installation, you must edit the arcotcommon.ini file, as discussed in appendix, "Configuration Files and Options" (see page 183).

9. Click Next to continue.

The Pre-Installation Summary screen appears. This screen lists the product name, installation folder, type of installation, components that are selected.

10. Click Install to begin the installation process.

The Microsoft Visual C++ 2010 x86 Redistributable Setup screen appears. This screen appears only if the current system where you are installing AuthMinder does not have Microsoft Visual C++ 2010 x86.

11. Select the I have read and accept the license terms option, and click Install.

The Installation Progressscreen appears. This might take a few seconds. After some time the Installation Is Complete screen appears.

12. Click Finish to close the Microsoft Visual C++ 2010 x86 Redistributable Setup dialog box and continue with AuthMinder installation.

The Installing Arcot WebFort screen appears. This might take several minutes. After some time the Installation Complete screen appears.

13. Click Done to exit the installation wizard.

Installation Logs

After installation, you can access the installation log file (Arcot_WebFort_Install_

// After installation log file (Arcot_WebFort_Install_

// After installation_</pr>
// Install_

// After installation log file is created in the <installation log file is created in the C:\Program Files directory.
</pre>

If the installation fails for some reason, then error messages are recorded in this log file.

Performing Post-Installation Tasks

This section guides you through the post-installation tasks that you must perform after installing AuthMinder. These steps are required for configuring AuthMinder correctly and must be *performed in the following order*:

- 1. Running Database Scripts (see page 70)
- Verifying the Database Setup (see page 109)
- 3. Preparing Your Application Server (see page 71)
- 4. Deploying Administration Console (see page 79)
- 5. Verifying the Administration Console (see page 81)
- 6. Logging In to Administration Console (see page 81)
- 7. <u>Bootstrapping the System</u> (see page 82)
- 8. Starting AuthMinder Server (see page 84)
- 9. Verifying the Installation (see page 85)
- 10. <u>Deploying User Data Service</u> (see page 87)
- 11. Deploying Sample Application (see page 89)
- 12. <u>Using Sample Application</u> (see page 89)

Note: After completing these post-installation tasks, perform the Java SDKs and Web services configuration as discussed in chapter, "Configuring AuthMinder Java SDKs and Web Services" (see page 137).

Running Database Scripts

AuthMinder ships with SQL scripts that create its schema and set initial configuration values in the AuthMinder database.

To configure the database used by AuthMinder:

- Locate the folder with the scripts for your database type. The default location is:
 (for MS SQL) <install_location>\Arcot Systems\dbscripts\mssql
 (for Oracle) <install_location>\Arcot Systems\dbscripts\oracle
 (for IBM DB2 UDB) <install_location>\Arcot Systems\dbscripts\db2
 (for MySQL) <install_location>\Arcot Systems\dbscripts\mysql
- 2. Run the *scripts in the following order* by using the database vendor tools:
 - a. arcot-db-config-for-common-2.0.sql
 Important! If you have installed CA RiskMinder 3.1, you need not run arcot-db-config-for-common-2.0.sql because you have already run it while installing CA RiskMinder 3.1.
 - b. arcot-db-config-for-webfort-7.1.sql

Note: If you encounter any error while executing the scripts, then check with your database administrator whether you have the required privileges.

Verifying the Database Setup

Use the arwfutil tool to verify whether the AuthMinder schema is seeded correctly.

Follow these steps:

- 1. Open a command prompt window.
- At the command prompt enter the following command: arwfutil vdb

The preceding command creates the arcotwebfort-vdb-*<dd>-<mmm>-<yy>*.txt file in the *<install_location>*\Arcot Systems\logs directory.

4. Open the arcotwebfort-vdb-<*dd>*-<*mmm>*-<*yy>*.txt file in a text editor, and check for entries of the following type:

ARWF* FOUND

These lines indicate that your database setup has been successful.

5. Close the arcotwebfort-vdb-<dd>-<mmm>-<yy>.txt file.

Preparing Your Application Server

Two components of AuthMinder, User Data Service (UDS) and Administration Console, are web-based and can be deployed on any of the following supported application servers:

- Apache Tomcat
- IBM WebSphere
- Oracle WebLogic
- JBoss

Before you deploy the WAR files for these web applications on the application server of your choice, copy the CA-proprietary files and JDBC JARs that are required by UDS and Administration Console to the appropriate location on your application server. This section walks you through the steps to copy the required crypto files to your application server:

- Step 1: Setting Java Home (see page 72)
- Step 2: Copying Database Access Files to Your Application Server (see page 73)
- Step 3: Copying JDBC JARs to Your Application Server
- Step 4: (Mandatory for Oracle WebLogic 10.1) Creating Enterprise Archive File (see page 78)

Step 1: Setting Java Home

Before you deploy the WAR files for UDS and Administration Console on the application server, ensure that you set the JAVA_HOME environment variable. For Apache Tomcat, set JAVA_HOME to the Java home directory corresponding to the JDK that you are using.

In addition, include %JAVA_HOME%\bin in the PATH environment variable. If you fail to do so, then Administration Console and other JDK-dependent components may fail to start.

Step 2: Copying Database Access Files to Your Application Server

UDS and Administration Console use the following files to access the AuthMinder database securely:

- arcot-crypto-util.jar available at:
 <iinstall_location>\Arcot Systems\java\lib\

As a result, these files must be copied to the appropriate location on the application server where you have deployed these AuthMinder components. The following sections provide information about copying these files:

Apache Tomcat

To copy the database access files on Apache Tomcat:

- Copy the ArcotAccessKeyProvider.dll file to the following directory:
 <JAVA_HOME used by Apache Tomcat>\jre\bin
- 2. Copy the arcot-crypto-util.jar file to the following directory: <JAVA_HOME used by Apache Tomcat>\jre\lib\ext directory.
- 3. Restart the application server.

Note: An application server restart is required as part of some of the remaining installation tasks. To minimize the number of times you restart the application server, restart it once after performing the last task that requires a restart.

IBM WebSphere

Note: Depending on the WebSphere version that you are using, the steps that you follow may be different.

To copy the database access files on IBM WebSphere 6.1:

- 1. Log in to IBM WebSphere Administration Console.
- Click Environment, and then click Shared Libraries.
 - a. From the **Scope** drop-down, select a valid visibility scope. The scope must include the target server/node on which the application is deployed.
 - b. Click New.
 - c. Enter the Name, for example, ArcotJNI.
 - d. Specify the **Classpath**. This path must point to the location where the arcot-crypto-util.jar file is present and must also include the file name. For example, C:\Program Files\Arcot Systems\java\lib\arcot-crypto-util.jar.
 - e. Enter the JNI library path. This path must point to the location where the ArcotAccessKeyProvider.dll file is present.

- f. Click Apply.
- 3. Configure server-level class loaders.
 - a. Click Servers, and then click Application Servers.
 - b. Under **Application Servers** access the settings page of the server for which the configuration is performed.
 - c. Click Java and Process Management, and then click Class Loader.
 - d. Click New. Select default Classes loaded with parent class loader first and click OK.
 - e. Click the auto-generated Class Loader ID.
 - f. In the class loader **Configuration** page, click **Shared Library References**.
 - g. Click Add, select the shared library that you created earlier in this procedure (for example, ArcotJNI), and then click Apply.
 - h. Save the changes.
- 4. Copy ArcotAccessKeyProvider.dll file to the following directory:
 <JAVA_HOME used by IBM WebSphere>\jre\bin
- 5. Restart the application server.

Note: An application server restart is required as part of some of the remaining installation tasks. To minimize the number of times you restart the application server, restart it once after performing the last task that requires a restart.

Oracle WebLogic

To copy the database access files on Oracle WebLogic:

- 2. Copy arcot-crypto-util.jar to the /AVA_HOME used by Oracle WebLogic instance>\jre\lib\ext directory.
- 3. Log in to WebLogic Administration Console.
- 4. Navigate to **Deployments**.
- 5. Enable the Lock and Edit option.
- 6. Click **Install** and navigate to the directory that contains the arcot-crypto-util.jar file.
- 7. Click Next.

The Application Installation Assistant screen appears.

8. Click Next.

The Summary page appears.

- 9. Click Finish.
- 10. Activate the changes.

11. Restart the application server.

Note: An application server restart is required as part of some of the remaining installation tasks. To minimize the number of times you restart the application server, restart it once after performing the last task that requires a restart.

JBoss Application Server

To copy the database access files on JBoss:

- Copy ArcotAccessKeyProvider.dll to the following directory:

 JAVA_HOME used by JBoss instance \jre\bin
- 2. Copy arcot-crypto-util.jar to the *<JAVA_HOME* used by JBoss Application Server instance>\jre\lib\ext directory.
- 3. Restart the application server.

Note: An application server restart is required as part of some of the remaining installation tasks. To minimize the number of times you restart the application server, restart it once after performing the last task that requires a restart.

Step 3: Copying JDBC JARs to Your Application Server

Administration Console, User Data Service (UDS), and Sample Application, which are the Java-dependant components of AuthMinder need Java Database Connectivity (JDBC) Java ARchive (JAR) files to connect to the database. You must copy these files to the application server.

Note: Before proceeding with the steps mentioned in the following subsections, ensure that you have downloaded the JDBC JARs, see chapter, "Preparing for Installation" (see page 39) for more information about supported JDBC JARs.

The following sub-sections walk you through the steps for copying the JDBC JAR required for your database to one of the following application servers:

- Apache Tomcat
- IBM WebSphere
- Oracle WebLogic
- JBoss Application Server

Apache Tomcat

To copy the JDBC JAR file to the Apache Tomcat installation directory:

- 1. Navigate to the location where you have downloaded the *<Database_JAR>* file.
- 2. Copy the *<Database_JAR>* file and paste it in the following directory:
 - For Apace Tomcat 5.5.x: <TOMCAT-HOME>\common\lib
 - For Apace Tomcat 6.x and 7.x: <TOMCAT-HOME>\lib

Alternatively, add the path that contains the JDBC JAR file to the **Classpath** environment variable.

3. Restart Apache Tomcat.

IBM WebSphere

To copy the JDBC JAR file to IBM WebSphere:

- 1. Log in to IBM WebSphere Administration Console.
- 2. Click **Environment**, and then click **Shared Libraries**.
 - a. From the **Scope** drop-down, select a valid visibility scope. The scope must include the target server/node on which the application is deployed.
 - b. Click **New**.
 - c. Enter the **Name**, for example, **JDBCJAR**.
 - d. Specify the **Classpath**. This path must point to the location where the *<Database_JAR>* file is present and must also include the file name.

- e. Click **Apply** to save the changes made.
- 3. Configure server-level class loaders.

Note: You can either create a class loader or use the one that you created while performing the procedure described in Step 2: Copying Database Access Files to Your Application Server">Your Application Server (see page 73).

- a. Click Servers, and then click Application Servers.
- b. Under **Application Servers** access the settings page of the server for which the configuration is performed.
- c. Click Java and Process Management, and then click Class Loader.
- d. Click New. Select default Classes loaded with parent class loader first and click OK.
- e. Click the auto-generated Class Loader ID.
- f. In the class loader **Configuration** page, click **Shared Library References**.
- g. Click Add, select JDBCJAR and then click Apply.
- h. Save the changes made.
- 4. Restart IBM WebSphere.

Oracle WebLogic

To copy the JDBC JAR file to Oracle WebLogic:

Note: If you are using Oracle Database, then you need *not* perform the configurations mentioned in this section, because WebLogic supports Oracle database by default.

- 1. Copy the <Database_JAR> file to the following directory:
 <JAVA HOME used by Oracle WebLogic instance>\jre\lib\ext
- 2. Login to WebLogic Administration Console.
- 3. Navigate to **Deployments**.
- 4. Enable the Lock and Edit option.
- 5. Click **Install** and navigate to the directory that contains the *<Database_JAR>* file.
- 6. Click Next.

The Application Installation Assistant screen appears.

7. Click Next.

The Summary page appears.

- 8. Click Finish.
- 9. Activate the changes.
- 10. Restart Oracle WebLogic server.

JBoss Application Server

To copy the JDBC JAR file on JBoss Application Server:

- 1. Copy the JDBC JAR file to the following location on the JBOSS installation directory: <JAVA_HOME Used by JBOSS>\server\default\lib
- 2. Restart JBoss Application Server.

Step 4: (Mandatory for Oracle WebLogic 10.1) Creating Enterprise Archive File

Most application servers enable you to bundle related JARs or WAR files into a single enterprise application (or archive). As a result, all related JARs or WARs can be deployed together and can be loaded by a class loader. This archive also contains an application.xml file, which is generated automatically and describes how to deploy each bundled module.

By default, CA provides WAR files to deploy Administration Console and User Data Service. If necessary, you can also change the format of these files to EAR and then deploy the EAR files.

Follow these steps:

- 1. Open the command prompt window.
- 2. Navigate to <install_location>\Arcot Systems\tools\common\bundlemanager directory.
- 3. Run the bundlemanager tool to create the EAR file by using the following command:

```
java -jar bundle-manager.jar -ear <filename.ear> -warList
<war_file_name>
```

Note: In the preceding command, replace <war_file_name> with arcotadmin.war for generating an EAR file for Administration Console. Similarly, replace <war_file_name> with arcotuds.war for generating an EAR file for UDS.

This command generates the EAR file at <install_location>\Arcot Systems\java\webapps.

Deploying Administration Console

Note: If you plan to deploy Administration Console on IBM WebSphere 7.0, then refer to the instructions provided in appendix, "Deploying Administration Console on IBM WebSphere 7.0" (see page 253).

You need the file arcotadmin.war to deploy the Administration Console. This file is available at:

```
<install_location>\Arcot Systems\java\webapps\
```

Note: To manage AuthMinder Server by using Administration Console, ensure that Administration Console can access the system where AuthMinder Server is installed by its hostname.

To deploy Administration Console:

1. Deploy arcotadmin.war in the appropriate directory on the application server.

Note: The deployment procedure depends on the application server that you are using. See your application server vendor documentation for detailed instructions. For example, in the case of Apache Tomcat, you must deploy the WAR file at APP_SERVER_HOME \webapps\.

- 2. **(For JBoss Only)** Perform the following steps if you have deployed Administration Console on JBoss application server:
 - a. Copy the Bouncy Castle JAR file (bcprov-jdk15-146.jar) from <install_location>\Arcot Systems\java\lib\ to the following location: <JBOSS_HOME>\common\lib
 - b. Navigate to the following location:<JBOSS_HOME>\server\default\conf\
 - c. Open jboss-log4j.xml file in a text editor.
 - d. Add the following log configuration in the <log4j:configuration> section:

```
<appender name="arcotadminlog"</pre>
class="org.apache.log4j.RollingFileAppender">
<errorHandler</pre>
class="org.jboss.logging.util.OnlyOnceErrorHandler"></errorHandler>
<param name="Threshold" value="INFO"/>
<param name="MaxFileSize" value="10MB"/>
<param name="MaxBackupIndex" value="100"/>
<param name="Encoding" value="UTF-8"/>
<param name="Append" value="true"/>
<param name="File" value="${arcot.home}/logs/arcotadmin.log"/>
<layout class="org.apache.log4j.PatternLayout">
<param name="ConversionPattern" value="%d{yyyy-MM-dd hh:mm:ss,SSS z} : [%t]</pre>
: %-5p : %-5c{3} : \mesn"/>
</layout>
<filter class="org.jboss.logging.filter.TCLMCFilter">
<param name="AcceptOnMatch" value="true"/>
```

```
<param name="DeployURL" value="arcotadmin.war"/>
</filter>
<!-- end the filter chain here -->
<filter class="org.apache.log4j.varia.DenyAllFilter"></filter>
</appender>
```

e. Add the following log category:

```
<category name="com.arcot">
<priority value="INF0" />
<appender-ref ref="arcotadminlog"></appender-ref>
</category>
```

f. Add the following category for cryptographic operations:

```
<category name="com.arcot.crypto.impl.NCipherCrypter">
<priority value="FATAL" />
<appender-ref ref="arcotadminlog"></appender-ref>
</category>
```

- g. Save and close the file.
- h. Take the backup of existing JBoss logging libraries. These library files are available at:

<JBOSS_HOME>\lib

i. Upgrade the JBoss logging libraries available at <JBOSS_HOME>\lib to version
 2.1.1. The following table lists the JAR file names and the location from where you can download the files:

File Name	Location
jboss-logging-jdk-2.1.1.GA.jar	http://repo1.maven.org/maven2/org/jboss/logging/jboss-logging-jdk/2.1.1.GA/
jboss-logging-spi-2.1.1.GA.jar	http://repo1.maven.org/maven2/org/jboss/logging/jboss-logging-spi/2.1.1.GA/
jboss-logging-log4j-2.1.1.GA.j ar	http://repo1.maven.org/maven2/org/jboss/logging/jboss-logging-log4j/2.1.1.GA/

3. Ensure that the application is restarted.

Verifying the Administration Console

The arcotadmin.log file is used for logging the Administration Console information.

To verify if the Administration Console was deployed successfully:

- Navigate to the following location:
 <install_location>\Arcot Systems\logs
- 2. Open the arcotadmin.log file in any editor and locate the following lines: Arcot Administration Console Configured Successfully.

This line indicates that your Administration Console was deployed successfully.

Note: Check and resolve if there are any FATAL and ERROR messages, and review all the WARNING messages for unexpected conditions.

Important! If you want to localize your Administration Console, then you must prepare your Administration Console for the same, as discussed in appendix, <u>"Preparing for Localization"</u> (see page 205).

After you are done with the steps for localization, then resume performing the post-installation tasks that follow.

Logging In to Administration Console

When logging in to Administration Console for the first time, use the Master Administrator credentials that are created automatically in the database during the installation.

To log in to Administration Console:

- Start Administration Console in a Web browser window, by using the following URL: http://<host>:<app_server_port>/arcotadmin/masteradminlogin.htm
 - **Note:** The host and port information that you specify in the preceding URL must be of the application server where Administration Console is deployed.
- 2. Log in to Administration Console as a Master Administrator with the default Master Administrator account credentials. The credentials are:
 - User Name: masteradmin
 - Password: master1234!

Bootstrapping the System

Before you can start using the Administration Console to manage AuthMinder, perform the following mandatory steps to initialize the system:

- Change the default Master Administrator password
- Specify the Global Key label
- Specify the authentication mechanism for the Default organization

Bootstrapping is a wizard-driven process that walks you through these setup tasks. Other administrative links are enabled after you perform these tasks.

Before you proceed with <u>Performing the Bootstrapping Tasks</u> (see page 83), you must understand the related concept of Default Organization.

Default Organization

When you deploy the Administration Console, an organization is created by default. This organization is referred to as *Default Organization* (**DEFAULTORG**). As a single-organization system, the Default Organization itself can be used without creating any new organizations.

Performing the Bootstrapping Tasks

When you first log in to the Administration Console as the Master Administrator (MA), the Summary screen for the Bootstrap wizard screen appears.

To bootstrap the system using the wizard:

1. Click **Begin** to start the process.

The Change Password screen appears.

2. Specify the Old Password, New Password, Confirm Password, and click Next.

The Configure Global Key Label screen appears.

3. Specify the Global Key Label, and click Next.

AuthMinder enables you to use hardware- or software-based encryption for your sensitive data. Irrespective of hardware or software encryption, the *Global Key Label* is used for encrypting user and organization data.

If you are using hardware encryption, then this label serves only as a reference (or pointer) to the actual 3DES key stored in the HSM device, and therefore the key label *must* match the HSM key label. However, in the case of software-based encryption, this label acts as the reference to the actual software key in the database.

Important! After you complete the bootstrapping process, you can *not* update this key label.

The **Storage Type** field indicates whether the encryption key is stored in the database (**Software**) or the HSM (**Hardware**).

The Configure Default Organization screen appears.

- 4. Under the **Default Organization Configuration** section, specify the following parameters for the Default Organization:
 - **Display Name:** The descriptive name of the organization. This name appears on all other Administration Console pages and reports.
 - Administrator Authentication Mechanism: The mechanism that is used to authenticate administrators belonging to the Default Organization. Administration Console supports three types of authentication methods for the administrators to log in:

Basic

If you choose this option, then the inbuilt authentication method provided by the Administration Console is used for authenticating the administrators.

■ LDAP User Password

If you choose this option, then the administrators are authenticated using their credentials that are stored in the directory service.

Note: If this mechanism is used for authenticating administrators, then you must deploy UDS, as discussed in the "Deploying User Data Service" (see page 87) section.

WebFort User Password

If you select the **WebFort User Password** option here, then the credentials are issued and authenticated by the AuthMinder Server.

Book: Refer to *CA AuthMinder Administration Guide* for more information on how to do this.

- 5. Under the **Key Label Configuration** section of the Configure Default Organization screen, specify the following:
 - Use Global Key: This option is selected by default. Deselect this option if you want to override the Global Key Label you specified in the preceding step and specify a new encryption label.
 - **Key Label:** If you deselected the **Use Global Key** option, then specify the new key label that you want to use for the Default Organization.
 - **Storage Type:** This field indicates whether the encryption key is stored in the database (**Software**) or the HSM (**Hardware**).
- 6. Click **Finish** to complete the bootstrapping process.

The Administration Console initialization is completed, as indicated in the Finish screen.

7. Click **Continue** to proceed with other configurations using the Administration Console.

Note: If you want to localize your Administration Console, then you must prepare your Administration Console for the same, as discussed in appendix, "Preparing for Localization" (see page 205) for more information. After you are done with the steps for localization, then resume performing the post-installation tasks that follow.

Starting AuthMinder Server

Perform the following steps to start AuthMinder Server:

- 1. On the task bar, click the **Start** button.
- 2. Click Settings, and then point to Control Panel, Administrative Tools, and Services.
- 3. Select Arcot WebFort Authentication Service.
- 4. Click the **Start** button to start the service.

Note: If you want to stop the server, then click the **Stop** button.

Verifying the Installation

You can verify whether the AuthMinder Server and the web applications have started successfully by performing the following procedures:

- Using Log files (see page 85)
- <u>Using arwfserver Utility</u> (see page 85)
- Checking the Ports (see page 86)

Using Log files

Perform the following steps to verify that AuthMinder Server has started correctly:

- Navigate to the following location:
 <install_location>\Arcot Systems\logs
- 2. Open the arcotwebfortstartup.log file in any editor and locate the following lines: INSTANCE_VER...... [7.1]
 Arcot WebFort Authentication Service READY

These lines indicate that AuthMinder Server is installed successfully.

Note: Ensure that the log files do not contain any FATAL and WARNING messages.

Using arwfserver Utility

The arwfserver tool enables you to check the release of AuthMinder that you have installed. For more information about this tool, see the *CA AuthMinder Administration Guide*.

Follow these steps:

- Navigate to the following location:
 <install_location>\Arcot Systems\bin
- 2. Execute arwfserver.exe with the following option to start the tool in interactive mode:

arwfserver -i

3. Enter version at the prompt.

The webfort-ver-<dd>-<mmm>-<yy>.txt file is created in the <install location>\Arcot Systems\logs folder.

- 4. Open this file and check for the following lines to ensure that you are using the current release:
 - The version of AuthMinder library files in the bin section is 7.1.
 - The version of the UDS library file (arwfuds.dll) in the bin section is 2.0.3.
- 5. Close the file.

Checking the Ports

To verify if the AuthMinder Server is listening to different protocols on the default ports, perform the following steps :

- 2. Open the arcotwebfortstartup.log file in any editor and search for the protocol names to verify if they are listening on the correct port, as shown in the following snippet.

PROTOCOLNAME : [Administration-WS]

PORTNO: 9745

PROTOCOLID : [Transaction-Native]

PORTNO : 9742

PROTOCOLID : [ServerManagement-WS]

PORTNO: 9743

PROTOCOLID : [Transaction-WS]

PORTNO: 9744

See appendix, "Default Port Numbers and URLs" (see page 235) for information about default ports and protocols.

Deploying User Data Service

AuthMinder can access user data either from a relational database (RDBMS) or directly from an LDAP server:

You need the file arcotuds.war to deploy the User Data Service (UDS). This file is available at:

```
<install_location>\Arcot Systems\java\webapps\
```

To deploy User Data Service:

1. Install arcotuds.war in the appropriate directory on the application server.

Note: The deployment procedure depends on the application server that you are using. See the application server vendor documentation for detailed instructions. For example, in case of Apache Tomcat, deploy the WAR file at <APP SERVER HOME>\webapps\.

- 2. **(For WebSphere Only)** Configure to reload the UDS class when the application files are updated.
 - Navigate to Application > Enterprise Applications and access the UDS settings page.
 - b. Under Class loader order, select the Classes loaded with local class loader first (parent last) option.
 - c. Under WAR class loader policy, select the Single class loader for application.
 - d. Click Apply.
- 3. **(For JBoss Only)** Perform the following steps if you have deployed UDS on JBoss Application Server:
 - a. Copy the Bouncy Castle JAR file (bcprov-jdk15-146.jar) from <install_location>\Arcot Systems\java\lib\ to the following location: <JBOSS_HOME>\common\lib
 - b. Navigate to the following location:<JBOSS_HOME>\server\default\conf\

<layout class="org.apache.log4j.PatternLayout">

- c. Open jboss-log4j.xml file in a text editor.
- d. Add the following log configuration in the <log4j:configuration> section:
 <appender name="arcotudslog" class="org.apache.log4j.RollingFileAppender">
 <errorHandler
 class="org.jboss.logging.util.OnlyOnceErrorHandler"></errorHandler>
 <param name="Threshold" value="INFO"/>
 <param name="MaxFileSize" value="10MB"/>
 <param name="MaxBackupIndex" value="100"/>
 <param name="Encoding" value="UTF-8"/>
 <param name="Append" value="true"/>
 <param name="File" value="\${arcot.home}/logs/arcotuds.log"/>

```
<param name="ConversionPattern" value="%d{yyyy-MM-dd hh:mm:ss,SSS z} : [%t]
: %-5p : %-5c{3}(%L) : %m%n"/>
</layout>
<filter class="org.jboss.logging.filter.TCLMCFilter">
<param name="AcceptOnMatch" value="true"/>
<param name="DeployURL" value="arcotuds.war"/>
</filter>
<!-- end the filter chain here -->
<filter class="org.apache.log4j.varia.DenyAllFilter"></filter>
</appender>
```

e. Add the following line in the com.arcot category that you created in Deploying Administration Console:

```
<appender-ref ref="arcotudslog"></appender-ref>
```

- f. Add the following line in the cryptographic category that you created in Deploying Administration Console:
 <appender-ref ref="arcotudslog"></appender-ref>
- g. Save and close the file.
- 4. Restart the application server.
- 5. Perform the following steps to verify if UDS started correctly:
 - a. Navigate to the following location:
 <iinstall_location>\Arcot Systems\logs
 - b. Open the arcotuds.log file in any editor and locate the following lines:
 User Data Service (Version: 2.0.3) initialized successfully.
 This line indicates that UDS was deployed successfully.

Note: Check and resolve if there are any FATAL and ERROR messages, and review all the WARNING messages for unexpected conditions.

Deploying Sample Application

Important! Sample Application must *not* be used in production deployments. It is recommended that you build your own web application by using Sample Application as a code-reference.

Sample Application can be used to verify if AuthMinder was installed and configured properly. In addition, it demonstrates:

- The typical AuthMinder workflows
- The tasks that you can perform using the AuthMinder Java APIs
- Integration of your application with AuthMinder

Follow these steps:

- Deploy the webfort-7.1-sample-application.war file from the following location: <install_location>\Arcot Systems\samples\java
- 2. If necessary, restart the application server.
- Access the Sample Application using the following URL:
 http://<host>:<app_server_port>/webfort-7.1-sample-application/

Using Sample Application

Sample Application enables you to issue and authenticate the credentials that AuthMinder supports. You can use the Sample Application to perform these operations to test whether the AuthMinder installation has been successful.

This section covers the following tasks:

- Creating Users (see page 90)
- Setting Up ArcotID PKI Client (see page 91)
- <u>Creating ArcotID PKI Credential</u> (see page 92)
- <u>Downloading ArcotID PKI</u> (see page 93)
- Authenticating Using ArcotID PKI (see page 94)

Creating Users

Note: User creation must be performed either using Administration Console or Web services.

To create users using Administration Console:

- 1. Log in to the Administration Console as a Global Administrator (GA) or an Organization Administrator (OA). The URL for the purpose is:
 - http://<host>:<app_server_port>/arcotadmin/adminlogin.htm
- 2. If already not activated, activate the **Manage Users and Administrators** sub-tab under the **Users and Administrators** tab.
- 3. In the left pane, under **Manage Users and Administrators**, click **Create User** to open the Create User page.
- 4. On the Create User page:
 - a. Enter a unique user name, their organization name, and optionally, other user information in the **User Details** section
 - b. If necessary, specify other user information in the corresponding fields on the page.
 - c. Select the required User Status.
 - d. Click Create User.

The "Successfully created the user" message appears if the specified user was successfully added to the database.

5. Return to the AuthMinder Sample Application page.

Setting Up ArcotID PKI Client

To set up the ArcotID PKI Client to communicate with the AuthMinder Server for authenticating users with their ArcotID PKI, you need to perform the following steps:

- Access the Sample Application using the following URL: http://<host>:<app_server_port>/webfort-7.1-sample-application/
- In the left-hand pane, click Setup -> ArcotID Client to open ArcotID Client Settings page.
- 3. In the **Choose ArcotID Client** section, select the type of client that has to be used to authenticate the ArcotID PKI.
- 4. In the **Choose ArcotID Download Type** section, select the location where you want to store the ArcotID PKI.
- 5. In the **Choose Where & When to Obtain the ArcotID Challenge** section, select the mode of obtaining the ArcotID PKI challenge.
- 6. Click **Select** to save the settings.

The "The operation was successful" message appears if the ArcotID PKI Client configuration was performed successfully.

Creating ArcotID PKI Credential

To create ArcotID PKI credential for users:

- Access the Sample Application using the following URL:
 http://<host>:<app_server_port>/webfort-7.1-sample-application/
- 2. In the left-hand pane, click **ArcotID** -> **Issuance** -> **Create** to open Create ArcotID page.
- 3. Specify the name of the user you created in the **User Name** field.
- 4. If necessary, specify the user's organization in the **Organization** field.
- 5. Specify the password to be used for authentication in the **ArcotID Password** field.
- 6. If necessary, specify the profile to be used to issue ArcotID PKI in the **Profile Name** field.
- 7. If necessary, specify the name-vale pairs of the **Unsigned Attributes**. The attributes are set in the unsigned portion of the ArcotID PKI.
- 8. If necessary, specify the **Custom Attributes** to be used for creating the ArcotID PKI.
- 9. If necessary, specify the **Additional Input** that you want to pass to the AuthMinder Server.
- 10. If necessary, pass the following **Transaction Logging Parameters**:
 - In the **Log Level** field, choose the logging level.

Note: For more information about the log levels, refer to appendix, "AuthMinder Logging" in *CA AuthMinder Administration Guide*.

- Select **Enable Trace Logging** if you want to capture flow details.
- Select **Enable DB Logging** if you want to log the database activities.
- Select **Enable Sensitive Data Logging** if you want to log the sensitive data.
- 11. Click **Create** to create the credential.

The "The operation was successful" message appears if the ArcotID PKI was created successfully for the user.

Downloading ArcotID PKI

To download the ArcotID PKI:

- Access the Sample Application using the following URL:
 http://<host>:<app_server_port>/webfort-7.1-sample-application/
- 2. In the left-hand pane, click **ArcotID** -> **Issuance** -> **Download** to open the Download ArcotID page.
- 3. Specify the name of the user you created in the **User Name** field.
- 4. If necessary, specify the user's organization in the **Organization** field.
- 5. If necessary, specify the profile that has been used to issue ArcotID PKI in the **Profile Name** field.
- 6. If necessary, specify the **Additional Input** that you want to pass to the AuthMinder Server.
- 7. If necessary, pass the following **Transaction Logging Parameters**:
 - In the **Log Level** field, choose the logging level.

Book: Refer to appendix, "AuthMinder Logging" in *CA AuthMinder Administration Guide* for more information about the log levels.

- Select Enable Trace Logging if you want to capture flow details.
- Select Enable DB Logging if you want to log the database activities.
- Select Enable Sensitive Data Logging if you want to log the sensitive data.
- 8. Click **Download** to download the user's ArcotID PKI.

Authenticating Using ArcotID PKI

To authenticate using the ArcotID PKI:

- Access the Sample Application using the following URL:
 http://<host>:<app_server_port>/webfort-7.1-sample-application/
- 2. In the left pane, click **ArcotID** -> **Authentication** -> **Authenticate** to open the ArcotID Authentication page.
- 3. Specify the name of the user you created in the **User Name** field.
- 4. Specify the user's organization in the **Organization** field.
- 5. Specify the user's ArcotID PKI password in the ArcotID Password field.
- 6. If you are using aliases to identify the users, then specify the **Application Context** based on the alias of the user that you want to authenticate.
- 7. If necessary, select the **Token Type** to be returned to the user after successful authentication.
 - **Book:** See the "Authenticating Users" chapter in the *CA AuthMinder Developer's Guide* for more information about the token types.
- 8. If necessary, specify the **Authentication Policy Name** to be used for authenticating users.
- If you have selected SAML as the token type, then specify the SAML Policy Name to be used.
- 10. If necessary, specify the **Additional Input** that you want to pass to the AuthMinder Server.
- 11. If necessary, pass the following **Transaction Logging Parameters**:
 - In the **Log Level** field, choose the logging level.
 - **Book:** See "AuthMinder Logging" in *CA AuthMinder Administration Guide* for more information about the log levels.
 - Select **Enable Trace Logging** if you want to capture flow details.
 - Select **Enable DB Logging** if you want to log the database activities.
 - Select **Enable Sensitive Data Logging** if you want to log the sensitive data.
- 12. Click Authenticate to verify the user's ArcotID PKI.

Post-Installation Checklist

Use the following checklist to note down installation and setup information for AuthMinder. You will need this information for various administrative tasks that you perform later.

Your Information	Example Entry	Your Entry
ARCOT_HOME	C:\Program Files\Arcot Systems	
SYSTEM INFORMATION		
Host Name	my-bank	
User Name	administrator	
Password	password1234!	
Configured Components	AuthMinder Server Administration Console User Data Service	
ADMINISTRATION CONSOLE INFORM	1ATION	
Host Name	localhost	
Port	8080	
Master Administrator Password	mypassword1234!	
USER DATA SERVICE INFORMATION		
Host Name	localhost	
Port	8080	
Application Context Root	arcotuds	

Chapter 5: Deploying AuthMinder on Distributed Systems

Use the **Arcot WebFort 7.1 InstallAnywhere Wizard** to install AuthMinder components. This Wizard supports *Complete* and *Custom* installation types. However, to install and configure AuthMinder in a distributed environment, you must use the **Custom** option when you run the installer.

The following steps provide a quick overview of the process:

- Run the AuthMinder installer to install AuthMinder Server and Administration
 Console and to configure them to access your SQL database. You can also choose to
 install the Web Services on the same system.
 - See "Installing on the First System" (see page 99) for installation instructions.
- 2. Execute the database scripts to create AuthMinder schema and database tables. Also ensure that the database setup was successful.
 - See <u>"Running Database Scripts"</u> (see page 109) and <u>"Verifying the Database Setup"</u> (see page 109) for more information.
- 3. Prepare the application server to copy the files that CA Web components use.
 - See "Preparing Your Application Server" (see page 110) for more information.
- 4. Deploy Administration Console on the application server and verify the deployments.
 - See <u>"Deploying Administration Console"</u> (see page 117) and <u>"Verifying the Administration Console"</u> (see page 81) for more information.
- 5. (Optional) Prepare your Administration Console for localization, if you want to use languages other than English.
 - See appendix, "Preparing for Localization" (see page 205) for more information.

- 6. Log in to Administration Console with the Master Administrator credentials to initialize AuthMinder.
 - See "Logging In to Administration Console" (see page 81) and "Bootstrapping the System" (see page 82) for more information.
- 7. Start the AuthMinder Server and verify if the service start successfully.
 - See <u>"Starting AuthMinder Server"</u> (see page 84) and <u>"Verifying the Installation"</u> (see page 85) for more information.
- 8. Deploy User Data Service on the application server and verify the deployment.
 - See <u>"Deploying User Data Service"</u> (see page 125) for more information.
- 9. Install the Java SDKs and Web Services on one or more systems.
 - See "Installing on the Second System" (see page 70) for more information.
- 10. Deploy, configure, and use Sample Application to test AuthMinder configuration.

Note: To install the Sample Application *only*, select only the **SDKs and Sample Application** option and proceed with the installation.

- See "Deploying Sample Application" (see page 128), "Configuring Sample

 Application for Communication with AuthMinder Server" (see page 129), and "Using Sample Application" (see page 130) for more information.
- 11. (Optional) To ensure secure communication between AuthMinder components, you can configure them to support SSL (Secure Socket Layer) transport mode.
 - See appendix, "Configuring SSL" in *CA AuthMinder Administration Guide* for more information.
- 12. Complete the installation checklist.
 - See <u>"Post-Installation Checklist"</u> (see page 135) for more information.

Important Notes Related to Installation

Keep the following points in mind while installing AuthMinder either on a single system or in a distributed environment:

- Ensure that the <install_location> must not contain any special characters (such as ~! @ # \$ % ^ & * () _ + = { }[] ' ").
- Currently, you cannot modify or repair AuthMinder components by using the installer. You must uninstall the component and then re-install it.
- Do not close the installer window, if the installation is in progress. If at any time during installation (*especially during the last stages*), if you click the **Cancel** button to abort the installation, then the installer might not remove *all* the directories it has created so far. You will manually need to clean up the installation directory, <*install_location*>\Arcot Systems\ and its subdirectories.

- If you run the installer on a system that already contains an instance of an existing %ARCOT_HOME%, then:
 - You will not be prompted for an installation directory.
 - You will not be prompted for the database set up. The Installer will use the existing database.
 - You will also not be prompted to set up encryption.

Installing on the First System

To install (and later configure) AuthMinder on Windows successfully, the user account that you plan to use for installation *must* belong to the Administrators group. Else, some critical steps in the installation, such as DSN creation and configuration and AuthMinder service creation, will not go through successfully, though the installation may get completed without any errors.

In a distributed scenario, you can distribute AuthMinder, Administration Console, Java SDKs, and Web Services on different systems. Typically, you install AuthMinder Server on the first system. *Custom installation* allows you to install only the selected components from the package. This option is recommended for advanced users.

Note: Before proceeding with the installation, ensure that all the prerequisite software are installed and the database is set up, as described in chapter, "Preparing for Installation" (see page 39).

Perform the following steps to install AuthMinder and related components:

- 1. Locate the AuthMinder installer Arcot-WebFort-7.1-Windows-Installer.exe file.
- Double-click the installer Arcot-WebFort-7.1-Windows-Installer.exe.
 The Welcome screen appears. It may take a few minutes for this screen to appear.
- 3. Click the **Next** button to proceed.
 - The License Agreement screen appears.
- 4. Read the license agreement carefully, select the I accept the terms of the license agreement option, and click the Next button to accept the agreement.

The installer now checks if any other CA product is installed on the computer.

If it does not find an existing CA product installation, then you will be prompted for an installation directory. In this case, the Installation Location screen appears.

If the installer detects an existing CA product installation, then you will not be prompted for an installation directory. The Installation Location Screen: Previous Installation Detected screen appears when an existing ARCOT_HOME was located on the computer.

5. You can accept the default directory specified by the installer to install AuthMinder. You can also click **Choose** to navigate and to specify a different directory.

Click **Next** to install in the specified directory.

The Installation Type screen appears.

6. Select the **Custom** option to install the selected components and click **Next**.

The Component Selection screen appears.

7. Deselect the components that are not required. By default, all components are selected for installation.

For example, to install AuthMinder Server, Administration Console, and User Data Service (*without* the SDKs and the Sample Application) on the current system, you will need to:

- a. Select the **Arcot WebFort Authentication Server** option.
- b. Select the **Arcot Administration Console** option.
- c. Select the Arcot User Data Service option.
- d. Deselect the Arcot WebFort Java SDK and WS option.
- e. Deselect the Arcot WebFort Sample Application option.

The following table describes all components that are installed by the AuthMinder installer:

Component	Description
Arcot WebFort Authentication Server	This option installs the core Processing engine (WebFort Server) that serves the following requests from SDKs, Administration Console, and web services:
	■ Credential Issuance Configurations
	■ Credential Authentication Configurations
	■ Server Configurations
	In addition, this component also enables you to access the following Web services:
	 Authentication and Authorization Web service - Provides the programming interface for authenticating and authorizing users.
	Issuance SDK and Web Services - Provides the programming interface for creating, reading, and updating user credential information in the AuthMinder database.
	 Authentication Web Service - Provides the programming interface for authenticating users.
	 Credential Management Web Service - Provides the programming interface for creation and management of user credentials.
	Administration Web Service - Provides the programming interface used by the AuthMinder Administration Console.
	Bulk Operations Web Service: Provides the programming interface for uploading and fetching OATH tokens.

Component	Description
Arcot WebFort Java SDK and WS	This option provides programming interfaces (in form of APIs and Web Services) that can be invoked by your application to forward authentication and user credential issuance requests to the AuthMinder Server. This package comprises the following sub-components:
	Authentication Java SDK and Web Services- Provides the programming interface for authentication with AuthMinder Server.
	■ Credential Management Java SDK and Web Services - Provides the programming interface for creation and management of user credentials.
	■ Administration Web Service - Provides the programming interface for creating configurations.
	■ Bulk Operations Web Service: Provides the programming interface for uploading and fetching OATH tokens.
	Refer to chapter, "Configuring AuthMinder Java SDKs and Web Services" (see page 137) for more information on configuring these components.
Arcot WebFort Sample Application	This option provides Web-based interface for demonstrating the usage of AuthMinder Java APIs. In addition, it can also be used to verify if AuthMinder was installed successfully, and if it is able to perform credential management and authentication requests.
Arcot Administration Console	This option provides the Web-based interface for managing AuthMinder Server and authentication-related configurations.
Arcot User Data Service	This option installs UDS that acts as an abstraction layer for accessing different types of user repositories, such as relational databases (RDBMSs) and directory servers (LDAPs.)

After you have selected all the desired components for installation, click Next.
 The Database Type screen appears.

2. Select the database type, and then click **Next** to proceed.

Depending on the Database you selected, the corresponding *Database Details* screen appears.

Depending on the database that you selected, perform one of the following steps:

1. Microsoft SQL Server Database Details

Perform the following tasks for MS SQL database access:

a. Enter the required information as described in the following table:

a. Enter the	Tequired information as described in the following table:
Field	Description
ODBC DSN	AuthMinder Server uses the ODBC DSN to connect to the AuthMinder database. The recommended value to enter is <i>arcotdsn</i> . The installer creates the DSN using this value.
Server	The host name or IP address of the database server. If SQL server is deployed in Named Instance mode, then you must also enter a slash "\" followed by the instance name, refer to vendor documentation for more information on this. Default Instance Syntax: <server name=""> Example: demodatabase Named instance</server>
	Syntax: <server name="">\<instance name=""></instance></server>
	Example: demodatabase\instance1
User Name	The User Name that AuthMinder uses to access the database (SQL Server refers to this as <i>login</i>). This name is specified by the database administrator.
	Note: The User Name for the Primary and Backup DSN must be different.
Password	The password that AuthMinder uses to access the database. This password is specified by the database administrator.
Database	The name of the database that AuthMinder will access.
Port Number	The port on which the database server listens to the incoming requests.

- b. Test for successful database connection by clicking **Test Data Source**.
- c. After completing the test, click **Next** to proceed.
- d. Go to Step 10.

2. IBM DB2 (UDB) Database Details

Perform the following tasks for IBM DB2 (UDB) database access:

a. Enter the required information as described in the following table:

Field	Description
ODBC DSN	AuthMinder Server uses the ODBC DSN to connect to the AuthMinder database. The recommended value to enter is arcotdsn. The installer creates the DSN using this value.
Server	The host name or IP address of the database server. If the database is deployed in Named Instance mode, then you must also enter a slash "\" followed by the instance name, refer to vendor documentation for more information on this. Default Instance
	Syntax: <server name=""></server>
	Example: demodatabase
	Named instance
	Syntax: <server name="">\<instance name=""></instance></server>
	Example: demodatabase\instance1
User Name	The User Name that AuthMinder uses to access the database. This name is specified by the database administrator.
	Note: The User Name for the Primary and Backup DSN must be different.
Password	The password that AuthMinder uses to access the database. This password is specified by the database administrator.
Port Number	The port on which the database server listens to the incoming requests.
Database	The name of the database that AuthMinder will access.

- b. Click **Next** to proceed.
- c. Go to Step 10.
- 3. Oracle Database Details

Perform the following tasks for Oracle database access:

a. Enter the required information as described in the following table:

Field	Description
	AuthMinder Server uses the ODBC DSN to connect to the AuthMinder database. The recommended value to enter is arcotdsn. The installer creates the DSN using this value.

Field	Description
User Name	The User Name that AuthMinder uses to access the database. This name is specified by the database administrator. Note: The User Name for the Primary and Backup DSN should be different.
Password	The password that AuthMinder uses to access the database. This password is specified by the database administrator.
Service ID	The Oracle System Identifier that refers to the instance of the Oracle database running on the Oracle server.
Port Number	The port on which the database server listens to the incoming requests.
Host Name	The host name or the IP address of the computer where the Oracle server is available.
	Syntax: <host address="" ip="" name="" or=""></host>
	Example: demodatabase

- b. Test for successful database connection by clicking **Test Data Source**.
- c. After completing the test, click **Next** to proceed.
- 4. MySQL Database Details

Perform the following tasks for MySQL database access:

a. Enter the required information as described in the following table:

Field	Description
ODBC DSN	AuthMinder Server uses the ODBC DSN to connect to the AuthMinder database. The recommended value to enter is <i>arcotdsn.</i> The installer creates the DSN using this value.
Server	The host name or IP address of the database server. If SQL server is deployed in Named Instance mode, then you must also enter a slash "\" followed by the instance name, refer to vendor documentation for more information on this.
	Default Instance
	Syntax: <server name=""></server>
	Example: demodatabase
	Named instance
	Syntax: <server name="">\<instance name=""></instance></server>
	Example: demodatabase\instance1

Field	Description
User Name	The User Name that AuthMinder uses to access the database (SQL Server refers to this as <i>login</i>). This name is specified by the database administrator.
	Note: The User Name for the Primary and Backup DSN must be different.
Password	The password that AuthMinder uses to access the database. This password is specified by the database administrator.
Database	The name of the database that AuthMinder will access.
Port Number	The port on which the database server listens to the incoming requests.

- b. Test for successful database connection by clicking **Test Data Source**.
- c. After completing the test, click **Next** to proceed.
- d. Go to Step 10.

The Encryption Configuration screen appears. This screen is used to select the encryption mode and set the information used for encryption.

- 3. Enter the following information in this screen:
 - Master Key: Enter the master key, which will be used to encrypt the data stored in the database. By default, the value of master key is set to MasterKey. This key is stored in the securestore.enc file located at <install_location>\Arcot Systems\conf.
 - If you want to change the value of the master key after the installation, then you must regenerate the securestore.enc file with a new master key value. For more information, see the *CA AuthMinder Administration Guide*.
 - Configure HSM: Select this option *only* if you want to use a Hardware Security Module (HSM) to encrypt the sensitive data. If not selected, the default software mode is used to encrypt the data.

Note: The following options are enabled *only* if you choose to **Configure HSM**.

■ **PIN**: Enter the password that is used to connect to the HSM.

- Choose Hardware Module: Choose the HSM that you plan to use. Following are the HSMs that AuthMinder supports:
 - Luna HSM
 - nCipher netHSM
- **HSM Parameters**: Set the following HSM information:
 - Shared Library: The absolute path to the PKCS#11 shared library corresponding to the HSM.

For Luna (cryptoki.dll) and for nCipher netHSM (cknfast.dll), specify the absolute path and name of the file.

■ Storage Slot Number: The HSM slot where the 3DES keys used for encrypting the data are present. The default value for Luna is 0, and for nCipher netHSM the default value is 1.

Click **Next** to continue.

The Pre-Installation Summary screen appears. This screen lists the product name, installation folder, type of installation, and components that are selected.

4. If you want to change any of the installation settings, then click the **Previous** button or click the **Install** button to proceed with the installation.

The Microsoft Visual C++ 2010 x86 Redistributable Setup screen appears. This screen appears only if the current system where you are installing AuthMinder does not have Microsoft Visual C++ 2010 x86.

- 5. Select the I have read and accept the license terms option, and click Install.
 - The Installation Progress screen appears. This might take a few seconds. After some time the Installation Is Complete screen appears.
- 6. Click **Finish** to close the Microsoft Visual C++ 2010 x86 Redistributable Setup dialog box and continue with AuthMinder installation.
 - The Installing Arcot WebFort screen appears. This might take several minutes. After some time the Installation Complete screen appears.
- 7. Click **Done** to exit the installation wizard.

Installation Logs

After installation, you can access the installation log file (Arcot_WebFort_Install_<mm_dd_yyyy_hh_mm_ss>.log) in the <install_location> directory. For example, if you had specified the C:\Program Files directory as the installation directory, then the installation log file is created in the C:\Program Files directory.

If the installation fails for some reason, then error messages are recorded in this log file.

Performing Post-Installation Tasks on the First System

The following post-installation steps are discussed in this section:

- 1. Running Database Scripts (see page 109)
- 2. Verifying the Database Setup (see page 109)
- 3. Preparing Your Application Server (see page 110)
- 4. <u>Deploying Administration Console</u> (see page 117)
- 5. <u>Verifying the Administration Console</u> (see page 119)
- 6. Logging In to Administration Console (see page 119)
- 7. <u>Bootstrapping the System</u> (see page 120)
- 8. Starting AuthMinder Server (see page 123)
- 9. <u>Verifying the Installation</u> (see page 123)
- 10. Deploying User Data Service (see page 125)

Note: After completing these post-installation tasks, perform the Java SDKs and Web services configuration as discussed in chapter, "Configuring AuthMinder Java SDKs and Web Services" (see page 137).

Running Database Scripts

AuthMinder ships with SQL scripts that create its schema and set initial configuration values in the AuthMinder database.

To configure the database used by AuthMinder:

- Locate the folder with the scripts for your database type. The default location is:
 (for MS SQL) <install_location>\Arcot Systems\dbscripts\mssql
 (for Oracle) <install_location>\Arcot Systems\dbscripts\oracle
 (for IBM DB2 UDB) <install_location>\Arcot Systems\dbscripts\db2
 (for MySQL) <install_location>\Arcot Systems\dbscripts\mysql
- 2. Run the *scripts in the following order* by using the database vendor tools:
 - a. arcot-db-config-for-common-2.0.sql

Important! If you have installed CA RiskMinder 3.1, you need not run arcot-db-config-for-common-2.0.sql because you have already run it while installing CA RiskMinder 3.1.

b. arcot-db-config-for-webfort-7.0.sql

Note: If you encounter any error while executing the scripts, then check with your database administrator whether you have the required privileges.

Verifying the Database Setup

Use the arwfutil tool to verify whether the AuthMinder schema is seeded correctly.

Follow these steps:

- 1. Open a command prompt window.
- 3. At the command prompt enter the following command: arwfutil vdb

The preceding command creates the arcotwebfort-vdb-<*dd>*-<*mmm>*-<*yy>*.txt file in the <*install_location>*\Arcot Systems\logs directory.

4. Open the arcotwebfort-vdb-<*dd>*-<*mmm>*-<*yy>*.txt file in a text editor, and check for entries of the following type:

ARWF* FOUND

These lines indicate that your database setup has been successful.

5. Close the arcotwebfort-vdb-<dd>-<mmm>-<yy>.txt file.

Preparing Your Application Server

Two components of AuthMinder, User Data Service (UDS) and Administration Console, are web-based and can be deployed on any of the following supported application servers:

- Apache Tomcat
- IBM WebSphere
- Oracle WebLogic
- JBoss Application Server

Before you deploy the WAR files for these web applications on the application server, copy the required CA-proprietary files and JDBC JARs to the appropriate location on your application server. The following sections describe the steps to copy these files to the application server:

- Step 1: Setting Java Home (see page 110)
- <u>Step 2: Copying Database Access Files to Your Application Server</u> (see page 111)
- Step 3: Copying JDBC JARs to Your Application Server
- Step 4: (Mandatory for Oracle WebLogic 10.1) Creating Enterprise Archive File (see page 116)

Step 1: Setting Java Home

Before you deploy the WAR files for UDS and Administration Console on the application server, ensure that you set the JAVA_HOME environment variable. For Apache Tomcat, set JAVA_HOME to the Java home directory corresponding to the JDK that you are using.

In addition, include %JAVA_HOME%\bin in the PATH environment variable. If you fail to do so, then Administration Console and other JDK-dependent components may fail to start.

Step 2: Copying Database Access Files to Your Application Server

UDS and Administration Console use the following files to access the AuthMinder database securely:

- ArcotAccessKeyProvider.dll available at:
 <iinstall_location>\Arcot Systems\native\win\<32 or 64 bit>\

As a result, these files must be copied to the appropriate location on the application server where you have deployed these AuthMinder components. The following sections provide information about copying these files:

Apache Tomcat

To copy the CA files on Apache Tomcat:

- Copy the ArcotAccessKeyProvider.dll file to the following directory:
 <JAVA_HOME used by Apache Tomcat>\jre\bin
- 2. Copy the arcot-crypto-util.jar file to the </AVA_HOME used by Apache Tomcat>\jre\lib\ext directory.
- 3. Restart the application server.

IBM WebSphere

Note: Depending on the WebSphere version that you are using, the steps that you follow may be different.

To copy the CA files on WebSphere:

- 1. Log in to WebSphere Administration Console.
- 2. Click Environment, and then click Shared Libraries.
 - a. From the **Scope** drop-down, select a valid visibility scope. The scope must include the target server/node on which the application is deployed.
 - b. Click New.
 - c. Enter the Name, for example, ArcotJNI.
 - d. Specify the **Classpath**. This path must point to the location where the arcot-crypto-util.jar file is present and must also include the file name. For example, C:\Program Files\Arcot Systems\java\lib\arcot-crypto-util.jar.
 - e. Enter the JNI library path. This path must point to the location where the ArcotAccessKeyProvider.dll file is present.
 - f. Click Apply.

- 3. Configure server-level class loaders.
 - a. Click Servers, and then click Application Servers.
 - b. Under **Application Servers** access the settings page of the server for which the configuration is performed.
 - c. Click Java and Process Management, and then click Class Loader.
 - d. Click New. Select default Classes loaded with parent class loader first and click OK.
 - e. Click the auto-generated Class Loader ID.
 - f. In the class loader **Configuration** page, click **Shared Library References**.
 - g. Click **Add**, select the shared library that you created earlier in this procedure (for example, ArcotJNI), and then click **Apply**.
 - h. Save the changes.
- 4. Copy ArcotAccessKeyProvider.dll file to the following directory: <JAVA_HOME used by IBM WebSphere>\jre\bin
- 5. Restart the application server.

Oracle WebLogic

To copy the CA files on the Oracle WebLogic server:

- 2. Copy arcot-crypto-util.jar to the *<JAVA_HOME* used by Oracle WebLogic instance>\jre\lib\ext directory.
- 3. Log in to WebLogic Administration Console.
- 4. Navigate to **Deployments**.
- 5. Enable the Lock and Edit option.
- 6. Click **Install** and navigate to the directory that contains the arcot-crypto-util.jar file.
- 7. Click Next.

The Application Installation Assistant screen appears.

8. Click Next.F

The Summary page appears.

- 9. Click Finish.
- 10. Activate the changes.
- 11. Restart the application server.

JBoss Application Server

To copy the CA files on JBoss Application Server:

- Copy ArcotAccessKeyProvider.dll to the following directory:

 JAVA_HOME used by JBoss instance \jre\bin
- 2. Copy arcot-crypto-util.jar to the *<JAVA_HOME* used by JBoss Application Server instance>\jre\lib\ext directory.
- 3. Restart the application server.

Step 3: Copying JDBC JARs to Your Application Server

Administration Console, User Data Service (UDS), and Sample Application, which are the Java-dependant components of AuthMinder need Java Database Connectivity (JDBC) Java ARchive (JAR) files to connect to the database. You must copy these files to the application server.

Note: Before proceeding with the steps mentioned in the following subsections, ensure that you have downloaded the JDBC JARs, see chapter, "Preparing for Installation" (see page 39) for more information about supported JDBC JARs.

The following sub-sections walk you through the steps for copying the JDBC JAR required for your database to one of the following application servers:

- Apache Tomcat
- IBM WebSphere
- Oracle WebLogic
- JBoss Application Server

Apache Tomcat

To copy the JDBC JAR file to the Apache Tomcat installation directory:

- 1. Navigate to the location where you have downloaded the *<Database_JAR>* file.
- 2. Copy the *<Database_JAR>* file and paste it in the following directory:
 - For Apace Tomcat 5.5.x: <TOMCAT-HOME>\common\lib
 - For Apace Tomcat 6.x and 7.x: <TOMCAT-HOME>\lib

Or

Add the path that contains the JDBC JAR file to the **Classpath** environment variable.

3. Restart Apache Tomcat.

IBM WebSphere

To copy the JDBC JAR file to IBM WebSphere:

- 1. Log in to WebSphere Administration Console.
- 2. Click **Environment**, and then click **Shared Libraries**.
 - a. From the **Scope** drop-down, select a valid visibility scope. The scope must include the target server/node on which the application is deployed.
 - b. Click New.
 - c. Enter the **Name**, for example, **JDBCJAR**.
 - d. Specify the **Classpath**. This path must point to the location where the *<Database_JAR>* file is present and must also include the file name.

- e. Click **Apply** to save the changes made.
- 3. Configure server-level class loaders.

Note: You can either create a class loader or use the one that you created while performing the procedure described in Step 2: Copying Database Access Files to Your Application Server">Your Application Server (see page 111).

- a. Click Servers, and then click Application Servers.
- b. Under **Application Servers** access the settings page of the server for which the configuration is performed.
- c. Click Java and Process Management, and then click Class Loader.
- d. Click New. Select default Classes loaded with parent class loader first and click OK.
- e. Click the auto-generated Class Loader ID.
- f. In the class loader **Configuration** page, click **Shared Library References**.
- g. Click Add, select JDBCJAR and then click Apply.
- h. Save the changes made.
- 4. Restart IBM WebSphere.

Oracle WebLogic

To copy the JDBC JAR file to Oracle WebLogic:

Note: If you are using Oracle database, then you need *not* perform the configurations mentioned in this section, because WebLogic supports Oracle database by default.

- Copy the <Database_JAR> file to the following directory:
 <JAVA_HOME used by Oracle WebLogic instance>\jre\lib\ext directory.
- 2. Login to WebLogic Administration Console.
- 3. Navigate to **Deployments**.
- 4. Enable the Lock and Edit option.
- 5. Click **Install** and navigate to the directory that contains the *<Database_JAR>* file.
- 6. Click Next.

The Application Installation Assistant screen appears.

7. Click Next.

The Summary page appears.

- 8. Click Finish.
- 9. Activate the changes.
- 10. Restart Oracle WebLogic Server.

JBoss Application Server

To copy the JDBC JAR file on JBoss Application Server:

- Copy the JDBC JAR file to the following location on the JBoss installation directory: <JBOSS_HOME>\server\default\lib
- 2. Restart JBoss Application Server.

Step 4: (Mandatory for Oracle WebLogic 10.1) Creating Enterprise Archive File

Most application servers (such as WebSphere and WebLogic) enable you to bundle related JARs or WARs into a single enterprise application (or archive). As a result, all related JARs or WARs can be deployed together, and can be loaded by a class loader. This archive also contains an application.xml file, which is generated automatically and describes how to deploy each bundled module.

By default, CA provides WAR files to deploy Administration Console and User Data Service. If necessary, you can also change the format of these files to EAR and then deploy the EAR files.

Perform the following steps to create the EAR file:

- 1. Open the command prompt window.
- 2. Navigate to the <install_location>\Arcot Systems\tools\common\bundlemanager directory.
- 3. Run the bundlemanager tool to create the EAR file by using the following command:

```
java -jar bundle-manager.jar -ear <filename.ear> -warList
<war_file_name>
```

Note: In the preceding command, replace <war_file_name> with arcotadmin.war for generating the EAR file for Administration Console. Similarly, replace <war_file_name> with arcotuds.war for generating the EAR file for UDS.

This command generates the EAR file at <install_location>\Arcot Systems\java\webapps.

Deploying Administration Console

Note: If you plan to deploy Administration Console on WebSphere 7.0, then refer to the instructions provided in appendix, "Deploying Administration Console on IBM WebSphere 7.0" (see page 253).

You need the file arcotadmin.war to deploy the Administration Console. This file is available at:

```
<install_location>\Arcot Systems\java\webapps\
```

Note: To manage AuthMinder Server by using Administration Console, ensure that Administration Console can access the system where AuthMinder Server is installed by its hostname.

To deploy Administration Console:

1. Deploy arcotadmin.war in the appropriate directory on the application server.

Note: The deployment procedure depends on the application server that you are using. See your application server vendor documentation for detailed instructions. For example, in case of Apache Tomcat, you must deploy the WAR file at <APP_SERVER_HOME>\webapps\.

- 2. **(For JBoss Only)** Perform the following steps if you have deployed Administration Console on JBoss application server:
 - a. Copy the Bouncy Castle JAR file (bcprov-jdk15-146.jar) from <install_location>\Arcot Systems\java\lib\ to the following location: <JBOSS_HOME>\common\lib
 - b. Navigate to the following location:<JBOSS_HOME>\server\default\conf\
 - c. Open jboss-log4j.xml file in a text editor.
 - d. Add the following log configuration in the <log4j:configuration> section:

```
<appender name="arcotadminlog"
class="org.apache.log4j.RollingFileAppender">
<errorHandler
class="org.jboss.logging.util.OnlyOnceErrorHandler"></errorHandler>
<param name="Threshold" value="INFO"/>
<param name="MaxFileSize" value="10MB"/>
<param name="MaxBackupIndex" value="100"/>
<param name="Encoding" value="UTF-8"/>
<param name="Encoding" value="true"/>
<param name="Append" value="true"/>
<param name="File" value="${arcot.home}/logs/arcotadmin.log"/>
<layout class="org.apache.log4j.PatternLayout">
<param name="ConversionPattern" value="%d{yyyy-MM-dd hh:mm:ss,SSS z} : [%t]
: %-5p : %-5c{3} : %m%n"/>
</layout>
<filter class="org.jboss.logging.filter.TCLMCFilter">
```

```
<param name="AcceptOnMatch" value="true"/>
<param name="DeployURL" value="arcotadmin.war"/>
</filter>
<!-- end the filter chain here -->
<filter class="org.apache.log4j.varia.DenyAllFilter"></filter>
</appender>
```

e. Add the following log category:

```
<category name="com.arcot">
<priority value="INFO" />
<appender-ref ref="arcotadminlog"></appender-ref>
</category>
```

f. Add the following category for cryptographic operations:

```
<category name="com.arcot.crypto.impl.NCipherCrypter">
<priority value="FATAL" />
<appender-ref ref="arcotadminlog"></appender-ref>
</category>
```

- g. Save and close the file.
- h. Take the backup of existing JBoss logging libraries. These library files are available at:

<JBOSS_HOME>\lib

Upgrade the JBoss logging libraries available at <JBOSS_HOME>\lib to version
 2.1.1. The following table lists the JAR file names and the location from where you can download the files:

1		
File Name	Location	
jboss-logging-jdk-2.1.1.GA.jar	http://repo1.maven.org/maven2/org/jboss/logging/jboss-logging-jdk/2.1.1.GA/	
jboss-logging-spi-2.1.1.GA.jar	http://repo1.maven.org/maven2/org/jboss/logging/jboss-logging-spi/2.1.1.GA/	
jboss-logging-log4j-2.1.1.GA.j ar	http://repo1.maven.org/maven2/org/jboss/logging/jboss-logging-log4j/2.1.1.GA/	

3. Ensure that the application is restarted.

Verifying the Administration Console

The arcotadmin.log file is used for logging the Administration Console information.

To verify if the Administration Console was deployed successfully:

- Navigate to the following location:
 <install_location>\Arcot Systems\logs
- Open the arcotadmin.log file in any editor and locate the following lines:
 Arcot Administration Console Configured Successfully.
 This line indicates that your Administration Console was deployed successfully.

Note: Check and resolve if there any FATAL and ERROR messages, and review all the WARNING messages for unexpected conditions.

Important! If you want to localize your Administration Console, then you must prepare your Administration Console for the same, as discussed in appendix, "Preparing for Localization" (see page 205).

After you are done with the steps for localization, then resume performing the post-installation tasks that follow.

Logging In to Administration Console

When logging in to Administration Console for the first time, use the Master Administrator credentials that are created automatically in the database during the installation.

Follow these steps:

- Start Administration Console in a Web browser window, by using the following URL: http://<host>:<app_server_port>/arcotadmin/masteradminlogin.htm
 - **Note:** The host and port information that you specify in the preceding URL must be of the application server where Administration Console is deployed.
- 2. Log in to Administration Console as a Master Administrator with the default Master Administrator account credentials. The credentials are:

User Name: masteradmin

Password: master1234!

Bootstrapping the System

Before you can start using the Administration Console to manage AuthMinder, perform the following mandatory steps to initialize the system:

- Change the default Master Administrator password
- Specify the Global Key label
- Specify the authentication mechanism for the Default organization

Bootstrapping is a wizard-driven process that walks you through these setup tasks. Other administrative links are enabled after you perform these tasks.

Before you proceed with <u>Performing the Bootstrapping Tasks</u> (see page 83), you must understand the related concept of Default Organization.

Default Organization

When you deploy the Administration Console, an organization is created by default. This organization is referred to as *Default Organization* (**DEFAULTORG**). As a single-organization system, the Default Organization itself can be used without creating any new organizations.

Performing the Bootstrapping Tasks

When you first log in to the Administration Console as the Master Administrator (MA), the Summary screen for the Bootstrap wizard screen appears.

To bootstrap the system using the wizard:

1. Click **Begin** to start the process.

The Change Password screen appears.

2. Specify the Old Password, New Password, Confirm Password, and click Next.

The Configure Global Key Label screen appears.

3. Specify the Global Key Label, and click Next.

AuthMinder enables you to use hardware- or software-based encryption of your sensitive data. Irrespective of hardware or software encryption, the *Global Key Label* is used for encrypting user and organization data.

If you are using hardware encryption, then this label serves only as a reference (or pointer) to the actual 3DES key stored in the HSM device, and therefore the key label *must* match the HSM key label. However in case of software-based encryption, this label acts as the key.

Caution: After you complete the bootstrapping process, you can *not* update this key label.

The **Storage Type** field indicates whether the encryption key is stored in the database (**Software**) or the HSM (**Hardware**).

The Configure Default Organization screen appears.

- 4. Under the **Default Organization Configuration** section, specify the following parameters for the Default Organization:
 - **Display Name:** The descriptive name of the organization. This name appears on all other Administration Console pages and reports.
 - Administrator Authentication Mechanism: The mechanism that is used to authenticate administrators belonging to the Default Organization. Administration Console supports three types of authentication methods for the administrators to log in:
 - Basic

If you choose this option, then the inbuilt authentication method provided by the Administration Console is used for authenticating the administrators.

LDAP User Password

If you choose this option, then the administrators are authenticated using their credentials that are stored in the directory service.

Note: If this mechanism is used for authenticating administrators, then you must deploy UDS, as discussed in the "Deploying User Data Service" (see page 125) section.

■ WebFort User Password

If you select the **WebFort User Password** option here, then the credentials are issued and authenticated by the AuthMinder Server.

Book: Refer to *CA AuthMinder Administration Guide* for more information about how to do this.

- 5. Under the **Key Label Configuration** section of the Configure Default Organization screen, specify the following:
 - Use Global Key: This option is selected by default. Deselect this option if you want to override the Global Key Label you specified in the preceding step and specify a new encryption label.
 - **Key Label:** If you deselected the **Use Global Key** option, then specify the new key label that you want to use for the Default Organization.
 - **Storage Type:** This field indicates whether the encryption key is stored in the database (**Software**) or the HSM (**Hardware**).
- 6. Click **Finish** to complete the bootstrapping process.

The Administration Console initialization is completed, as indicated in the Finish screen.

 Click Continue to proceed with other configurations using the Administration Console.

Note: If you want to localize your Administration Console, then you must prepare your Administration Console for the same, as discussed in appendix, "Preparing for Localization" (see page 205) for more information. After you are done with the steps for localization, then resume performing the post-installation tasks that follow.

Starting AuthMinder Server

Perform the following steps to start AuthMinder Server:

- 1. On the task bar, click the **Start** button.
- 2. Click Settings, and then point to Control Panel, Administrative Tools, and Services.
- 3. Select Arcot WebFort Authentication Service.
- 4. Click the **Start** button to start the service.

Note: If you want to stop the server, then click the **Stop** button.

Verifying the Installation

You can verify whether the AuthMinder Server and the web applications have started successfully by using the following methods:

- <u>Using Log files</u> (see page 85)
- Using arwfserver Utility (see page 85)
- Checking the Ports (see page 86)

Using Log files

Perform the following steps to verify if AuthMinder Server started correctly:

- Navigate to the following location:
 <install_location>\Arcot Systems\logs

These lines indicate that AuthMinder Server is installed successfully.

Note: Ensure that the log files do not contain any FATAL and WARNING messages.

Using arwfserver Utility

The arwfserver tool enables you to check the version of AuthMinder you have installed. Refer to *CA AuthMinder Administration Guide* for more information on this tool.

Perform the following steps to check the AuthMinder version:

- Navigate to the following location: <install_location>\Arcot Systems\bin
- Execute arwfserver.exe with the following option to start the tool in interactive mode:

```
arwfserver -i
```

3. Enter version at the prompt.

The webfort-ver-<dd>-<mmm>-<yy>.txt file is created in the <install_location>\Arcot Systems\logs folder.

- 4. Open this file and check for the following to ensure that you are using the current version:
 - The version of AuthMinder library files in the bin section is 7.1.
 - The version of the UDS library file (arwfuds.dll) in the bin section is 2.0.3.
- 5. Close the file.

Checking the Ports

To ensure that the AuthMinder Server is listening for the required protocols, perform the following steps:

- Navigate to the following location:
 <install_location>\Arcot Systems\logs
- 2. Open the arcotwebfortstartup.log file in any editor and search for the protocol names to verify if they are listening on the correct port, as shown in the following snippet.

```
PROTOCOLNAME : [Administration-WS]
```

PORTNO : 9745

PROTOCOLID : [Transaction-Native]

PORTNO: 9742

PROTOCOLID : [ServerManagement-WS]

PORTNO: 9743

PROTOCOLID : [Transaction-WS]

PORTNO: 9744

Note: See appendix, "Default Port Numbers and URLs" (see page 235) for information about default ports and protocols.

Deploying User Data Service

AuthMinder can access user data either from a relational database (RDBMS) or directly from an LDAP server:

You need the file arcotuds.war to deploy the User Data Service (UDS). This file is available at:

<install_location>\Arcot Systems\java\webapps\

Follow these steps:

1. Deploy arcotuds.war in the appropriate directory on the application server.

Note: The deployment procedure depends on the application server that you are using. See your application server vendor documentation for detailed instructions. For example, in case of Apache Tomcat, deploy the WAR file at <APP SERVER HOME>\webapps\.

- 2. (For WebSphere Only) Configure to reload the UDS class when the application files are updated.
 - Navigate to Application > Enterprise Applications and access the UDS settings page.
 - b. Under Class loader order, select the Classes loaded with local class loader first (parent last) option.
 - c. Under WAR class loader policy, select the Single class loader for application.
 - d. Click Apply.
- 3. **(For JBoss Only)** Perform the following steps if you have deployed UDS on JBoss Application Server:
 - a. Copy the Bouncy Castle JAR file (bcprov-jdk15-146.jar) from <install_location>\Arcot Systems\java\lib\ to the following location: <JBOSS_HOME>\common\lib
 - b. Navigate to the following location:<JBOSS_HOME>\server\default\conf\

<layout class="org.apache.log4j.PatternLayout">

- c. Open jboss-log4j.xml file in a text editor.
- d. Add the following log configuration in the <log4j:configuration> section:
 <appender name="arcotudslog" class="org.apache.log4j.RollingFileAppender">
 <errorHandler
 class="org.jboss.logging.util.OnlyOnceErrorHandler"></errorHandler>
 <param name="Threshold" value="INFO"/>
 <param name="MaxFileSize" value="10MB"/>
 <param name="MaxBackupIndex" value="100"/>
 <param name="Encoding" value="UTF-8"/>
 <param name="Append" value="true"/>
 <param name="File" value="\${arcot.home}/logs/arcotuds.log"/>

```
<param name="ConversionPattern" value="%d{yyyy-MM-dd hh:mm:ss,SSS z} : [%t]
: %-5p : %-5c{3}(%L) : %m%n"/>
</layout>
<filter class="org.jboss.logging.filter.TCLMCFilter">
<param name="AcceptOnMatch" value="true"/>
<param name="DeployURL" value="arcotuds.war"/>
</filter>
<!-- end the filter chain here -->
<filter class="org.apache.log4j.varia.DenyAllFilter"></filter>
</appender>
```

e. Add the following line in the com.arcot category that you created in Deploying Administration Console:

```
<appender-ref ref="arcotudslog"></appender-ref>
```

- f. Add the following line in the cryptographic category that you created in Deploying Administration Console:
 <appender-ref ref="arcotudslog"></appender-ref>
- g. Save and close the file.
- 4. Restart the application server.
- 5. Perform the following steps to verify that UDS started correctly:

 - b. Open the arcotuds.log file in any editor and locate the following lines:
 User Data Service (Version: 2.0.3) initialized successfully.
 This line indicates that UDS was deployed successfully.

Note: Check and resolve if there any FATAL and ERROR messages, and review all the WARNING messages for unexpected conditions.

Installing on the Second System

After installing AuthMinder Server and Administration Console you must install the other components on the second system in this distributed-system deployment. The specific components to install must have been determined when you performed your planning in chapter, "Planning the Deployment" (see page 27).

Before proceeding with the installation, ensure that all the prerequisite software components are installed on this system as described in chapter, "Preparing for Installation" (see page 39).

Perform the following steps to install AuthMinder components:

- Locate the AuthMinder installer Arcot-WebFort-7.1-Windows-Installer.exe file.
- 2. Double-click the file Arcot-WebFort-7.1-Windows-Installer.exe to run the installer.
- 3. Perform the steps described in <u>Installing on the First System</u> (see page 99), until you reach the Choose Product Features screen.
- 4. Select the components that you want to install. Typically, you will be installing SDKs, Web services, and the Sample Application. After you have selected the components, follow the steps from Step 8 through Step 15 to complete the installation.

Performing Post-Installation Tasks on the Second System

Perform the following post-installation tasks on the second system where you have installed Java SDKs, Web services, and Sample Application:

- <u>Deploying Sample Application</u> (see page 128)
- Configuring Sample Application for Communication with AuthMinder Server (see page 129)
- <u>Using Sample Application</u> (see page 130)

Deploying Sample Application

Important! Sample Application must *not* be used in production deployments. It is recommended that you build your own web application by using Sample Application as a code-reference.

Sample Application can be used to verify if AuthMinder was installed and configured properly. In addition, it demonstrates:

- The typical AuthMinder workflows
- The tasks that you can perform using the AuthMinder Java APIs
- Integration of your application with AuthMinder

Sample Application is automatically installed as a part of Complete installation of AuthMinder.

Follow these steps:

- Deploy the webfort-7.1-sample-application.war file from the following location: <iinstall_location>\Arcot Systems\samples\java
- 2. If necessary, restart the application server.
- 3. Access the Sample Application using the following URL:

http://<host>:<app_server_port>/webfort-7.1-sample-application/

Configuring Sample Application for Communication with AuthMinder Server

If the Sample Application and AuthMinder Server are installed on different systems, configure the Sample Application to communicate with AuthMinder Server.

Follow these steps:

1. Access the Sample Application in a Web browser window. The default URL for the Sample Application is:

http://<host>:<app_server_port>/webfort-7.1-sample-application/

The WebFort 7.1 Sample Application page appears.

- 2. In the navigation pane, click **Setup > Server Connectivity** to open the WebFort Server Connectivity page.
- 3. Specify the values for the connection parameters that are listed in the following table:

Note: The configurations that are made using these parameters are valid for the current session. Set these values again if you restart the Sample Application or the application server.

Field	Default Value	Description
IP Address	localhost	The host name or the IP address where the AuthMinder Server is available.
Port	9742	The port on which the Authentication and the Issuance service is available.
Maximum Active Connections	64	The maximum number of database connections maintained by the Sample Application.

4. Click **Set Up** to save the connection.

To configure the Sample Application to communicate with another AuthMinder Server instance:

- 5. Click the [+] sign preceding Additional Server Configurations.
- 6. Specify the **IP Address** and **Port** connection parameters.
- 7. Click **Set Up** to configure the connection.

Using Sample Application

Sample Application enables you to issue and authenticate the credentials that AuthMinder supports. You can use the Sample Application to perform these operations to test whether the AuthMinder installation has been successful.

This section covers the following tasks:

- <u>Creating Users</u> (see page 90)
- <u>Setting Up ArcotID PKI Client</u> (see page 91)
- <u>Creating ArcotID PKI Credential</u> (see page 92)
- <u>Downloading ArcotID PKI</u> (see page 93)
- Authenticating Using ArcotID PKI (see page 94)

Creating Users

Note: User creation must be performed either using Administration Console or Web services.

To create users using Administration Console:

- 1. Log in to the Administration Console as a Global Administrator (GA) or an Organization Administrator (OA). The URL for the purpose is:
 - http://<host>:<app_server_port>/arcotadmin/adminlogin.htm
- If already not activated, activate the Manage Users and Administrators sub-tab under the Users and Administrators tab.
- 3. In the left pane, under **Manage Users and Administrators**, click **Create User** to open the Create User page.
- 4. On the Create User page:
 - a. Enter a unique user name, their organization name, and optionally, other user information in the **User Details** section
 - b. If necessary, specify other user information in the corresponding fields on the page.
 - c. Select the required User Status.
 - d. Click Create User.

The "Successfully created the user" message appears if the specified user was successfully added to the database.

5. Return to the AuthMinder Sample Application page.

Setting Up ArcotID PKI Client

To set up the ArcotID PKI Client to communicate with the AuthMinder Server for authenticating users with their ArcotID PKI, you need to perform the following steps:

- Access the Sample Application using the following URL:
 http://<host>:<app_server_port>/webfort-7.1-sample-application/
- 2. In the left pane, click **Setup** -> **ArcotID Client** to open ArcotID Client Settings page.
- 3. In the **Choose ArcotID Client** section, select the type of client that has to be used to authenticate the ArcotID PKI.
- 4. In the **Choose ArcotID Download Type** section, select the location where you want to store the ArcotID PKI.
- 5. In the **Choose Where & When to Obtain the ArcotID Challenge** section, select the mode of obtaining the ArcotID PKI challenge.
- 6. Click **Select** to save the settings.

The "The operation was successful" message appears if the ArcotID PKI Client configuration was performed successfully.

Creating ArcotID PKI Credential

To create ArcotID PKI credential for users:

- Access the Sample Application using the following URL:
 http://<host>:<app_server_port>/webfort-7.1-sample-application/
- 2. In the left pane, click **ArcotID** -> **Issuance** -> **Create** to open Create ArcotID page.
- 3. Specify the name of the user you created in the **User Name** field.
- 4. If necessary, specify the user's organization in the **Organization** field.
- 5. Specify the password to be used for authentication in the **ArcotID Password** field.
- If necessary, specify the profile to be used to issue ArcotID PKI in the **Profile Name** field.
- 7. If necessary, specify the name-vale pairs of the **Unsigned Attributes**. The attributes are set in the unsigned portion of the ArcotID PKI.
- 8. If necessary, specify the Custom Attributes to be used for creating the ArcotID PKI.
- 9. If necessary, specify the **Additional Input** that you want to pass to the AuthMinder Server.
- 10. If necessary, pass the following **Transaction Logging Parameters**:
 - In the Log Level field, choose the logging level.

Book: See appendix, "AuthMinder Logging" in *CA AuthMinder Administration Guide* for more information about the log levels.

- Select Enable Trace Logging if you want to capture flow details.
- Select Enable DB Logging if you want to log the database activities.
- Select **Enable Sensitive Data Logging** if you want to log the sensitive data.
- 11. Click Create to create the credential.

The "The operation was successful" message appears if the ArcotID PKI was created successfully for the user.

Downloading ArcotID PKI

To download the ArcotID PKI:

- Access the Sample Application using the following URL:
 http://<host>:<app_server_port>/webfort-7.1-sample-application/
- 2. In the left pane, click **ArcotID** -> **Issuance** -> **Download** to open the Download ArcotID page.
- 3. Specify the name of the user you created in the **User Name** field.
- 4. If necessary, specify the user's organization in the **Organization** field.
- 5. If necessary, specify the profile that has been used to issue ArcotID PKI in the **Profile Name** field.
- 6. If necessary, specify the **Additional Input** that you want to pass to the AuthMinder Server.
- 7. If necessary, pass the following **Transaction Logging Parameters**:
 - In the **Log Level** field, choose the logging level.

Book: See appendix, "AuthMinder Logging" in *CA AuthMinder Administration Guide* for more information about the log levels.

- Select Enable Trace Logging if you want to capture flow details.
- Select Enable DB Logging if you want to log the database activities.
- Select **Enable Sensitive Data Logging** if you want to log the sensitive data.
- 8. Click **Download** to download the user's ArcotID PKI.

Authenticating Using ArcotID PKI

To authenticate using the ArcotID PKI:

- Access the Sample Application using the following URL: http://<host>:<app_server_port>/webfort-7.1-sample-application/
- 2. In left pane, click **ArcotID** -> **Authentication** -> **Authenticate** to open the ArcotID Authentication page.
- 3. Specify the name of the user you created in the **User Name** field.
- 4. Specify the user's organization in the **Organization** field.
- 5. Specify the user's ArcotID PKI password in the ArcotID Password field.
- 6. If you are using aliases to identify the users, then specify the **Application Context** based on the alias of the user that you want to authenticate.
- 7. If necessary, select the **Token Type** to be returned to the user after successful authentication.
 - **Book:** See the "Authenticating Users" chapter in the *CA AuthMinder Developer's Guide* for more information about the token types.
- 8. If necessary, specify the **Authentication Policy Name** to be used for authenticating users.
- If you have selected SAML as the token type, then specify the SAML Policy Name to be used.
- If necessary, specify the Additional Input that you want to pass to the AuthMinder Server.
- 11. If necessary, pass the following **Transaction Logging Parameters**:
 - In the **Log Level** field, choose the logging level.

Book: See "AuthMinder Logging" in *CA AuthMinder Administration Guide* for more information about the log levels.

- Select **Enable Trace Logging** if you want to capture flow details.
- Select **Enable DB Logging** if you want to log the database activities.
- Select **Enable Sensitive Data Logging** if you want to log the sensitive data.
- 12. Click Authenticate to verify the user's ArcotID PKI.

Post-Installation Checklist

Use the following checklist to note down installation and setup information for AuthMinder. You will need this information for various administrative tasks that you perform later.

Your Information	Example Entry	Your Entry
ARCOT_HOME	C:\Program Files\Arcot Systems	
SYSTEM INFORMATION		
Host Name	my-bank	
User Name	administrator	
Password	password1234!	
Configured Components	AuthMinder Server Administration Console User Data Service	
ADMINISTRATION CONSOLE INFORM	1ATION	
Host Name	localhost	
Port	8080	
Master Administrator Password	mypassword1234!	
USER DATA SERVICE INFORMATION		
Host Name	localhost	
Port	8080	
Application Context Root	arcotuds	

Chapter 6: Configuring AuthMinder Java SDKs and Web Services

This chapter describes the steps to configure the Java Software Development Kit (SDK) and Web services provided by AuthMinder.

The chapter covers the following topics:

- AuthMinder APIs (see page 138)
- Configuring Java SDKs (see page 138)
- Working With AuthMinder Web Services (see page 140)
- Enabling SSL Communication (see page 142)

AuthMinder APIs

AuthMinder is shipped with a set of Java APIs that are available as JAR files at the following location:

<install_location>\Arcot Systems\sdk\client\java\lib\arcot\

In this location you will find the following JAR files:

arcot-webfort-authentication.jar

The JAR file that is required to implement the AuthMinder Authentication SDK. This file consists the Java package that contains the logic for user authentication. Operations that this package enables include:

- Authenticate users using credentials that AuthMinder supports
- Authenticate custom credentials

arcot-webfort-common.jar

This file consists the Java package that contains the classes that are common for Authentication and Credential Management (Issuance) operations. This package is used for the following operations:

- Pass additional information to the AuthMinder Server
- Specify the One-Time Password type
- Specify the User and Credential Status

arcot-webfort-issuance.jar

The JAR file that is required to implement the AuthMinder Credential Management SDK. This file consists the Java package that contains the logic for managing credentials. Operations that this package enables include:

- Create and manage credentials
- Manage ArcotID PKI key bag

Configuring Java SDKs

This section provides the procedure to configure the Authentication and Credential Management Java SDKs so that they can be integrated with your existing application.

- Configuring Authentication Java SDK (see page 139)
- <u>Configuring Credential Management Java SDK</u> (see page 140)

Note: Before proceeding with the configuration steps in this section, ensure that the JAR file required for implementing Java APIs is installed in the <install_location>\Arcot Systems\sdk\client\java\lib\ location.

Configuring Authentication Java SDK

To configure Authentication SDK for using in a J2EE Application:

 Copy the listed JAR files from the following location: <install location>\Arcot Systems

to the appropriate location in your APP_SERVER_HOME directory. For example, on Apache Tomcat this location is Application_Home /WEB-INF/lib directory

- sdk\client\java\lib\arcot\arcot-webfort-authentication.jar
- sdk\client\java\lib\arcot\arcot-webfort-common.jar
- sdk\client\java\lib\external\bcprov-jdk15-146.jar
- sdk\client\java\lib\external\commons-pool-1.5.5.jar
- 2. Copy the webfort.authentication.properties configuration file containing the server connection parameters from:

<install_location>\Arcot Systems\sdk\client\java\properties

to the appropriate location in your <APP_SERVER_HOME> directory. For example, on Apache Tomcat this location is

<Application Home>/WEB-INF/classes/properties.

Note: To know more about APIs and their initialization, refer to *CA AuthMinder Java Developer's Guide* and the AuthMinder Javadocs at <install_location>\Arcot Systems\docs\webfort\Arcot-WebFort-7.1-authentication-sdk-javadocs.zip.

Configuring Credential Management Java SDK

To configure Credential Management SDK for using in a J2EE Application:

 Copy the listed JAR files from the following location: <install location>\Arcot Systems

to the appropriate location in your APP_SERVER_HOME directory. For example, on Apache Tomcat this location is Application_Home /WEB-INF/lib directory

- sdk\client\java\lib\arcot\arcot-webfort-common.jar
- sdk\client\java\lib\arcot\arcot-webfort-issuance.jar
- sdk\client\java\lib\external\bcprov-jdk15-146.jar
- sdk\client\java\lib\external\commons-pool-1.5.5.jar
- 2. Copy the webfort.issuance.properties configuration file containing the server connection parameters from:

<install_location>\Arcot Systems\sdk\client\java\properties

to the appropriate location in your <aPP_SERVER_HOME> directory. For example, on Apache Tomcat this location is

<Application Home>/WEB-INF/classes/properties.

Note: To know more about Java APIs and their initialization, see *CA AuthMinder Java Developer's Guide* and the AuthMinder Javadocs at <install_location>\Arcot Systems\docs\webfort\Arcot-WebFort-7.1-issuance-sdk-javadocs.zip.

Working With AuthMinder Web Services

This section covers the following topics:

- Introduction to AuthMinder Web Services (see page 141)
- Generating Client Code (see page 142)

Introduction to AuthMinder Web Services

AuthMinder provides Web Services for managing users, organizations, and user credentials, and authenticating users and performing bulk operations.

The following table lists the WSDL documents that you can use to generate the Web Services client code to communicate with the AuthMinder Server. These WSDLs are available at the following location:

<install_location>\Arcot Systems\wsdls\

WSDL File	Description
uds/ArcotOrganizationManagementSvc.wsdl	Used to create and manage organizations in your setup.
uds/ArcotConfigManagementSvc.wsdl	Used to create and manage user account types.
uds/ArcotUserManagementSvc.wsdl	Used to create and manage users and user accounts.
webfort/ArcotWebFortAdminSvc.wsdl	Used to define AuthMinder configurations.
webfort/ArcotWebFortIssuanceSvc.wsdl	Used to manage user credentials.
webfort/ArcotWebFortAuthSvc.wsdl	Used to authenticate users.
webfort/ArcotWebFortBulkOperationsSvc.wsdl	Used to perform bulk operations such as, creating credentials, assigning and fetching OATH tokens that are available to the organizations.

Generating Client Code

Perform the following steps to generate the client code:

- 1. Stop the application server.
- 3. Use the WSDL file to generate the client code.
- 4. Restart the application server.
- 5. In a browser window, access the end point URLs to verify if the client can access the Web Service.

Book: See the *CA AuthMinder Web Services Developer's Guide* for more information about how to access the end-point URLs.

Enabling SSL Communication

AuthMinder supports Secure Socket Layer (SSL) between the AuthMinder Server and Java SDKs. The appendix, "Configuring SSL" in *CA AuthMinder Administration Guide* describes how to set the transport mode as SSL between the AuthMinder Server and its clients.

Chapter 7: Upgrading to Release 7.1

This chapter provides instructions to upgrade to release 7.1 from earlier AuthMinder releases. It discusses the following topics:

- <u>Upgrade Overview</u> (see page 143)
- Database Privileges Required for Upgrade (see page 143)
- Upgrading to Release 7.1
- (In Error Scenario Only) Reverting to Your Initial Setup (see page 167)
- <u>Troubleshooting Issues During Upgrade</u> (see page 163)

Notes on Backward Compatibility

- The older Java SDK client will continue to work with the new installation of AuthMinder Server. It will not require any client code modification.
- Older WSDLs will continue to work with the new installation of AuthMinder Server.
 They will not require any client code modification.

Upgrade Overview

You can upgrade to release 7.1 from any of the following releases:

- 5.3.x or 5.4.x
- 6.x or 7.0

Important! If you have a release of AuthMinder that is not listed here, then you must apply the required patches to upgrade to one of these releases, and then proceed with the upgrade. Refer to the corresponding Release Notes for the patch upgrade instructions.

If you are upgrading from release 5.3.x or 5.4.x, first upgrade to release 6.0 and then upgrade to release 7.1. However, if you are upgrading from release 6.x or 7.0, then directly upgrade to release 7.1.

Database Privileges Required for Upgrade

The following table lists the database privileges that you must have for performing the database procedures related to upgrading to release 7.1:

Database Type	Upgrade Privileges	Run time Privileges
Oracle	CREATE TABLE	CREATE TABLE

Database Type	Upgrade Privileges	Run time Privileges
	CREATE ANY INDEX	DML Privileges
	CREATE ANY SEQUENCE	
	CREATE TABLESPACE (for Reports)	
	CREATE PROCEDURE	
	UNLIMITED TABLESPACE (for Reports, optional)	
	DROP TABLESPACE	
	ALTER ANY TABLE	
	ALTER TABLESPACE	
	DML Privileges (including CREATE SESSION privilege)	
MS SQL Server	CREATE TABLE	CREATE TABLE
Note: The UserID must	CREATE INDEX	DML Privileges
also have the Database role of ddladmin.	CREATE PROCEDURE	
	EXECUTE PROCEDURE	
	REFERENCES	
	ALTER TABLE	
	DML Privileges	
IBM DB2	CREATE TABLE	CREATE TABLE
	CREATE INDEX	DML Privileges
	CREATE SEQUENCE	
	CREATE TABLESPACE	
	CREATE TABLESPACE with AUTORESIZE = yes (for Reports, optional)	
	CREATE PROCEDURE (SQL - native)	
	ALTER TABLE	
	DML Privileges	

To upgrade AuthMinder on Windows successfully, the account that you plan to use for upgrade must belong to the Administrators group.

Upgrading to Release 7.1

Upgrading from 5.3.x or 5.4.x to 7.1 is a two-stage procedure. You first upgrade to 6.0 and then upgrade from 6.0 to 7.1. In contrast, if you are upgrading from 6.x or 7.0, you directly upgrade to 7.1.

Perform the following steps to upgrade to 7.1:

- 1. Performing Pre-Upgrade Tasks (see page 146)
- 2. If you are migrating from 5.3.x or 5.4.x, perform the steps described in the following sections:
 - Migrating the Database to Release 6.0 for Arcot Common Components (see page 148)
 - Migrating the Database to Release 6.0 for AuthMinder Components (see page 150)
 - Performing Post-Migration Tasks for Release 6.0 (see page 151)
- 3. Preparing for the Upgrade to Release 7.1 (see page 153)
- 4. <u>Migrating the Database to Release 7.1 for Arcot Common Components</u> (see page 154)
- 5. Migrating the Database to Release 7.1 for AuthMinder Components (see page 157)
- 6. Uninstalling the Existing Release of AuthMinder (shared) (see page 158)
- 7. Depending on whether the existing AuthMinder deployment is on a single system or distributed system, perform the steps described in one of the following sections:
 - Reinstalling AuthMinder on a Single System (IG) (see page 159)
 - Reinstalling AuthMinder on a Distributed System (IG) (see page 160)
- 8. During upgrade, if there are any warnings during AuthMinder Server startup and if your transactions fail, then perform the steps listed in (In Error Scenario Only)

 Reverting to Your Initial Setup (see page 167).
- 9. Performing Post-Upgrade Tasks (shared) (see page 161)

Performing Pre-Upgrade Tasks

Important! For an AuthMinder deployment on a distributed system, perform the upgrade procedure on the system where the AuthMinder Server is installed.

Perform the following pre-upgrade tasks before you begin the upgrade procedure:

- Shut down the following servers:
 - AuthMinder Server
 - Application server where Administration Console and User Data Service are deployed.
- Ensure that you have JDK 1.5 or later installed on the system where you plan to upgrade.
- Ensure that the database on which you are performing the upgrade is available throughout the upgrade process.
- Ensure that the database on which you are performing the upgrade is not set up for replication. Disable database replication before the upgrade.
- Copy the contents of your existing ARCOT HOME directory to a new directory.

Here, ARCOT_HOME refers to the base directory that contains the entire directory structure that is created by the existing AuthMinder installation. Typically, for releases prior to release 6.x, ARCOT_HOME refers to <install_location>\Common Files\Arcot Shared\. From release 6.x onward, ARCOT_HOME refers to <install location>/Arcot Systems.

Copy the entire contents of %ARCOT_HOME% to a new directory. This directory is referred to as ARCOT_HOME_BACKUP in this document.

You will need this directory to revert to the initial setup if there are any errors during upgrade.

- Open the %ARCOT_HOME%\conf\arcotcommon.ini file in a text editor, and then perform the following steps:
 - a. Ensure that the primary database details are correct. The upgrade tool uses the database that is configured in this file for the upgrade.
 - b. If you have configured a backup database, then disable the backup database by commenting out the lines containing the following properties in the arcot/db/backupdb section of the arcotcommon.ini file:
 - URL.1
 - AppServerConnectionPoolName.1
 - Username.1
 - c. Include the following section in the arcotcommon.ini file:

[arcot/crypto/device]

HSMDevice=S/W

- d. Save and close the arcotcommon.ini file.
- On IBM DB2, if you are upgrading from release 6.x, then set the SYSTEM TEMPORARY tablespace page size to a minimum of 16K. See database vendor documentation for more information.
- Back up the database containing the AuthMinder schema.
- Consult your DBA to configure your database according to the database volume requirements for AuthMinder.
- Ensure that you have sufficient database privileges to upgrade AuthMinder. For the complete list of privileges, see Database Privileges Required for Upgrade (see page 143).
- If you have stored your user details in an LDAP repository in the previous release, ensure that the LDAP server is available throughout the upgrade process.
- Ensure that the %ARCOT_HOME% environment variable is set to the directory where AuthMinder is installed.
- If you have registered plug-ins with this AuthMinder installation, then save the AuthMinder startup log. This file is available in the %ARCOT_HOME%\logs directory, and it contains details of the plug-ins. After the upgrade, recompile the plug-ins.

Migrating the Database to Release 6.0 for Arcot Common Components

Note: Perform the procedure that is described in this section only if you are upgrading from 5.3.x or 5.4.x. If you are upgrading from 6.x or 7.0, do not migrate the database to 6.0 for Arcot common components.

Important! If you have installed CA RiskMinder along with CA AuthMinder and have completed the RiskMinder upgrade, then do *not* migrate the database for Arcot common components because this step has already been performed during the RiskMinder upgrade.

Migrate the database for the Arcot common components that are used in release 6.0.

Follow these steps:

1. Copy the upgrade directory to a temporary location on the system where you plan to upgrade.

This folder contains the following zip files that are applicable for this migration path:

- arcot-common-upgrade-0.x-1.0.zip
- arcot-webfort-upgrade-5.x-6.0.zip
- 2. Copy the arcot-common-upgrade-0.x-1.0.zip file to the %ARCOT_HOME% directory:
- 3. Extract the contents of the arcot-common-upgrade-0.x-1.0.zip file in this directory.
- 4. Navigate to the following directory: %ARCOT_HOME%\dbscripts\<db_type>\
- 5. Run the arcot-db-config-for-common-1.0.sql script.

Note: For Microsoft SQL server, if you run the database script from the command line using SQLCMD, then specify the -I option to set the QUOTED_IDENTIFER connection option to ON.

- 6. Navigate to the following directory: %ARCOT_HOME%\dbscripts\<db_type>\upgrade-scripts\
- 7. Run the arcot-upgrade-for-common-1.0.sql script.

Note: For Microsoft SQL server, if you run the database script from the command line using SQLCMD, then specify the -I option to set the QUOTED_IDENTIFER connection option to ON and specify the -x option to disable variable substitution.

- 8. Copy the JDBC JAR that is compatible with the database that you are using to the following directory: %ARCOT_HOME%\java\lib
- 9. Copy the ArcotAccessKeyProvider.dll file to <JAVA_HOME used by App Server>\jre\bin. In AuthMinder release 6.x, the libArcotAccessKeyProvider.so file is available in java\ext\<platform name>\<32bit or 64 bit>.
- 10. Copy the %ARCOT_HOME%\java\lib\arcot-crypto-util.jar file

to
<JAVA_HOME used by App Server>\jre\lib\ext

- 11. In the PATH variable, include the directory where ArcotAccessKeyProvider.dll is copied.
- 12. Open the command prompt window.
- 13. Change your working directory to: %ARCOT_HOME%\tools\upgrade
- 14. Run the upgrade tool by entering the following command at the prompt: upgrade-common.bat
- 15. Check the log file %ARCOT_HOME%\logs\upgrade-common.log to ensure that the common database migration was successful.

Important! While migrating the database, if you encounter errors due to a step that you have performed incorrectly, restore the database backup that you created earlier. After you verify that the database is correctly restored, retry the database migration procedure.

Migrating the Database to Release 6.0 for AuthMinder Components

Important! Perform the procedure that is described in this section only if you are upgrading from 5.3.x or 5.4.x. If you are upgrading from 6.x or 7.0, do not migrate the database to 6.0 for AuthMinder.

After you migrate the database for Arcot common components, migrate the database to the release 6.0 state for AuthMinder components.

Follow these steps:

- 1. Copy the arcot-webfort-upgrade-5.x-6.0.zip file to the %ARCOT_HOME% directory.
- 2. Unzip the arcot-webfort-upgrade-5.x-6.0.zip file in this directory.
- 3. Navigate to the following directory: %ARCOT_HOME%\arcot-webfort-upgrade-5.x-6.0\dbscripts\<db_type>
- 4. Run the scripts in the *following* order:
 - a. arcot-db-config-for-webfort-6.0.sql
 - b. upgrade-for-webfort.sql
- 5. Open the command prompt window.
- 6. Change your working directory to:
 %ARCOT_HOME%\arcot-webfort-upgrade-5.x-6.0\tools\<platform_name
 >
- 7. At the prompt, run the following command: wfupgrade.exe -migrate

The preceding command loads the OpenSSL CA and the domain key that is used for the ArcotID PKI, and migrates the configuration data from older database tables to the release 6.0 tables.

The wfupgrade tool generates the webfort-6.0-upgrade.log file in the %ARCOT_HOME%\logs\ directory.

Important! Ensure that you run the wfupgrade upgrade tool from the system where the AuthMinder Server is installed.

8. Open the webfort-6.0-upgrade.log file in a text editor and ensure that it does not contain any FATAL and WARNING messages.

Important! While migrating the database, if you encounter errors due to a step that you have performed incorrectly, restore the database backup that you created earlier. After you verify that the database is correctly restored, retry the database migration procedure.

Performing Post-Migration Tasks for Release 6.0

After you migrate the database for AuthMinder, remove the earlier AuthMinder schema from the database and then perform some other post-migration steps.

Follow these steps:

- Navigate to the following location: %ARCOT_HOME%\dbscripts\<db_type>\upgrade-scripts
- 2. Run the arcot-post-upgrade-for-common-1.0.sql script file.

Important! If you have installed both CA AuthMinder and CA RiskMinder, then run this script only after you have upgraded both CA AuthMinder and CA RiskMinder.

This script ensures that the following changes are implemented:

- The user ID for Master Administrator is changed from MASTER_ADMIN to MASTERADMIN.
- The password for the MASTERADMIN account is master1234!.
- The organization that MASTERADMIN belongs to is MASTERADMIN. This feature is useful when you filter reports.
- The Administrators group is configured with WebFort User Password authentication. Administrators belonging to this group must continue to use the same user name and password.
- Group2 is the initial Default Organization.
- Navigate to the following location:
 %ARCOT_HOME%\dbscripts\<db_type>
- 4. Run the following AuthMinder-specific script available in this directory to drop the AuthMinder schema:
 - For AuthMinder 5.3.x: ArcotWebFort.drop.sql
 - For AuthMinder 5.4.x: drop-webfort-<*version_number*>.sql
- 5. For the 5.3.x or 5.4.x Java SDKs to work with AuthMinder 6.0:
 - a. Remove the existing AuthMinder Java SDK files.
 - b. Update your applications with the following new files:
 - %ARCOT_HOME%\arcot-webfort-upgrade-5.x-6.0\sdk\java\lib\arcot\arcot _core.jar
 - %ARCOT_HOME%\arcot-webfort-upgrade-5.x-6.0\sdk\java\lib\arcot\arwf_issuance_sdk.jar
 - %ARCOT_HOME%\arcot-webfort-upgrade-5.x-6.0\sdk\java\lib\arcot\arwf_auth_sdk.jar
 - All files available in %ARCOT_HOME%\arcot-webfort-upgrade-5.x-6.0\sdk\java\lib\external\

- c. Migrate the existing configuration that is saved in the authentication.properties file to the following file:
 - %ARCOT_HOME%\arcot-webfort-upgrade-5.x-6.0\sdk\java\properties\webfort-5.4.1.authentication.properties
- d. Migrate the existing configuration that is saved in the issuance.properties file to the following file:
 - %ARCOT_HOME%\arcot-webfort-upgrade-5.x-6.0\sdk\java\properties\webfort-5.4.1.issuance.properties
- 6. Perform the following steps to verify that the migration of the database to the release 6.0 state was successful:

 - b. Open the arcotwebfortstartup.log file in any editor, and locate the following lines in the file:
 - INSTANCE_VER...... [6.0]
 Arcot WebFort Authentication Service READY
 - c. Ensure that the log file does not contain any FATAL or WARNING messages.

Preparing for the Upgrade to Release 7.1

This section describes the tasks to be performed before starting the upgrade to release 7.1.

Follow these steps:

 AuthMinder 7.1 is developed using Microsoft Visual C++ 2010. Therefore to install AuthMinder 7.1, download the Microsoft Visual C++ 2010 SP1 Redistributable Package available at the following location:

http://www.microsoft.com/en-us/download/details.aspx?id=8328

- 2. If application server connection pooling was being used in your existing AuthMinder deployment, then update the database details in the securestore.enc file as follows:
 - a. Open the Command Prompt window.
 - b. Change the working directory to the following location where DBUtil is available:

%ARCOT_HOME%\bin

- c. Run the following command for the primary database: DBUtil -pi <DB_username> <DB_password>
- 3. If SSL has been configured for the connection with the database, then update the database details in the securestore.enc file as follows:
 - a. Open the Command Prompt Window.
 - Change the working directory to the following location where DBUtil is available:

%ARCOT_HOME%\bin

c. If the database is enabled for SSL communication, then set the truststore password as follows:

DBUtil -pi TrustStorePath.1 <truststore-password>

Migrating the Database to Release 7.1 for Arcot Common Components

Important! If you have installed CA RiskMinder with AuthMinder and you have completed the RiskMinder upgrade, then do *not* migrate the database for Arcot common components because this step has already been performed during the RiskMinder upgrade.

Migrate the database for the Arcot common components that are used in release 7.1.

Follow these steps:

1. Copy the upgrade directory to a temporary location on the system where you plan to upgrade.

This folder contains the following zip files that are applicable for this migration path:

- arcot-common-upgrade-1.0.x-2.0.zip
- arcot-webfort-upgrade-6.x-or-7.0-7.1.zip
- 2. Copy the arcot-common-upgrade-1.0.x-2.0.zip file to the following directory: %ARCOT_HOME%
- 3. Extract the contents of the arcot-common-upgrade-1.0.x-2.0.zip file in this directory.
- 4. Navigate to the %ARCOT_HOME%\tools\common\upgrade\ directory.
- 5. Extract the contents of the arcot-common-db-upgrade.zip file in this directory.
- 6. Copy the database jar file corresponding to your database to the %ARCOT_HOME%\tools\common\upgrade\lib directory with the same name, as follows:

■ Oracle Database: ojdbc.jar

■ Microsoft SQL Server: sqljdbc.jar

■ IBM DB2 UDB: db2jcc.jar

Note: For Oracle Database and IBM DB2 UDB, use the JDBC JAR version that is applicable to your database. For Microsoft SQL Server, use sqljdbc4.0 (Microsoft JDBC Driver 4.0 for SQL Server).

- Locate the JAVA_HOME used by the existing installation. When you run the upgrade tool, ensure that you use the same JAVA_HOME or the JAVA_HOME from a later, supported version.
- 8. Ensure that the ArcotAccessKeyProvider.dll shared library is configured with your application server. You have copied this file to the *<JAVA_HOME used by App Server>*\jre\bin folder.
- In the PATH variable, include the directory where ArcotAccessKeyProvider.dll is copied. For information about the directory into which you have copied this file, see <u>Migrating the Database to Release 6.0 for Arcot Common Components</u> (see page 148).

- 10. Open the command prompt window.
- 11. Change your working directory to:
 %ARCOT_HOME%\tools\common\upgrade\
- 12. Run the arcot-common-upgrade-framework.jar file by using the following command:

```
java [JVM_Options] -jar arcot-common-upgrade-framework.jar
[--log-file <log-file-name>] [--log-level
<log-level>][--commit-batch-size <batch_size>] [--product-name
common][--prompt][--mst]
```

The following table describes the options that are supported by this JAR:

Option	Description	
JVM-Options	The following JVM options are required only if LDAP organizations are configured:	
	■ -Xmx1024m: Sets the maximum heap size to 1GB. If more than 1,00,000 users exist in the configured LDAP, then it is highly recommended that you increase the heap size to 2048m (2 GB).	
	■ -Dcom.arcot.ldap.migration.timeout= <duration>: The time duration, in minutes, after which the migration of the LDAP organization is marked as failed. The LDAP migration timeout for 1,00,000 users is approximately 240 minutes or 4 hours. However, this would depend on the type of hardware configuration being used. The default value of this parameter is 240 minutes.</duration>	
log-file	Specifies the path to the log file:	
	■ If you do not provide any value, the arcot_common_upgrade.log file is created in the %ARCOT_HOME%\logs\ directory.	
	If you provide an absolute path, the log file is created at the given location.	
	If you provide a file name, the log file is created in %ARCOT_HOME% with the given file name.	
-log-level	Specifies the log level. If you do not provide any value, the upgrade log level is set to INFO.	
commit-batch- size	Specifies the number of transactions to be issued to the database before a COMMIT statement is issued.	
product-name	Specifies the name of the product that has to be migrated. The default value is common.	

Option	Description
prompt	Prompts whether to proceed further after each phase is completed successfully. You can choose to run the tool later to continue from where it stopped. If this option is not specified, the upgrade tool runs without any prompting until the upgrade process is completed.
mst	Refers to the Monitoring Sleep Time. If you specify this option, the upgrade tool prints diagnostic messages describing the progress made during upgrade after sleeping for the specified duration (in minutes.) The default value is two minutes.

 Check the log file (default file is %ARCOT_HOME%\logs\arcot_common_upgrade.log) to ensure that the common database upgrade is successful.

The upgrade tool also prints verification information.

Important! While migrating the database, if you encounter errors due to a step that you have performed incorrectly, restore the database backup that you created earlier. After you verify that the database is correctly restored, retry the database migration procedure.

Migrating the Database to Release 7.1 for AuthMinder Components

After you upgrade the database for Arcot common components, upgrade the database to the release 7.1 state for AuthMinder components.

Follow these steps:

- 1. Unzip the arcot-webfort-upgrade-6.x-or-7.0-7.1.zip in %ARCOT_HOME% directory.
- 2. Open the command prompt window.
- 3. Change your working directory to the following directory: %ARCOT_HOME%\arcot-webfort-upgrade-6.x-or-7.0-7.1\tools\win32
- 4. At the prompt, run the following command: wfupgrade.exe -migrate

This command migrates the 6.x or 7.0 configuration data from older database tables to the 7.1 tables.

The wfupgrade tool generates the webfort-7.1-upgrade.log file in the %ARCOT_HOME%\logs directory.

Important! Ensure that you run the wfupgrade upgrade tool from the system where the AuthMinder Server is installed.

5. Open the log file in a text editor and ensure that it does not contain any FATAL and WARNING messages.

Important! While migrating the database, if you encounter errors due to a step that you have performed incorrectly, restore the database backup that you created earlier. After you verify that the database is correctly restored, retry the database migration procedure.

Uninstalling the Existing Release of AuthMinder

Uninstall the existing release of AuthMinder, and undeploy the Administration Console and UDS that are installed on the application server.

Note: If the instructions given in this section do not match the uninstallation options available in your existing AuthMinder installation, follow the uninstallation instructions that are given in the installation guide for your existing release of AuthMinder.

Follow these steps:

- 1. Uninstall the existing release of AuthMinder as follows:
 - a. Stop the AuthMinder Server.
 - b. Delete the DSN entry by using the following steps:
 - a. Go to Control Panel, and then open Administrative Tools.
 - b. Open Data Sources (ODBC).
 - c. Click the Select System DSN tab.
 - d. Select the required DSN, and click Remove.
 - c. Ensure that the INI files are not open in any editor.
 - d. Navigate to %ARCOT_HOME%\Uninstall_Arcot WebFort directory.
 - e. Double-click the Uninstall Arcot WebFort.exe file.
 - The Uninstall Options screen appears.
 - f. In the wizard window, select Complete Uninstall to uninstall all the components of AuthMinder.
 - The Uninstallation Complete screen appears at the end of successful uninstallation.
 - g. Click Done to exit the installation wizard.
- 2. Delete the <install_location>\Arcot Systems folder.
- 3. Uninstall the following web applications from the application server:
 - arcotadmin Administration Console
 - arcotuds User Data Service
 - webfort-7.1-sample-application Sample Application

Note: For a distributed-system deployment of AuthMinder, locate these files on the system where you have deployed the particular application.

- 4. Undeploy the Administration Console and the User Data Service WAR files from the application server. Then, clear the cache of the application server.
- 5. Ensure that the Zero G Registry folder is deleted. During the installation, this hidden folder was created in the parent folder of the %ARCOT_HOME% folder.

Reinstalling AuthMinder

Depending on whether you had earlier deployed AuthMinder on a single system or distributed system, perform the tasks described in one of the following sections:

- <u>Deploying AuthMinder on a Single System</u> (see page 61)
- Deploying AuthMinder on Distributed Systems (see page 97)

Reinstalling AuthMinder on a Single System

To reinstall AuthMinder on a single system, perform the tasks described in the following sections:

Important! The information given in these sections apply to both a fresh installation of AuthMinder and an upgrade of an existing AuthMinder installation. Some of the steps mentioned in these sections do not apply in an upgrade scenario. For example, MySQL-related steps do not apply because MySQL is supported only from release 7.1 onward.

Important! Use the database that you had migrated earlier during the upgrade operation. In addition, install AuthMinder at the same location where the older release was installed. If you install in a different location, the AuthMinder Server will *not* start.

- 1. Performing Complete Installation (see page 63)
- 2. Verifying the Database Setup (see page 71)
- 3. Preparing Your Application Server (see page 71)
- 4. <u>Deploying Administration Console</u> (see page 79)
- 5. <u>Verifying the Administration Console</u> (see page 81)
- 6. Starting AuthMinder Server (see page 84)
- 7. <u>Verifying the Installation</u> (see page 85)

Note: If there are any warnings during Server startup or while verifying the installation, and if your transactions fail, then the upgrade has not been performed successfully. Use the information given in <u>Troubleshooting Issues During Upgrade</u> (see page 163) to fix any issues that you may encounter. If that does not help, revert to your initial setup by following the steps that are listed in <u>Reverting to Your Initial Setup</u> (see page 167).

- 8. <u>Deploying User Data Service</u> (see page 87)
- 9. Deploying Sample Application (see page 89)
- 10. <u>Using Sample Application</u> (see page 89)
- 11. Post-Installation Checklist (see page 95)

Reinstalling AuthMinder on a Distributed System

Perform the tasks described in the following sections to reinstall AuthMinder on a distributed system:

Important! The information given in these sections apply to both a fresh installation of AuthMinder and an upgrade of an existing AuthMinder installation. Some of the steps mentioned in these sections do not apply in an upgrade scenario. For example, MySQL-related steps do not apply because MySQL is supported only from release 7.1 onward.

Important! Use the database that you had migrated earlier during the upgrade operation. In addition, install AuthMinder at the same location where the older release was installed. If you install in a different location, the AuthMinder Server will *not* start.

- 1. <u>Installing on the First System</u> (see page 99)
- 2. Verifying the Database Setup (see page 109)
- 3. Preparing Your Application Server (see page 110)
- 4. <u>Deploying Administration Console</u> (see page 117)
- 5. <u>Verifying the Administration Console</u> (see page 119)
- 6. Logging In to Administration Console (see page 119)
- 7. Starting AuthMinder Server (see page 123)
- 8. Verifying the Installation (see page 123)
- 9. <u>Deploying User Data Service</u> (see page 125)
- 10. Installing on the Second System (see page 127)
- 11. Deploying Sample Application (see page 128)
- 12. <u>Configuring Sample Application for Communication with AuthMinder Server</u> (see page 129)
- 13. Using Sample Application (see page 130)
- 14. Post-Installation Checklist (see page 135)

Performing Post-Upgrade Tasks

Perform the following post-upgrade task:

- If you had disabled the backup database, then enable it by editing the arcot/db/backupdb section of the %ARCOT_HOME%\conf\arcotcommon.ini file, and synchronize the backup database with the primary database. If you disabled database replication before the upgrade, then enable replication for the backup database after the upgrade.
- If you had registered plug-ins with this AuthMinder installation before the upgrade, then recompile the plug-ins. Ensure that the names of the recompiled files are the same as before. Use the AuthMinder startup log that you had saved before the upgrade to determine details of the plug-ins.
- If you require multibyte character or internationalization support in AuthMinder and if your database does not currently support multibyte data, then migrate the database to a character set that supports multibyte data. For more information, see "Configuring Database Server" in the CA AuthMinder Installation and Deployment Guide for Microsoft Windows.

If your upgrade path is from 5.3.x or 5.4.x, then perform the following configurations using Administration Console.

- Create new authentication policies at the global-level for all the previous authentication configurations.
 - See the Configuring Policies and Profiles section in chapter, "Managing Global AuthMinder Configurations" of *CA AuthMinder Administration Guide* for more information.
- Create new RADIUS configuration.
 - See "Configuring AuthMinder for RADIUS" in the *CA AuthMinder Administration Guide* for more information.
- Set up the following AuthMinder Server instance configurations:
 - Database connectivity settings
 - Log file settings

See "Setting Up Server Instances" in the *CA AuthMinder Administration Guide* for more information.

- Set up thread configurations per protocol.
 - See "Configuring Communication Protocols" in the *CA AuthMinder Administration Guide* for more information.
- Create ASSP configurations.
 - See the Configuring ASSP section in chapter, "Managing Global AuthMinder Configurations" of *CA AuthMinder Administration Guide* for more information.
- (Optional) Configure ArcotID PKI grace period. To do so, set the Allow Successful Authentication field in the ArcotID PKI policy.

- See "Configuring Policies and Profiles" in the *CA AuthMinder Administration Guide* for more information.
- (Optional) Enable caller verification for QnA credential. To do so, set the Enable Caller Verification field in the QnA policy.
 - See "Configuring Policies and Profiles" in the *CA AuthMinder Administration Guide* for more information.

Troubleshooting Issues During Upgrade

This section describes troubleshooting steps that you can apply to resolve errors that you might face while upgrading AuthMinder.

Problem:

The upgrade tool fails with the following error:

Error Occured: IO exception while parsing,

%ARCOT_HOME%\tools\common\upgrade\xml\arcot-common-upgrade-meta-da

ta.xml

Cause:

The upgrade tool was not able to find the arcot-common-upgrade-meta-data.xml file.

Solution:

Check if the arcot-common-upgrade-meta-data.xml file exists in

%ARCOT_HOME%\tools\common\upgrade\xml. This error can commonly occur when the arcot-common-db-upgrade.zip file is not extracted using the **Extract To Here** option.

Problem:

The upgrade tool fails with the following error:

Internal Error: Could not initialize upgrade tool. Error:: Cannot load JDBC driver class 'oracle.jdbc.driver.OracleDriver' Error Occured: Upgrade Initialization Error:oracle.jdbc.driver.OracleDriver

Cause:

The upgrade tool could not find the JDBC library to connect to the database.

Solution:

Check whether the JDBC library is copied to the %ARCOT_HOME%\tools\common\upgrade\lib directory.

If the JDBC library is already copied, then check whether the name of the JDBC jar file is correctly specified, as described in procedure to migrate the database to release 6.0 for Arcot common components. Also, check if the JDBC jar file corresponds to the database configured in the arcotcommon.ini file against the DbType parameter.

Problem:

The upgrade tool fails with the following error:

FATAL: ARCOT_HOME Environment Variable Not Set

Cause:

%ARCOT_HOME% is not set.

Solution:

Set the %ARCOT_HOME% environment variable and run the upgrade tool.

Problem:

The upgrade tool fails with the following error:

Error Occured: Upgrade Initialization Error:Could not create

DBService instance"

Solution:

Check if the user name and password are configured correctly in the arcotcommon.ini

and securestore.enc files, respectively.

Problem:

The upgrade tool fails with the following error:

Error Occured: Upgrade Initialization Error: Io exception: The Network

Adapter could not establish the connection"

Solution:

Check if the JDBC URL is correct and points to the correct database. Ensure that the

database is up and running.

Problem:

The upgrade tool fails with the following error:

javax.crypto.BadPaddingException: Given final block not properly

padded

Cause:

The key label used to encrypt the data is not the same as the key used for decryption.

Solution:

Ensure that the master key label used to encrypt data in the database is the same as the key label used by the upgrade tool to decrypt data. The master key label is stored in the securestore.enc file in the %ARCOT_HOME%\conf folder.

Problem:

The upgrade tool fails with the following or similar error:

"java.sql.SQLException: ORA-20010: -1031-ORA-01031: insufficient privileges"

Cause:

The database user configured in the arcotcommon.ini file does not have sufficient database privileges to carry out the database upgrade.

Solution:

Ensure that the administrator performing the upgrade has the required database privileges. Installation time privileges are applicable to upgrade also.

Problem:

The upgrade tool fails with the following or similar error:

"ORA-01536: space quota exceeded for tablespace"

Cause:

The database user configured in the arcotcommon.ini file has exhausted the space quota in the tablespace.

Solution:

The DBA must increase the quota for the user. You must restart the upgrade tool after you reimport the pre-upgrade data.

Problem:

After the upgrade process, the Administration Console fails to start and returns the following error:

ERROR : taglib.tiles.InsertTag : ServletException in
'/WEB-INF/jsp/dynamic/navbar_GA.jsp': File

"/WEB-INF/jsp/dynamic/navbar_GA.jsp"

Cause:

The Work folder of the application server where the Administration Console is deployed still contains the cache of the earlier Administration Console version.

Solution:

Clear the Work folder of the application server where the Administration Console is $\frac{1}{2} \left(\frac{1}{2} \right) = \frac{1}{2} \left(\frac{1}{2} \right) \left(\frac{1}{2}$

deployed and restart the application server.

Problem:

After the upgrade process, an administrator belonging to the LDAP repository can no

longer log in to the Administration Console.

Cause:

The administrator might be disabled in LDAP.

Solution:

Ensure that the administrator is not disabled in LDAP. Disabled administrators are not

allowed to log in to the Administration Console.

Problem:

After the upgrade process, for the IBM DB2 database the AuthMinder Server-specific

reports fail to generate with the following error:

DB2 SQL Error: SQLCODE=-1585, SQLSTATE=54048, SQLERRMC=null

Cause:

The SYSTEM TEMPORARY tablespace page size might be set to the default value of 4K,

which is not sufficient for report queries that involve columns exceeding 4K size.

Solution:

Set the SYSTEM TEMPORARY tablespace page size to a minimum of 16K. Refer to

database vendor documentation for more information.

(In Error Scenario Only) Reverting to Your Initial Setup

During upgrade, if there are any warnings during AuthMinder Server startup and if your transactions fail, then it is recommended that you revert your setup to the initial stage.

To revert to the initial setup:

- Uninstall AuthMinder 7.1.
 See, "Uninstalling AuthMinder" (see page 169) for more information.
- 2. Install the release to which you want to revert. For example, 5.3.x, 5.4.x, or 6.x. See the installation instructions in this guide.
- 3. Navigate to the location where ARCOT_HOME_BACKUP directory is available.
- 4. Copy the contents of ARCOT_HOME_BACKUP to your current %ARCOT_HOME%.
- 5. Deploy the Web components, such as Administration Console.
- 6. Start AuthMinder Server and the application server.
- 7. Test the installation.

Chapter 8: Uninstalling AuthMinder

This chapter guides you through the steps for uninstalling AuthMinder and related components. The chapter covers the following sections:

- Uninstalling AuthMinder Schema (see page 169)
- <u>Uninstalling AuthMinder</u> (see page 170)
- Post-Uninstallation Steps (see page 171)
- Verifying the Uninstallation (see page 171)

Uninstalling AuthMinder Schema

To uninstall AuthMinder schema from the database:

- Based on the database type you are using, navigate to the folder:
 (for MS SQL) <install_location>\Arcot Systems\dbscripts\mssql
 (for Oracle) <install_location>\Arcot Systems\dbscripts\oracle
 (for DB2) <install_location>\Arcot Systems\dbscripts\db2
 (for MySQL) <install_location>\Arcot Systems\dbscripts\mysql
- 2. Run the scripts in the following order:
 - a. drop-webfort-7.1.sql
 - drop-arcot-common-2.0.sql
 This deletes all the database tables.

Uninstalling AuthMinder

Perform the following tasks to uninstall AuthMinder:

- 1. Stop AuthMinder Server.
- 2. Delete the DSN entry by using the following steps:
 - a. Go to Control Panel, and then open Administrative Tools.
 - b. Open Data Sources (ODBC).
 - c. Click the Select System DSN tab.
 - d. Select the required DSN, and click **Remove**.
- 3. Ensure that INI files are not open in any editor.
- 4. Navigate to <%SystemDrive%>\Program Files\Arcot Systems\Uninstall_Arcot WebFort directory.
- 5. Double-click the Uninstall Arcot WebFort.exe file.
 - The Uninstall Options screen appears.
- 6. In the wizard window, select one of the following options:
 - Select Complete Uninstall to uninstall all the components of AuthMinder and go to Step 8.
 - Select **Uninstall Specific Features** to uninstall the selected components, and click **Next** to display the Choose Product Features screen.

The Choose Product Features screen displays the AuthMinder components that are installed on the system.

7. **(For Uninstalling Specific Features Only)** Deselect the components you wish to uninstall and click **Uninstall** to display the Uninstall Arcot WebFort window.

Important! To **Uninstall Specific Features**, you must follow the reverse sequence in which you performed the installation. For example, if you have installed Arcot WebFort Authentication Server followed by Arcot Administration Console, then you have to first uninstall Arcot Administration Console and then Arcot WebFort Authentication Server.

8. The Uninstallation Complete screen appears at the end of successful uninstallation.**Done** to exit the installation wizard.

Post-Uninstallation Steps

The following are the post-uninstallation steps:

- Delete the <install_location>\Arcot Systems folder.
- 2. Uninstall the following Web applications from the application server:
 - arcotadmin Administration Console
 - arcotuds User Data Service
 - webfort-7.1-sample-application Sample Application
- 3. Ensure that the Zero G Registry folder is deleted. This hidden folder is copied during the installation into the %ARCOT_HOME% folder.

Note: If you have performed distributed-system deployment, then locate these files on the system where you have deployed the particular application.

Verifying the Uninstallation

Perform the following steps to verify uninstallation of AuthMinder:

- 1. Go to Control Panel, and then open Add or Remove Programs.
- 2. Look for Arcot WebFort in Currently installed programs list.

If the entry is not mentioned in the list, then the uninstallation is successful.

Appendix A: AuthMinder File System Structure

This appendix provides information about the location of all the files that are installed by the AuthMinder installer.

Important! Do not delete any of the files that are installed by AuthMinder.

- AuthMinder Server Files (see page 173)
- Administration Console Files (see page 175)
- <u>User Data Service Files</u> (see page 177)
- Authentication Java SDK Files (see page 179)
- <u>Issuance Java SDK Files</u> (see page 180)
- Web Services Files (see page 180)
- Plug-In SDK (see page 181)

AuthMinder Server Files

The following table lists the folder location of the files that are used by AuthMinder Server:

Folder	File Description
<install_location>\</install_location>	Contains the arcotkey and wfkey files. These file are used by the installer to detect previously installed CA products. If you delete these file, then the installer will not be able to detect previously installed CA products, and will allow new installations to be performed in any location. As a result, the installer will not be able to ensure the same destination folder for multiple CA products and components, in which case, the products (or components) might not work, as expected. This file has no impact on patches and upgrade. The wfdbkey and wftpkey files are the reference key files for database and third-party JAR files. These keys files are used during upgrade.

Folder	File Description
<pre><install_location>\Arcot Systems\bin</install_location></pre>	Contains the library files and following executables that are required by administrators:
	arwfserver.exe - Is the AuthMinder server and it is used to set the server management port and other server-related operations.
	 arwfutil.exe - Is used to shut down and refresh the AuthMinder Server.
<install_location>\Arcot</install_location>	Contains the following configuration files:
Systems\conf	■ <u>arcotcommon.ini</u> (see page 183)
	 securestore.enc: File that contains the keys that are used for encrypting the sensitive data.
<install_location>\Arcot Systems\dbscripts</install_location>	Contains the SQL scripts to create the AuthMinder schema. See "Running Database Scripts" (see page 70) for information on the database scripts.
<install_location>\Arcot Systems\logs</install_location>	Contains the AuthMinder Server log file.
<install_location>\Arcot Systems\odbc32v70wf\</install_location>	Contains the branded DataDirect ODBC libraries for all the databases supported by AuthMinder.
<pre><install_location>\Arcot Systems\samples\xml\webfo rt</install_location></pre>	Contains the following files used by the ArcotWebFortBulkOperationsSvc.wsdl file: oath-token-assign.xml
	oath-token-upload.xml
<install_location>\Arcot</install_location>	Contains the following plug-in interface file:
Systems\sdk\server\plugin\c\ docs	■ webfort-plugin-cpp-interface.html
<install_location>\Arcot</install_location>	Contains the following SDK plug-in header files:
Systems\sdk\server\plugin\c\ include\webfort\vas	■ wf-common-interface.h
include (webiol t (vas	■ wf-common-interface.hpp
	■ wf-plugin-interface.h
<pre><install_location>\Arcot Systems\sdk\server\plugin\c\ lib</install_location></pre>	Contains the arwfpluginsdk.dll containing the plug-in libraries.
<install_location>\Arcot</install_location>	Contains the following file:
Systems\tools\win	■ DBUtil.exe : Tool for editing securestore.enc, which stores the database information required to connect to the AuthMinder database in the encrypted format.

Folder	File Description
<pre><install_location>\Arcot Systems\Uninstall_Arcot WebFort</install_location></pre>	Contains the executable required to uninstall AuthMinder.

Administration Console Files

The following table lists the folder location of the files that are used by Administration Console:

Folder	File Description
<install_location>\</install_location>	Contains the arcotkey and wfkey files. These file are used by the installer to detect previously installed CA products. If you delete these file, then the installer will not be able to detect previously installed CA products, and will allow new installations to be performed in any location. As a result, the installer will not be able to ensure the same destination folder for multiple CA products and components, in which case, the products (or components) might not work, as expected. This file has no impact on patches and upgrade.
	The wfdbkey and wftpkey files are the reference key files for database and third-party JAR files. These keys files are used by the installer during upgrade.
<install_location>\Arcot Systems\conf</install_location>	Contains the following configuration files: arcotcommon.ini (see page 183) adminserver.ini (see page 192) securestore.enc: File that contains the keys that are used for encrypting the sensitive data.
<install_location>\Arcot Systems\conf\resourcebundl es</install_location>	Contains the following message properties files: arcot-common-message_en_US.properties arcot-uds-message_en_US.properties
<install_location>\Arcot Systems\dbscripts</install_location>	Contains the SQL scripts to create the Administration Console schema. See "Running Database Scripts" (see page 70) for information on the database scripts.

Folder	File Description
<install_location>\Arcot Systems\java\lib</install_location>	Contains the WAR and JAR files required by the Administration Console Framework:
	■ adminframework.jar
	■ adminframework.war
	■ arcot-common.jar
	■ arcot-crypto-util.jar
	■ bcprov-jdk15-146.jar
<pre><install_location>\Arcot Systems\java\lib\sdk</install_location></pre>	This is an empty directory. You have to include the JAR files that have to be used by the bundlemanager tool to create the arcotadmin.war and arcotuds.war files.
<pre><install_location>\Arcot Systems\java\webapps</install_location></pre>	Contains the arcotadmin.war file required to deploy Administration Console.
<pre><install_location>\Arcot Systems\logs</install_location></pre>	Contains the Administration Console log file.
<pre><install_location>\Arcot Systems\native\win\<32 or 64 bit></install_location></pre>	Contains the ArcotAccessKeyProvider.dll file that is used to read the contents of securestore.enc file.
<pre><install_location>\Arcot Systems\odbc32v70wf\</install_location></pre>	Contains the branded DataDirect ODBC libraries for all the databases supported by AuthMinder.
<install_location>\Arcot Systems\resourcepacks</install_location>	Contains the following AuthMinder and Administration Console packages:
	■ bundle_webfort.zip
	■ bundler_adminconsole.zip
<pre><install_location>\Arcot Systems\resourcepacks\i18n</install_location></pre>	Contains the following properties file to update the account status value:
\adminconole\	■ framework-useraccount-status.properties
<install_location>\Arcot</install_location>	Contains the following subdirectories:
Systems\tools\common\	■ The arreporttool subdirectory contains the report tool that enables you to export reports.
	■ The bundlemanager subdirectory contains the files that are required by the Administration Console Resource pack.
<install_location>\Arcot</install_location>	Contains the following file:
Systems\tools\win	■ DBUtil.exe : Tool for editing securestore.enc, which stores the database information that is required to connect to the AuthMinder database in the encrypted format.

Folder	File Description
<pre><install_location>\Arcot Systems\Uninstall_Arcot WebFort</install_location></pre>	Contains the uninstallation-related files.

User Data Service Files

The following table lists the folder location of the files that are used by User Data Service:

Folder	File Description
<install_location>\</install_location>	Contains the arcotkey file. This file is used by the installer to detect previously installed CA products. If you delete these file, then the installer will not be able to detect previously installed CA products, and will allow new installations to be performed in any location. As a result, the installer will not be able to ensure the same destination folder for multiple CA products and components, in which case, the products (or components) might not work, as expected. This file has no impact on patches and upgrade. The wfdbkey and wftpkey files are the reference key files for database and third-party JAR files. These keys files are used during upgrade.
<install_location>\Arcot</install_location>	Contains the following configuration files:
Systems\conf	■ <u>arcotcommon.ini</u> (see page 183)
	■ <u>udsserver.ini</u> (see page 194)
	 securestore.enc: File that contains the keys used for encrypting the sensitive data.
<install_location>\Arcot</install_location>	Contains the following message properties files:
Systems\conf\resourcebundles	■ arcot-common-message_en_US.properties
C3	■ arcot-uds-message_en_US.properties
<install_location>\Arcot Systems\dbscripts</install_location>	Contains the SQL scripts to create the Administration Console schema. See "Running Database Scripts" (see page 70) for information on the database scripts.
<install_location>\Arcot Systems\docs\uds</install_location>	Contains the arcot-uds-2_0-wsdl-docs.zip file, which contains the WSDL documents for UDS.

Folder	File Description
<install_location>\Arcot Systems\java\lib</install_location>	Contains the WAR and JAR files required by the User Data Service:
	■ arcot-common.jar
	■ arcot-crypto-util.jar
	■ arcot-euds.jar
	■ bcprov-jdk15-146.jar
	■ udsframework.war
<pre><install_location>\Arcot Systems\java\lib\sdk</install_location></pre>	This is an empty directory. You have to include the JAR files that have to be used by the bundlemanager tool to create the arcotadmin.war and arcotuds.war files.
<pre><install_location>\Arcot Systems\java\webapps</install_location></pre>	Contains the arcotuds.war file required to deploy and User Data Service.
<pre><install_location>\Arcot Systems\logs</install_location></pre>	Contains the UDS log file.
<pre><install_location>\Arcot Systems\native\win\<32 or 64 bit></install_location></pre>	Contains the ArcotAccessKeyProvider.dll file that is used to read the contents of securestore.enc file.
<install_location>\Arcot Systems\odbc32v70wf\</install_location>	Contains the branded DataDirect ODBC libraries for all the databases supported by AuthMinder.
<install_location>\Arcot</install_location>	Contains the following subdirectory:
Systems\tools\common\	■ The uds-monitor subdirectory contains the tool that enables you to monitor UDS.
<install_location>\Arcot</install_location>	Contains the following file:
Systems\tools\win	■ DBUtil.exe : Tool for editing securestore.enc, which stores the database information needed to connect to the AuthMinder database in the encrypted format.
<pre><install_location>\Arcot Systems\Uninstall_Arcot WebFort</install_location></pre>	Contains the uninstallation-related files.

Folder	File Description
<install_location>\Arcot Systems\wsdls\uds</install_location>	Contains the following documents: WSDL files that the Web services client uses to generate the code: ArcotConfigManagementSvc.wsdl ArcotOrganizationManagementSvc.wsdl ArcotUserManagementSvc.wsdl
	 XSD files that are used by Web services to perform bulk operations: ArcotUserSchema.xsd

Authentication Java SDK Files

The following table lists the folder location of the files that are used by Authentication Java SDK:

Folder	File Description
<install_location>\Arcot Systems\docs\webfort</install_location>	Contains the Arcot-WebFort-7.1-authentication-sdk-javadocs.zip file, which contains the Javadocs for Authentication SDK.
<pre><install_location>\Arcot Systems\samples\java</install_location></pre>	Contains the webfort-7.1-sample-application.war file to deploy Sample Application.
<pre><install_location>\Arcot Systems\sdk\client\java\lib\a rcot</install_location></pre>	Contains the following JAR files for AuthMinder Authentication Java SDK. arcot-webfort-common.jar
	■ arcot-webfort-authentication.jar
<pre><install_location>\Arcot Systems\sdk\client\java\lib\ external</install_location></pre>	Contains the third-party JAR files required by AuthMinder Authentication Java SDK.
	■ bcprov-jdk15-146.jar
	commons-pool-1.5.5.jar
<pre><install_location>\Arcot Systems\sdk\client\java\pro perties</install_location></pre>	Contains the sample properties (webfort.authentication.properties) file. You can either use the parameters of this file for initializing the Java SDKs or use the init() function.

Issuance Java SDK Files

The following table lists the folder location of the files that are used by Issuance Java SDK:

Folder	File Description
<install_location>\Arcot Systems\docs\webfort</install_location>	Contains the Arcot-WebFort-7.1-issuance-sdk-javadocs.zip file, which contains the Javadocs for Issuance SDK.
<pre><install_location>\Arcot Systems\samples\java</install_location></pre>	Contains the webfort-7.1-sample-application.war file to deploy Sample Application.
<pre><install_location>\Arcot Systems\sdk\client\java\lib\a rcot</install_location></pre>	Contains the following JAR files for Issuance Java SDK. arcot-webfort-common.jar arcot-webfort-issuance.jar
<pre><install_location>\Arcot Systems\sdk\client\java\lib\ external</install_location></pre>	Contains the third-party JAR files required by AuthMinder Issuance Java SDK. bcprov-jdk15-146.jar commons-pool-1.5.5.jar
<pre><install_location>\Arcot Systems\sdk\client\java\pro perties</install_location></pre>	Contains the sample properties (webfort.issuance.properties) file. You can either use the parameters of this file for initializing the Java SDKs or use the init() function.

Web Services Files

The following table lists the folder location of the files that are used by Web services:

Folder	File Description
<install_location>\Arcot Systems\docs\uds</install_location>	Contains the arcot-uds-2_0-wsdl-docs.zip file, which contains the WSDL documents for UDS.
<pre><install_location>\Arcot Systems\docs\webfort</install_location></pre>	Contains the following WSDL documents: Arcot-WebFort-7.1-admin-wsdldocs.zip
	 Arcot-WebFort-7.1-authentication-wsdldocs.zip Arcot-WebFort-7.1-issuance-wsdldocs.zip

Folder	File Description	
<install_location>\Arcot</install_location>	Contains the following documents:	
Systems\wsdls\uds	 WSDL files that the Web services client uses to generate the code: ArcotConfigManagementSvc.wsdl ArcotOrganizationManagementSvc.wsdl ArcotUserManagementSvc.wsdl 	
	 XSD files that are used by Web services to perform bulk operations: ArcotUserSchema.xsd 	
<install_location>\Arcot</install_location>	Contains the following documents:	
Systems\wsdls\webfort	■ WSDL files that the Web services client uses to generate the code: ArcotWebFortAdminSvc.wsdl ArcotWebFortAuthSvc.wsdl ArcotWebFortBulkOperationsSvc.wsdl ArcotWebFortIssuanceSvc.wsdl	
	■ XSD files that are used by Web services to perform bulk administration and credential operations: ArcotWebFortAdminMsgs.xsd ArcotWebFortAdminSchema.xsd ArcotWebFortAuthMsgs.xsd ArcotWebFortAuthSchema.xsd ArcotWebFortCommonSchema.xsd ArcotWebFortCredMgmt.xsd ArcotWebFortIssuanceMsgs.xsd ArcotWebFortIssuanceSchema.xsd ArcotWebFortTokenXchange.xsd	

Plug-In SDK

The following table lists the folder location of the files that are used by plug-in SDK:

Folder	File Description	
<install_location>\Arcot Systems\bin</install_location>	Contains the arwfpluginsdk.dll containing the plug-in libraries.	
<install_location>\Arcot</install_location>	Contains the following SDK plug-in header files: wf-common-interface.h	
Systems\sdk\server\plugin\c \include\webfort\vas		
(merade (webrore (vas	■ wf-common-interface.hpp	
	■ wf-plugin-interface.h	

Folder	File Description
<pre><install_location>\Arcot Systems\sdk\server\plugin\c \lib</install_location></pre>	Contains the arwfpluginsdk.dll containing the plug-in libraries.

Appendix B: Configuration Files and Options

This appendix discusses the configuration files that AuthMinder uses and the parameters that you must configure in these files.

The following AuthMinder configuration files are available in the <install_location>\Arcot Systems\conf location:

- arcotcommon.ini (see page 183)
- <u>adminserver.ini</u> (see page 192)
- <u>udsserver.ini</u> (see page 194)

The following properties files are available in the <install_location>\Arcot Systems\sdk\client\java\properties\ location:

- webfort.authentication.properties (see page 196)
- webfort.issuance.properties (see page 198)

INI Files

arcotcommon.ini

The arcotcommon.ini file contains the parameters for database and instance settings for the AuthMinder Sever and other components (Administration Console and User Data Service) of AuthMinder. This section discusses these parameters of arcotcommon.ini file:

- Parameters Used by AuthMinder Server (see page 183)
- Parameters Used by Administration Console and User Data Service (see page 187)

Parameters Used by AuthMinder Server

The following table lists the database and encryption settings that are used by AuthMinder Server. Additional database configurations for the AuthMinder Server must be performed using the Instance Management screen of the Administration Console.

Parameter	Default	Description
Common Database Parameters in[arcot/db/dbconfig] Section		

Parameter	Default	Description
DbType	No default	The type of the database applicable to all database connections. The supported values are:
		■ mssqlserver
		■ oracle
		■ db2
		■ mysql
EnableBrandLicensing	0	Whether a branded ODBC driver is in use. This can be used when you are using the branded ODBC drivers from DataDirect.
BrandLicenseFile	No Default	The license file name when you use a branded ODBC driver.
StartWithAnyPool	1 (enabled)	Enables AuthMinder to start with the backup database when the primary database is unavailable.
Primary and Backup Data [arcot/db/backupdb] Sec		ion Parameters in [arcot/db/primarydb] and
Datasource.n	No Default	The name of the ODBC System Data Source Name (DSN) pointing to the primary database hosting the server data.
Username.n	No Default	The User Name used by the server to access the database.
Encryption Mode Setting	Parameter in	[arcot/crypto/device] Section
HSMDevice	s/w	The mode that sets whether the AuthMinder information must be encrypted with a key stored in the database or with one in a Hardware Security Module (HSM).
		Supported values are:
		■ s/w: Indicates that the data will be encrypted with the key label stored in the database.
		 chrysalis: Indicates the Chrysalis (Luna) HSM will be used to encrypt the data.
		 nfast: Indicates nFast (nCipher netHSM) will be used to encrypt the data.

Parameter	Default	Description	
Chrysalis (Luna) HSM Configuration Parameters in [crypto/pkcs11modules/chrysalis] Section			
sharedLibrary	No Default	The absolute path to the PKCS#11 shared library corresponding to the HSM. The default value for Chrysalis (Luna) is: C:\Program Files\LunaSA\cryptoki.dll	
storageSlot	0	The HSM slot where the 3DES keys used for encrypting the data are present.	
accelSlot	0	The slot for internal use by CA.	
sessionCount	20	The maximum number of sessions that can be established with the HSM device.	
• •	nFast (nCipher netHSM) HSM Configuration Parameters in [crypto/pkcs11modules/nfast] Section		
sharedLibrary	No Default	The absolute path to the PKCS#11 shared library corresponding to the HSM. The default value for nFast (nCipher netHSM) is: C:\nfast\bin\cknfast.dll	
storageSlot	1	The HSM slot where the 3DES keys used for encrypting the data are present.	
accelSlot	0	The slot for internal use by CA.	
sessionCount	200	The maximum number of sessions that can be established with the HSM device.	

Changing Server Startup Logging Parameters

If you want to change the logging parameters that you see when AuthMinder Server starts up, then:

- 1. Navigate to the conf directory in ARCOT_HOME.
- 2. Open arcotcommon.ini in a text editor of your choice.
- 3. Add the following section at the end of the file: [arcot/webfort/startup] LogFile= LogFileSize=10485760 BackupLogFileDir=

LogLevel= LogTimeGMT=0

The following table explains these parameters:

Parameter	Default	Description
LogFile		The file path to the default directory and the file name of the log file.
		Note: This path is relative to ARCOT_HOME (<install_location>\Arcot Systems\).</install_location>
LogFileSize	10485760	The maximum number of bytes the log file can contain. When a log file reaches this size, a new file is started and the old file is moved to the location specified for BackupLogFileDir.
BackupLogFileDir		The location of the directory where backup log files are maintained, after the current file exceeds LogFileSize bytes.
		Note: This path is relative to ARCOT_HOME (<install_location>\Arcot Systems\).</install_location>
LogLevel		The default logging level for the server, unless an override is specified.
		The possible values are:
		■ 0 FATAL
		■ 1 WARNING
		■ 2 INFO
		■ 3 DETAIL

Parameter	Default	Description
LogTimeGMT	0	The parameter which indicates the time zone of the time stamp in the log files.
		The possible values are:
		■ 0 Local Time
		■ 1 GMT

- 1. Set the required values for the parameters that you want to change.
- 2. Save and close the file.
- 3. Restart AuthMinder Server.

Parameters Used by Administration Console and User Data Service

The following table lists the database setting parameters in the arcotcommon.ini file:

Parameter	Default	Description
Common Database Parameters in the [arcot/db/dbconfig] Section		
DbType	No default	The type of database applicable to all database connections. The supported values are: oracle mssqlserver db2 mysql
Driver	No default	The fully-qualified name of the JDBC driver class that is supplied by the JDBC driver vendor. Consult your JDBC vendor documentation for the right driver name. For Oracle - oracle.jdbc.driver.OracleDriver For SQLServer - com.microsoft.sqlserver.jdbc.SQLServerDr iver For DB2 - jcom.ibm.db2.jcc.DB2Driver For MySQL - com.mysql.jdbc.Driver
MinConnections	4	The minimum number of connections to initially create between AuthMinder components and the database.

Parameter	Default	Description
MaxConnections	32	The maximum number of connections that can be created between AuthMinder components and the database.
IncConnections	2	The number of connections that are created when a new connection is needed between AuthMinder components and the database.
MaxIdleConnections	4	The maximum number of idle database connections that server can maintain.
MaxWaitTimeForConn ection	30000	Maximum amount of time (in milliseconds) the Server must wait (when there are no available connections) for a connection to become available, before timing out.
AutoRevert	1	Specifies whether or not the system attempts to connect to the primary database after a failover occurs. Set AutoRevert=1 if you have a backup database configured and if you want the server to try to connect to the primary database after a failover occurs.
MaxTries	3	The number of times the server will attempt to connect to the database before aborting the connection.
ConnRetrySleepTime	100	The number of milliseconds to delay between attempts to connect to the database.
MonitorSleepTime	50	The amount of time in seconds the Monitoring Thread sleeps between heartbeat checks on all databases.
Profiling	0	Specifies whether to log the database messages. Set the value to 1 if you want to enable logging of database messages.
EnableBrandLicensing	0	Specifies whether a branded ODBC driver is in use.
BrandLicenseFile	IVWF.LIC	The license file name when you use a branded ODBC driver. This parameter is required if the value of EnableBrandLicensing is 1. Otherwise it is ignored. If present, this value must <i>not</i> be edited.
		1

Parameter	Default	Description
MaxTransactionRetrie s	3	The maximum number of times the transaction is retried with a database instance for pre-defined error conditions.
TransactionRetrySleep Time	10	The interval in milliseconds between two consecutive transaction retries.
Primary and Backup Da [arcot/db/backupdb] S		tion Parameters in [arcot/db/primarydb] and
Datasource.n		The name of the ODBC System Data Source Name (DSN) pointing to the primary database hosting the server data.
AppServerConnection PoolName.n	No default	The JNDI name used to look up the connection pool object, if the database connection pooling feature of the application server is being used.
		A pool by this JNDI name should be created in the containing application server, and sufficient access right must be given to CA Web applications for it to use the connection pool:
		■ If the JNDI name is configured in Apache Tomcat , then use a fully qualified JNDI name. For example: AppServerConnectionPoolName.1=java:co mp/env/SampleDS
		■ For other application servers, specify only the JNDI name. For example: AppServerConnectionPoolName.1=Sample DS
		See appendix, "Configuring Application Server" for more information.
		If the application server connection pool is <i>not</i> required, then leave this configuration empty.

Parameter	Default	Description	
URL.n	No default	The name of the JDBC data source.	
		■ For Oracle - jdbc:oracle:thin:@ <server>:<oracle_port>: <sid></sid></oracle_port></server>	
		■ For SQLServer - jdbc:sqlserver://< <i>server</i> >:< <i>sql_port</i> >;data baseName=< <i>databasename</i> >;selectMetho d=cursor	
		■ For DB2 - jdbc:db2:// <server>:<db2_port>/<databas e></databas </db2_port></server>	
		■ For MySQL - jdbc:mysql:// <server>:<mysql_port>/<dat abase></dat </mysql_port></server>	
Username. <i>n</i>	No default	The User Name used by the server to access the database.	
TrustStorePath.n	No default	The SSL certificate truststore path corresponding to Datasource.n.	
		The path (including the filename) refers to the certificate truststore file, which contains the list of certificates that the client trusts.	
		Note: The password corresponding to TrustStore Path. <i>n</i> must to be securely stored in securestore.enc with value of TrustStorePath. <i>n</i> as the key by using DBUtil tool. See <i>CA</i> AuthMinder Administration Guide for more information on this tool.	
KeyStorePath. <i>n</i>			
HostNameInCertificat e. <i>N</i>	No default	The value of Common Name (CN) in the subject Distinguished Name (DN) of Datasource. N SSL certificate in truststore.	
		Note: This parameter is required <i>only</i> if you are using Microsoft SQL Server.	
Encryption Mode Setting Parameter in [arcot/crypto/device] Section			

Parameter	Default	Description	
HSM< <i>N</i> >Device	s/w	The mode that sets whether the information must be encrypted with a key stored in the database or with one in a Hardware Security Module (HSM). Supported values are:	
		s/w: Indicates that the data will be encrypted with the key label stored in database.	
		 chrysalis: Indicates the Chrysalis (Luna) HSM will be used to encrypt the data. 	
		 nfast: Indicates nFast (nCipher netHSM) will be used to encrypt the data. 	
Chrysalis (Luna) HSM Co Section	onfiguration Pa	rameters in [crypto/pkcs11modules/chrysalis]	
sharedLibrary	No Default	The absolute path to the PKCS#11 shared library corresponding to the HSM. The default value for Chrysalis (Luna) is: C:\Program Files\LunaSA\cryptoki.dll	
storageSlot	0	The HSM slot where the 3DES keys used for encrypting the data are present.	
accelSlot	0	The slot for internal use by CA.	
sessionCount	20	The maximum number of sessions that can be established with the HSM device.	
nFast (nCipher netHSM) HSM Configuration Parameters in [crypto/pkcs11modules/nfast] Section			
sharedLibrary	No Default	The absolute path to the PKCS#11 shared library corresponding to the HSM. The default value for nFast (nCipher netHSM) is: C:\nfast\bin\cknfast.dll	
storageSlot	1	The HSM slot where the 3DES keys used for encrypting the data are present.	
accelSlot	0	The slot for internal use by CA.	
sessionCount	200	The maximum number of sessions that can be established with the HSM device.	
Instance ID Configuration Parameter in [arcot/system] Section			

Parameter	Default	Description
InstanceId	1	A parameter that can be used to identify Administration Console or User Data Service instance. It is mandatory that you provide unique values for every instance of the server. The instance ID is also displayed in the transaction reports. You must specify an integer value for this parameter.

adminserver.ini

The adminserver.ini file contains the parameters to set the Administration Console log information. The following table lists the log file information of Administration Console:

Parameter	Default Value	Description
Log Configuration Paramet	ters in [arcot/	admin/logging] Section
log4j.rootCategory	ERROR, roothandle	The root logger that resides at the top of the logger hierarchy. All children loggers inherit this value, if no value is specified.
	Important! roothandle is the name of the Administrat ion Console log handle and must be specified.	

Parameter	Default Value	Description
■ log4j.logger.com.arc ot.euds	INFO	Specify the log level that must be used to write Administration Console logs. The supported log levels are:
log4j.logger.com.arc ot.admin		■ FATAL
log4j.logger.com.arc ot.admin.framework		■ WARNING ■ INFO
 log4j.logger.com.arc ot.adminconsole 		■ DEBUG
■ log4j.logger.com.arc ot.common.cache		Note: See CA AuthMinder Administration Guide for more information about the log levels.
log4j.logger.com.arc ot.common.crypto		
 log4j.logger.com.arc ot.crypto.impl.Secure StoreUtil 		
■ log4j.logger.com.arc ot.common.database		
■ log4j.logger.com.arc ot.common.ldap		
log4j.appender. roothandle.Encoding	UTF-8	The encoding to use when writing the entries in the log file.
log4j.appender.roothandl e.File	\${arcot.ho me}/logs/ar cotadmin.lo g	The log file name and the location where the Administration Console logs will be created. By default, the Administration Console log file name is arcotadmin.log and is created in the following location: <install_location>\Arcot Systems\logs\</install_location>
log4j.appender.roothandl e.MaxFileSize	10 MB	The maximum allowed file size of the log file.
log4j.appender.roothandl e.MaxBackupIndex	100	The maximum number of backup files that can be created. When the number of backup files reaches this number, then the application starts to overwrite from the first log file.

Parameter	Default Value	Description
log4j.appender. roothandle.layout	org.apache. log4j. PatternLayo ut	The output format, as specified by ConversionPattern.
log4j.appender. roothandle.layout. ConversionPattern	%d{yyyy-M M-dd hh:mm:ss,S SS z} : [%t] : %-5p : %-5c{3} : %m%n	The format in which the Administration Console log file entries are written: Time Stamp (%d{yyyy-MM-dd hh:mm:ss,SSS z}:) Thread ID ([%t]:) Log Level (or Severity) (%-5p:) Logger Class (%-5c{3}:) Message (%m%n) This pattern is similar to the C language printf function.

udsserver.ini

The udsserver.ini file contains the parameters to set the User Data Service (UDS) log information. The following table lists the log file information of UDS:

Parameter	Default Value	Description
Log Configuration Parameters in [arcot/uds/logger] Section		
log4j.rootCategory	ERROR, debuglog	The root logger that resides at the top of the logger hierarchy. All children loggers inherit this value, if no value is specified.

Parameter	Default Value	Description
■ log4j.logger.com.arc ot.euds	INFO	Specify the log level that must be used to write UDS logs. The supported log levels are:
 log4j.logger.com.arc ot.crypto.impl.Secure StoreUtil log4j.logger.com.arc ot.common.database log4j.logger.com.arc ot.common.cache 		■ FATAL ■ WARNING ■ INFO ■ DEBUG Note: Refer to CA AuthMinder Administration Guide for more information on the log levels.
log4j.appender.debuglog. File	\${arcot.ho me}/logs/ar cotuds.log	Specify the log file name and the location where the UDS logs must be written to. By default, the UDS log file name is arcotuds.log and is created in the logs folder present in <install_location>\Arcot Systems\.</install_location>
log4j.appender.debuglog. MaxFileSize	10MB	Specify the size of the log file. By default, it is 2 MB.
log4j.appender.debuglog. MaxBackupIndex	100	Specify the number of backup files that can be created. When the number of backup files is equivalent to this number, the application starts to overwrite from the first log file.
log4j.appender. debuglog.layout	org.apache. log4j. PatternLayo ut	The output format, as specified by ConversionPattern.
log4j.appender. debuglog.Encoding	UTF-8	The encoding to use when writing the entries in the log file.

Parameter	Default Value	Description
log4j.appender. debuglog.layout. ConversionPattern	%d{yyyy-M M-dd hh:mm:ss,S SS z} : [%t] : %-5p : %-5c{3} : %m%n	The format in which the UDS log file entries are written: Time Stamp (%d{yyyy-MM-dd hh:mm:ss,SSS z}:) Thread ID ([%t]:) Log Level (or Severity) (%-5p:) Logger Class (%-5c{3}:) Message (%m%n) This pattern is similar to the C language printf function.

Properties Files

webfort.authentication.properties

The webfort.authentication.properties file provides the parameters for the Authentication Java SDK to read AuthMinder Server information. The following table lists the configuration parameters.

By default, the configuration parameters are appended with .1, which indicate that these configurations are for the primary AuthMinder Server. If you have multiple instances of AuthMinder Servers and want to enable failover, then you must duplicate the sections based on the number of servers you plan to support and configure the parameters accordingly.

Parameter	Default	Description
pool.maxActive	64	Maximum number of connections allowed in the pool from the SDK to the AuthMinder Server.
pool.maxIdle	16	The maximum number of idle connections allowed in the pool from the SDK to the AuthMinder Server.
pool.maxWaitTimeMillis	-1	The maximum amount of time (in milliseconds) that a request will wait for the connection. Default -1 indicates that the thread will wait for infinite time.

Parameter	Default	Description
pool.minEvictableIdleTim eMillis	-1	The minimum amount of time a connection might be idle in the pool before it is evicted by the idle connection evictor (if any).
pool.timeBetweenEvictio nRunsMillis	-1	The amount of time in milliseconds to wait before checking the pool to evict the idle connections.
authentication.host.n	localhost	Host name or the IP address of AuthMinder Server.
authentication.port.n	9742	Port number configured for the Authentication Native protocol.
authentication.transport.	tcp	To enable the SSL communication between AuthMinder Authentication SDK and AuthMinder Server set this parameter to 1SSL or 2SSL.
		Note: If you change the transport mode to SSL, then you must restart AuthMinder Server.
authentication.connectionTimeout.n	10000	Maximum time in milliseconds before the AuthMinder Server is considered unreachable.
authentication.readTime out.n	30000	The maximum time in milliseconds allowed for a response from AuthMinder Server.
authentication.serverCAC ertPEMPath.n	No Default	Provide the path for the CA certificate file of the server. The file <i>must</i> be in PEM format. Provide the complete path for the file. For example: server.CACertPEMPath=<%SystemDrive%>/ce rts/webfort_ca.pem
authentication.clientCert KeyP12Path.n	No Default	Provide the path for the client certificate, which is in the p12 format.
authentication.clientCert KeyPassword.n	No Default	Enter the client key pair password to open the p12 file.

webfort.issuance.properties

The webfort.issuance.properties file provides the parameters for the Issuance Java SDK to read AuthMinder Server information. The following table lists the configuration parameters.

By default, the configuration parameters are appended with .1, which indicate that the configurations are for the primary AuthMinder Server. If you have multiple instances of AuthMinder Servers and want to enable failover, then you must duplicate the sections based on the number of servers you plan to support and configure the parameters accordingly.

Parameter	Default	Description
pool.maxActive	64	Maximum number of connections allowed in the pool from the SDK to the AuthMinder Server.
pool.maxIdle	16	The maximum number of idle connections allowed in the pool from the SDK to the AuthMinder Server.
pool.maxWaitTimeMillis	-1	The maximum amount of time (in milliseconds) that a request will wait for the connection. Default -1 indicates that the thread will wait for infinite time.
pool.minEvictableIdleTim eMillis	-1	The minimum amount of time a connection might be idle in the pool before it is evicted by the idle connection evictor (if any).
pool.timeBetweenEvictio nRunsMillis	-1	The amount of time in milliseconds to wait before checking the pool to evict the idle connections.
issuance.host. <i>n</i>	localhost	Host name or the IP address of AuthMinder Server.
issuance.port. n	9744	Port number configured for the Transaction Web services protocol.
issuance.transport.n	tcp	To enable the SSL communication between AuthMinder Issuance SDK and AuthMinder Server set this parameter to 1SSL or 2SSL. Note: If you change the transport mode to SSL,
issuance.connectionTime	10000	then you must restart AuthMinder Server. Maximum time in milliseconds before the
out.n	10000	AuthMinder Server is considered unreachable.
issuance.readTimeout.n	30000	The maximum time in milliseconds allowed for a response from AuthMinder Server.

Parameter	Default	Description
issuance.serverCACertPE MPath.n	No Default	Provide the path for the CA certificate file of the server. The file <i>must</i> be in PEM format. Provide the complete path for the file. For example: server.CACertPEMPath=<%SystemDrive%>/cert s/webfort_ca.pem
issuance.clientCertKeyP1 2Path.n	No Default	Provide the path for the client certificate, which is in p12 format.
issuance.clientCertKeyPas sword.n	No Default	Enter the client key pair password to open the p12 file.

Appendix C: Changing HSM Configurations

This appendix lists the steps that you must perform, if you want to change the Hardware Security Module (HSM) configurations that you have specified during installation.

Note: Before proceeding with the configurations explained in this section, ensure that you have set up the HSM server and client, and generated the 3DES key in the HSM. Refer to "(Optional, Only If You are Using HSMs) Requirements for HSM" (see page 56) for more information.

As mentioned in "Hardware Security Module (HSM) Requirements" (see page 40), AuthMinder now supports Hardware Security Module (HSM) to secure your data. If you choose to encrypt the data using HSM, then the data that is stored in the database is encrypted with the key that resides in the HSM.

AuthMinder supports Luna and nCipher netHSM for data encryption using hardware. The configurations for the HSMs are available in the arcotcommon.ini file. This file provides separate sections for configuring the required HSM, which in the current release are:

- Luna HSM ([crypto/pkcs11modules/chrysalis])
- nCipher netHSM ([crypto/pkcs11modules/nfast])

Based on the HSM you are configuring, specify the sharedLibrary parameter in the corresponding section. After specifying the HSM information, re-create the securestore.enc file with the HSM key label, initialize the HSM, and then initialize AuthMinder to use the HSM key.

To change the HSM information that AuthMinder needs:

- Navigate to the following location: <install_location>\Arcot System\conf
- 2. Take a backup of securestore.enc.
- 3. Delete the existing securestore.enc file from <install location>\Arcot System\conf.
- 4. To change the HSM information that AuthMinder needs:
 - a. Navigate to the following location:
 <install_location>\Arcot System\conf
 - b. Open arcotcommon.ini in a text editor.
 - c. Ensure the HSMDevice parameter in the [arcot/crypto/device] section is set to the HSM that you plan to use:
 - chrysalis for Luna HSM.

or

- nfast for nCipher netHSM.
- d. Depending on the HSM that you are configuring, set the sharedLibrary parameter to the location where the HSM library file is located.

For Luna (cryptoki.dll) and for nCipher netHSM (cknfast.dll), specify the absolute path and name of the file.

Note: See <u>"arcotcommon.ini"</u> (see page 183) for more information about the other HSM configuration parameters available in this section.

- e. Save and close the arcotcommon.ini file.
- 5. Navigate to the following location, where the DBUtil tool is available: <install_location>\Arcot Systems\tools\win
- 6. Run the DBUtil tool with the following commands:
 - a. dbutil -init <HSM_key_label>

Note: The *<HSM_key_label>* corresponds to the 3DES key that resides in the HSM.

The preceding command creates a securestore.enc file with the specified key label. The generated file in stored in the *<install_location>*\Arcot System\conf location.

b. dbutil -i <HSM module name> <HSM password>

Note: The *<HSM_module_name>* is chrysalis for Luna HSM, and nfast for nCipher netHSM.

The preceding command initializes the HSM.

c. dbutil -pi <DSN_Name> <Database_password> -h <HSM_password> -d <HSM_module_name>

Note: <*DSN_NAME>* refers to the ODBC DSN that AuthMinder Server uses to connect to the AuthMinder database. <*Database_password>* refers to password used to connect to the database.

The preceding command initializes the AuthMinder Server data to be encrypted using HSM.

d. dbutil -pi <Database_Username> <Database_password> -h <HSM_password> -d <HSM_module_name>

Note: Patabase_Username refers to the user name used to connect to the
AuthMinder database. The database user name is case-sensitive, therefore
ensure that you provide the correct value. password refers to
password used to connect to the database.

The preceding command initializes the Administration Console and the User Data Service data to be encrypted by using HSM.

Appendix D: Preparing for Localization

AuthMinder now supports *localization*, which is the process of adapting internationalized software for a region or language of your choice, by adding locale-specific components and translating the text. In this release, the following aspects can be localized:

- Date-Time formatting
- Number formatting
- Display formatting in the user interfaces

This appendix provides the steps that you must perform to localize your AuthMinder setup and the list of locales that AuthMinder supports. It covers the following topics:

- Adding New Locales (see page 206)
- Configuring Supported Locales (see page 208)
- <u>Setting Your Default Locale</u> (see page 209)
- <u>List of Available Locales</u> (see page 209)

Adding New Locales

AuthMinder supports English (US) out-of-the-box. To add a custom language other than English, perform the following tasks:

1. Navigate to the appropriate location in your <aPP_SERVER_HOME> where the arcotadmin/WEB-INF/classes/ directory is available, after you deployed the arcotadmin.war.

For example, on Apache Tomcat this location is <TOMCAT_HOME>/webapps/arcotadmin/WEB-INF/classes/.

- 2. Append the locale (see <u>List of Available Locales</u> (see page 209)) of the language that you want to localize to as the suffix to the following files:
 - adminconsole-admin-error.properties
 - adminconsole-admin-message.properties
 - adminconsole-admin-report.properties
 - framework-admin-error.properties
 - framework-admin-message.properties
 - framework-common-message.properties
 - framework-report-messages.properties
 - framework-useraccount-status.properties
 - message.properties
 - webfort-admin-error.properties
 - webfort-admin-message.properties
 - webfort-admin-report.properties

For example, if you are localizing to Japanese, then add the suffix _ja_JP to all the preceding properties files. In this case, the adminconsole-admin-error.properties file name changes to adminconsole-admin-error_ja_JP.properties.

See the "<u>List of Available Locales</u> (see page 209)" section to identify the locale string for a specific language and country.

- 3. Convert and save *all* the *<*locale*>.properties files to UTF-8 by using the native2ascii.exe tool, which is available in <*JAVA_HOME used by Application Server*>\bin.
- 4. Navigate to <install_location>\Arcot Systems\resourcepacks\i18n\, and:
- 5. Create a subdirectory called webfort.
- 6. Copy the converted and saved properties files to the <install_location>\Arcot Systems\resourcepacks\i18n\webfort directory.
- 7. Open the Command Prompt window.

- 8. Navigate to the <install_location>\Arcot Systems\tools\common\bundlemanager\ directory.
- 9. Run the following command to integrate the newly-ported resource bundles with the adminframework.war file and re-create arcotadmin.war under <install_location>\Arcot Systems\java\webapps\: java -jar bundle-manager.jar
- 10. Re-deploy the arcotadmin.war. See "Deploying Administration Console" (see page 79) and "Verifying the Administration Console" (see page 81) for more information.

Configuring Supported Locales

o configure custom locales and set the default locale and date time format using Administration Console:

- 1. Access the Administration Console in a Web browser.
- 2. Ensure that you are logged in as the MA.
- 3. Activate the Services and Server Configurations tab.
- 4. Click the **Administration Console** option on the submenu of the tab.

Under the **System Configuration** section on the side-bar menu, click the **Localization Configuration** link.

In the Configure Supported Locales section, select the locales that you want to add from the Available list to the Selected list.

To move selected locales to the desired list, click the > button. You can also click the >> button to move all locales to the desired lists.

Note: To select more than one locale at a time, hold the Ctrl key.

- In the Configure Default Locale section, select the Default Locale from the drop-down list.
- 7. In the **Configure Default Date Time Format** section, specify the **Date Time Format** you want to use.

Note: The Administrator can change the Locale and Date Time Format on the My Profile page.

8. Click **Save** to save your changes.

The locale that you added appears in the **Available** list on the Localization Configuration page of the Administration Console.

- 9. Refresh the AuthMinder Server instance:
 - a. Activate the WebFort tab.
 - b. Click the Instance Management link under the **Instance Configurations** section.
 - c. Select the same AuthMinder Server instance.
 - d. Click the Refresh button.

Setting Your Default Locale

- 1. As an administrator, you need to change your current locale to the new configured locale. Perform the following steps to do so:
- 2. Access the Administration Console in a Web browser.
- 3. Log in to the Administration Console.
- 4. Click the **<username>** link in the header of the Administration Console.
- 5. In the **Administrator Preferences** section, specify the preferred **Locale** for your instance of Administration Console.
- 6. Click Save.

List of Available Locales

The following table in this appendix lists the identifier string for each supported locale:

Language	Language	Language Code	Country Code	Locale
Arabic		ara		ar
	United Arab Emirates	ara	ARE	ar_AE
	Bahrain	ara	BHR	ar_BH
	Algeria	ara	DZA	ar_DZ
	Egypt	ara	EGY	ar_EG
	Iraq	ara	IRQ	ar_IQ
	Jordan	ara	JOR	ar_JO
	Kuwait	ara	KWT	ar_KW
	Lebanon	ara	LBN	ar_LB
	Libya	ara	LBY	ar_LY
	Morocco	ara	MAR	ar_MA
	Oman	ara	OMN	ar_OM
	Qatar	ara	QAT	ar_QA
	Saudi Arabia	ara	SAU	ar_SA
	Sudan	ara	SDN	ar_SD
	Syria	ara	SYR	ar_SY
	Tunisia	ara	TUN	ar_TN
	Yemen	ara	YEM	ar_YE

Language	Language	Language Code	Country Code	Locale
Hindi	India	hin	IND	hi_IN
Hebrew		heb		iw
	Israel	heb	ISR	iw_IL
Japanese		jpn		ja
	Japan	jpn	JPN	ja_JP
Korean		kor		ko
	South Korea	kor	KOR	ko_KR
Thai		tha		th
	Thailand	tha	THA	th_TH
	Thailand,TH	tha	THA	th_TH_TH
			(Variant: TH)	
Vietnamese		vie		vi
	Vietnam	vie	VNM	vi_VN
Chinese		zho		zh
	China	zho	CHN	zh_CN
	Hong Kong	zho	HKG	zh_HK
	Taiwan	zho	TWN	zh_TW
Belarusian		bel		be
	Belarus	bel	BLR	be_BY
Bulgarian		bul		bg
	Bulgaria	bul	BGR	bg_BG
Catalan		cat		ca
	Spain	cat	ESP	ca_ES
Czech		ces		cs
	Czech Republic	ces	CZE	cs_CZ
Danish		dan		da
	Denmark	dan	DNK	da_DK
German		deu		de
	Austria	deu	AUT	de_AT

Language	Language	Language Code	Country Code	Locale
	Switzerland	deu	CHE	de_CH
	Germany	deu	DEU	de_DE
	Luxembourg	deu	LUX	de_LU
Greek		ell		el
	Greece	ell	GRC	el_GR
English	Australia	eng	AUS	en_AU
	Canada	eng	CAN	en_CA
	United Kingdom	eng	GBR	en_GB
	Ireland	eng	IRL	en_IE
	India	eng	IND	en_IN
	New Zealand	eng	NZL	en_NZ
	South Africa	eng	ZAF	en_ZA
Spanish		spa		es
	Argentina	spa	ARG	es_AR
	Bolivia	spa	BOL	es_BO
	Chile	spa	CHL	es_CL
	Colombia	spa	COL	es_CO
	Costa Rica	spa	CRI	es_CR
	Dominican Republic	spa	DOM	es_DO
	Ecuador	spa	ECU	es_EC
	Spain	spa	ESP	es_ES
	Guatemala	spa	GTM	es_GT
	Honduras	spa	HND	es_HN
	Mexico	spa	MEX	es_MX
	Nicaragua	spa	NIC	es_NI
	Panama	spa	PAN	es_PA
	Peru	spa	PER	es_PE
	Puerto Rico	spa	PRI	es_PR
	Paraguay	spa	PRY	es_PY

Language	Language	Language Code	Country Code	Locale
	El Salvador	spa	SLV	es_SV
	Uruguay	spa	URY	es_UY
	Venezuela	spa	VEN	es_VE
Estonian		est		et
	Estonia	est	EST	et_EE
Finnish		fin		fi
	Finland	fin	FIN	fi_FI
French		fra		fr
	Belgium	fra	BEL	fr_BE
	Canada	fra	CAN	fr_CA
	Switzerland	fra	CHE	fr_CH
	France	fra	FRA	fr_FR
	Luxembourg	fra	LUX	fr_LU
Croatian		hrv		hr
	Croatia	hrv	HRV	hr_HR
Hungarian		hun		hu
	Hungary	hun	HUN	hu_HU
Icelandic		isl		is
	Iceland	isl	ISL	is_IS
Italian		ita		it
	Switzerland	ita	CHE	it_CH
	Italy	ita	ITA	it_IT
Lithuanian		lit		It
	Lithuania	lit	LTU	lt_LT
Latvian		lav		lv
	Latvia	lav	LVA	lv_LV
Macedonian		mkd		mk
	Macedonia	mkd	MKD	mk_MK
Dutch		nld		nl

Language	Language	Language Code	Country Code	Locale
	Belgium	nld	BEL	nl_BE
	Netherlands	nld	NLD	nl_NL
Norwegian		nor		no
	Norway	nor	NOR	no_NO
	Norway,Nynorsk	nor	NOR	no_NO_N
			(Variant: NY)	Y
Polish		pol		pl
	Poland	pol	POL	pl_PL
Portuguese		por		pt
	Brazil	por	BRA	pt_BR
	Portugal	por	PRT	pt_PT
Romanian		ron		ro
	Romania	ron	ROU	ro_RO
Russian		rus		ru
	Russia	rus	RUS	ru_RU
Slovak		slk		sk
	Slovakia	slk	SVK	sk_SK
Slovenian		slv		sl
	Slovenia	slv	SVN	sl_SI
Albanian		sqi		sq
	Albania	sqi	ALB	sq_AL
Swedish		swe		sv
	Sweden	swe	SWE	sv_SE
Turkish		tur		tr
	Turkey	tur	TUR	tr_TR
Ukrainian		ukr		uk
	Ukraine	ukr	UKR	uk_UA
English	United States	eng	USA	en_US
		eng		en

List of Available Locales	
21.4 Installation and Danlaymant Cuida for Missacoft Windows	

Appendix E: Database Reference

AuthMinder database contains a number of tables, some of which grow with increased usage. Some tables grow in direct relation to the number of users, while others grow in direct relation to the usage of the product. Also, a user accessing the system multiple times will cause the tables to grow. Because of restricted disk space, as a database administrator managing AuthMinder deployments, you might not want these tables to grow indefinitely. In this case, you can use the information in this appendix to trim some tables to manage your disk space and improve the database performance.

You must only trim the tables that capture transaction details, such as audit log information. You *must not* trim tables that capture user information, which is necessary to authenticate users.

Note: It is recommended that you make appropriate adjustments to the SQL databases based on the configuration and the need for reporting data. For example, deleting a large volume of data will adversely impact performance during the delete process. Depending on the size of the rollback segments, this may even cause the system to fail. It is also highly recommended that you archive older records and not delete them completely.

This appendix discusses the recommendations on database table replication, how to calculate the database size while you are planning to set up the database for AuthMinder, and lists all tables used by AuthMinder along with some trimming recommendations:

- AuthMinder Database Tables (see page 215)
- <u>Database Sizing Calculations</u> (see page 224)
- Database Tables Replication Advice (see page 225)
- <u>Database Tuning Parameters</u> (see page 230)

AuthMinder Database Tables

This section briefly explains all the database tables:

- Used by AuthMinder (see page 216)
- Used by Administration Console (see page 218)
- Used by User Data Service (see page 221)

Used by AuthMinder

The following table lists the database tables used by the AuthMinder Server:

Table Name	Description
ARWFADMINAUDITLOG	Contains the audit log information for the AuthMinder administration activities.
ARWFARCOTEMV	Contains the ArcotID OTP-EMV credentials for the users. It contains an individual entry for each user.
ARWFARCOTEMVHISTORY	Contains all the ArcotID OTP-EMV credentials that are in the <i>reissue</i> state.
ARWFARCOTID	Contains the ArcotID PKI credentials for the users. It contains an individual entry for each user.
ARWFARCOTIDHISTORY	Contains all the ArcotID PKIs that are in the <i>reissue</i> state.
ARWFARCOTOTP	Contains the ArcotID OTP-OATH credentials for the users. It contains an individual entry for each user.
ARWFARCOTOTPHISTORY	Contains all the ArcotID OTP-OATH credentials that are in the <i>reissue</i> state.
ARWFAUTHAUDITLOG	Contains the audit log information for the authentication activities.
ARWFAUTHTOKENS	Contains the authentication tokens that are generated after a successful authentication. One entry is made in this table for every successful authentication irrespective of the type of token requested.
ARWFCONFIG	Contains the AuthMinder configuration information. The information in this table contains version information and therefore has multiple entries per configuration.
ARWFDBERRORCODES	Contains the database error codes that indicate the communication failure.
ARWFDBQUERIES	Contains the list of database queries used by the AuthMinder Server.
ARWFDISPLAYNAMES	Contains names and values of different keys used in AuthMinder.
ARWFGENERICCRED	Contains the information about the miscellaneous credentials of the user. For example, the credentials supported by custom APIs.

Table Name	Description
ARWFGENERICCREDHISTORY	Contains all the miscellaneous (for example, custom API supported) credentials that are in <i>re-issue</i> state.
ARWFINSTANCES	Contains information about all the instances of AuthMinder Servers that communicate with a specific database.
ARWFISSUANCEAUDITLOG	Contains the audit log information for the credential issuance activities.
ARWFMESSAGES	Contains the messages that are posted by the AuthMinder Server.
ARWFMODULEREGISTRY	Contains information about the internal modules of the AuthMinder Server and about the plug-ins.
ARWFOATH	Contains the OATH One-Time Password (OTP) credentials of the users. It contains an individual entry for each user.
ARWFOATHHISTORY	Contains all the OATH OTP credentials that are in re-issue state.
ARWFOATHTOKENREGISTRY	Contains the OATH token details such as, seed value, token ID, and token type.
ARWFORGACTIVECONFIG	Contains configuration mapping of the currently active organization. The information in this table contains version information and therefore has multiple entries per configuration.
ARWFORGCONFIG	Contains configuration mapping per organization. The information in this table contains version information and therefore has multiple entries per configuration.
ARWFOTP	Contains the One-Time Password (OTP) credentials for the users. It contains an individual entry for each user.
ARWFPASSWORD	Contains the user name-password credentials for the users. It contains an individual entry for each user.
ARWFPASSWORDHISTORY	Contains all the user-name password credentials that are in <i>re-issue</i> state.
ARWFPROTOCOLCONFIGURATIO N	Contains configuration of each listener port of the AuthMinder Server.
ARWFQNA	Contains the Question and Answer (QnA) credentials for the users. It contains an individual entry for each user.

Table Name	Description
ARWFQNAHISTORY	Contains all the question and answer credentials that are in <i>re-issue</i> state.
ARWFSEQUENCE	Contains information about sequences used for version configurations.
ARWFSSLTRUSTSTORE	Contains the SSL root certificates that are trusted by the AuthMinder Server.
ARWFSVRMGMTAUDITLOG	Contains the audit log information for the server management activities.
ARWFUNIQUEFIELDS	Enforces uniqueness of certain fields in the configuration. For example, a RADIUS client IP address cannot be used by two organizations.
ARWFVERIFIEDCHALLENGES	Contains information about the challenges for which the ArcotID PKI signature is successfully verified. An entry is made for successful ArcotID PKI authentication, provided No Replay for challenge is turned on. It is turned off by default.

Used by Administration Console

The following table lists all database tables used by the Administration Console:

Table Name	Description
ARADMINAUDITTRAIL	Stores administrator activity audit.
ARADMINAUTHTOKEN	Stores the tokens that Administration Console uses for pluggable authentication. Every time you log in to the Console by using password, a token is internally generated after password match and stored in this table.
ARADMINBASICAUTHPWDHISTO RY	Stores the last <i>n</i> occurrences of password of all administrators in all organizations that use Basic Authentication (for administrators) to log in to the Administration Console. This information is stored to prevent password reuse.
ARADMINBASICAUTHUSER	Stores the basic authentication credentials of all administrators in all organizations that use Basic Authentication (for administrators) to log in to the Administration Console.
ARADMINCONFIG	Stores the Administration Console configurations.

Table Name	Description
ARADMINCUSTOMROLE	Stores the configurations for all custom-defined roles.
ARADMINMANAGEROLE	Stores the list of roles that a specified role can manage.
ARADMINMAP	Stores the information of the AuthMinder Server instance, which is entered as a key-value pair.
ARADMINPAFCONFIG	Stores the authentication configurations for all administrators in all organizations in the system.
ARADMINPREDEFINEDROLE	Stores the role information for all supported administrators.
ARADMINPWDPOLICY	Stores the details of password policies for all administrators in all organizations.
ARADMINROLEPRIVILEGE	Stores the mapping of all administrative actions (or tasks) supported by the Administration Console, the scope of each task, and which role can perform the task.
ARADMINSCOPE	Stores the list of organizations over which each administrator has control (scope).
ARADMINSCOPEALL	Stores the list of all administrators who have control (scope) over <i>all</i> the existing organizations in the system.
ARADMINSUPPORTEDAUTHMEC H	Stores the information about all supported authentication mechanisms to log in to the Administration Console.
ARADMINSUPPORTEDTIMEZONE	Stores the list of all available time zones. Note: This is an internal table.
ARADMINTURNEDOFFPRIVILEGE	Stores the list of the privileges that are not available for the given custom role.
ARADMINTXID	Stores the information required to generate a unique ID for each transaction.
ARADMINUITAB	Stores the information about the tabs that are available and the order in which they are available in the Administration Console.
ARADMINUITASK	Stores the information about all the tasks that are available and the order in which they are available through the Administration Console.

Table Name	Description
ARADMINUITASKATTRIBUTES	Stores the details of the tasks that are displayed, when the first-level and the second-level tabs in the Administration Console are clicked. These tasks are referred to as landing pages.
ARADMINUITASKCONTAINER	Stores the information related to available <i>task</i> containers. A task container can either be a second-level tab ID or the task group in the Administration Console.
ARADMINUSER	Stores the detailed information (such as organization to which they belong, current status, time zone, locale, last login time) of all existing administrators.
ARADMINUSER_ARCHIVE	Stores the information related to all deleted users.
ARADMINWIZARDTASK	Stores the information about all the tasks that can be performed by using the Bootstrap Wizard.
ARCMNBULKOPERATION	Stores information related to all supported bulk operations that include uploading users and uploading user accounts.
ARCMNBULKOPERATIONATTRIB UTE	Stores attributes for all bulk operations in the ARCMNBULKOPERATION table.
ARCMNBULKREQUEST	Stores details (such as organization name, Request ID, status of the request, data uploaded, and operation) for each bulk-upload request.
ARCMNBULKTASKPARAM	Stores the name and the value of each attribute for each task supported in the system.
ARCMNBULKUPLOADTASK	Stores the status of each task for every bulk-upload request.
ARCMNCACHEREFRESH	Stores cache-related housekeeping information that indicates whether the Administration Console needs to be refreshed or not.
ARCMNCONFIG	Stores common Administration Console configuration information. Some of these include whether Bootstrap is complete, whether the cache refresh is automatic or manual, whether attribute encryption is enabled, and whether the bulk upload feature is enabled or not.

Table Name	Description
ARCMNDBERRORCODES	Stores vendor-specific database error codes and SQL state values that signify whether the database is down or non-responsive. This information is used by the system to decide if database should be failed over, in case a backup database is configured.
ARCMNMAPDATATYPE	Stores CA product-specific information that the Administration Console uses for rendering the Console pages.
ARCMNKEY	Stores the key configurations used while creating or updating organizations.
ARPFCMNCACHEREFRESHEVENT	Stores details of all cache refresh events for all instances in the system.
ARPFCMNCACHEREFRESHSCOPE	Stores information about all organizations that will be affected if a server cache refresh event occurs.
ARPFCMNCACHEREFRESHSTATU S	Stores the status of each cache refresh event for every instance for which it was triggered.
ARPFCMNINSTANCE	Stores detailed information for all AuthMinder Server instances configured in the system. This also includes the last time the instance was refreshed.
ARPFCMNORGCONFIGDATA	Stores configuration details for each organization. This includes global configurations that can be, typically, overridden at the organization-level.
ARPFCMNORGCONFIGSTATE	Stores the status of each assigned configuration from the ARPFCMNORGCONFIGDATA table.
ARPFCMNPRIVILEGEMAPPING	Stores the details of each privilege available through the Administration Console.
ARREPORTTABLES	Stores the information about the reports that are generated using Administration Console.
ARSEQUENCETABLE	Simulates sequences using stored procedures. Note: This table is used <i>only</i> by MS SQL Server.

Used by User Data Service

The following table explains the database tables used by UDS:

Table Name	Description
ARUDSACCOUNTTYPE	Stores the details of all account types that are configured in the system.

Table Name	Description
ARUDSATTRMAP	Stores the configuration details that describe the field names of custom attributes for accounts, specific to each organization.
ARUDSAUTHSESSION	Stores authentication session details for currently active sessions. If this table is not replicated, then active authentication sessions can be lost.
ARUDSCALLOUT	Stores user-specific Callout configurations. These Callouts are called, if configured, for specific events, such as user creation and update.
ARUDSCALLOUTINTERNAL	Stores the configuration information (SDK method to be invoked), for Callouts when a delete event with cascade effect is triggered or enabled.
ARUDSCALLOUTINTERNALPARA MS	Stores the details, such as parameters and types specific to internal Callouts.
ARUDSCALLOUTPARAM	Stores the details, such as parameters and types specific to external Callouts.
ARUDSCONFIG	Stores the UDS configuration parameters and their values.
ARUDSCONFIGAUDITLOG	Stores the audit log information for the User Data Source (UDS) operations and their return status.
ARUDSCONTACTTYPE	Stores additional contact information (such as secondary email and telephone number) that can be configured at the organization or global level.
ARUDSCUSTOMATTREXT	Stores the additional user account custom attributes. By default, up to 10 user account custom attributes are stored in the ARUDSUSERACCOUNT table. Any additional attributes (after the first 10) are stored in this table.
ARUDSCUSTOMATTREXT_ARCHI VE	Stores the archived information related to user account custom attributes when a user account is deleted.
ARUDSLDAPREPOSITORYCONFIG	Stores the LDAP Repository configurations, such as LDAP host and port details.
ARUDSORGANIZATION	Stores organization definitions, their attributes and repository connectivity details.
ARUDSORGANIZATIONAUDITLO G	Stores detailed organization-specific UDS audit logging information.

ARUDSORGREPOATTRIBUTES ARUDSORGSECUREATTRIBUTES	Stores the organization-specific repository mapping information. For example, if you are using LDAP as the user repository, then a CA attribute (say FNAME) might be mapped to a corresponding LDAP attribute (say GIVENNAME). Stores organization-specific attributes that need to be encrypted, such as PII fields. Note: These attributes are configured using the Administration Console.
ARUDSORGSECUREATTRIBUTES	repository, then a CA attribute (say FNAME) might be mapped to a corresponding LDAP attribute (say GIVENNAME). Stores organization-specific attributes that need to be encrypted, such as PII fields. Note: These attributes are configured using the Administration Console.
ARUDSORGSECUREATTRIBUTES	be encrypted, such as PII fields. Note: These attributes are configured using the Administration Console.
	Administration Console.
ARUDSREPOCLONESTATUS	Stores the status of temporary cloning of user information from an external repository (such as LDAP) to the ARUDSREPOSITORYUSER table.
ARUDSREPOSITORYTYPES	Stores the definitions of all repositories supported by UDS.
ARUDSREPOSITORYUSER	Temporarily stores user information from an external repository (such as LDAP) to increase performance.
	This is typically done when user data for a large number of users must be retrieved from the external repository.
ARUDSRESOURCESCOPE	Stores the resource-to-organization mapping.
	In other words, this table specifies which resource is applicable for which organizations. For example, specific account types might be applicable only for specific organizations.
ARUDSRESOURCESCOPEALL	Stores the resource-to-organization mapping. However, it is different from the ARUDSRESOURCESCOPE table, because it specifies which resource is applicable for <i>all</i> organizations.
ARUDSSECUREATTRIBUTES	Stores information related to attributes that need to be encrypted, such as PII fields. Note: These attributes are configured using the
	Administration Console.
ARUDSUSER	Stores user details and attributes of all users who belong to the organization.
ARUDSUSER_ARCHIVE	Stores user details for all user accounts that have been deleted from the system.
ARUDSUSERACCOUNT	Stores user account information for specific users.

Table Name	Description
ARUDSUSERACCOUNT_ARCHIVE	Stores user account information for all user accounts that have been deleted from the system.
ARUDSUSERATTRIBUTE	Stores all user attribute definitions. This table is expected to change rarely, only when new user attributes are added by individual products.
ARUDSUSERAUDITLOG	Stores user operation-specific detailed audit logging information.
ARUDSUSERCONTACT	Stores the secondary contact information (such as email or telephone numbers) for users.
ARUDSUSERCONTACT_ARCHIVE	Stores the secondary contact information (such as email or telephone numbers) for the user accounts that have been deleted from the system.

Database Sizing Calculations

This section helps the database administrator to calculate the approximate size of the database that has to be set up for AuthMinder.

Denotations Used in Sample Calculations

The following denotations are used in the calculations:

- Number of users = N
- Average number of transactions per day = T
- Computation timeframe (in days) = D

Value Assumptions

The following assumptions have been made for calculation purposes:

- Number of users (N) = 1,000,000 (one million)
- Average number of transactions per day (T) = 24,000
- Computation timeframe (**D**) = 90 days

Sample Calculation Based on Assumptions

Considering the figures assumed in section, <u>"Value Assumptions"</u> (see page 224) the final requirement should be:

- Based on total number of users, the database size = (21 * N) KB
- Based on daily activity, the database size = (T * D * 5) KB

Database Tables Replication Advice

This section provides information on how frequently the tables must be replicated between the primary and the backup databases. It covers the following topics:

- <u>Tables That Need Real-Time Synchronization</u> (see page 225)
- <u>Tables That Need Periodic Synchronization</u> (see page 226)
- <u>Tables That Do Not Need Synchronization</u> (see page 229)

Tables That Need Real-Time Synchronization

The following table lists the database tables that need real-time synchronization between the primary and the backup databases. This category mainly includes the tables that contain user-related information and this data is required for authentication, therefore you must perform real-time synchronization of these tables.

AuthMinder Component	Table
Administration Console	ARADMINAUDITTRAIL
	ARADMINBASICAUTHUSER
	ARADMINSCOPE
	ARADMINSCOPEALL
	ARADMINUSER
	ARADMINTXID
	ARPFCMNINSTANCE
	ARSEQUENCETABLE
User Data Service	ARUDSORGANIZATION
	ARUDSORGREPOATTRIBUTES
	ARUDSORGSECUREATTRIBUTES
	ARUDSLDAPREPOSITORYCONFIG

AuthMinder Component	Table
	ARUDSACCOUNTTYPE
	ARUDSRESOURCESCOPE
	ARUDSRESOURCESCOPEALL
	ARUDSATTRMAP
	ARUDSCONTACTTYPE
	ARUDSUSER
	ARUDSUSERACCOUNT
	ARUDSCUSTOMATTREXT
	ARUDSAUTHSESSION
	ARUDSUSERCONTACT
	ARUDSREPOSITORYUSER
WebFort Server	ARWFARCOTEMV
	ARWFARCOTID
	ARWFARCOTOTP
	ARWFAUTHTOKENS
	ARWFINSTANCES
	ARWFGENERICCRED
	ARWFOATH
	ARWFOTP
	ARWFQNA
	ARWFPASSWORD
	ARWFVERIFIEDCHALLENGES

Tables That Need Periodic Synchronization

The following table lists the database tables that need periodic synchronization between the primary and the backup databases. These database tables are synchronized when there is any change in the configurations.

Component		Table
Ad	ministration Console	ARADMINCONFIG

Component	Table
	ARADMINCUSTOMROLE
	ARADMINMAP
	ARADMINPAFCONFIG
	ARADMINPWDPOLICY
	ARADMINBASICAUTHPWDHISTORY
	ARADMINTURNEDOFFPRIVILEGE
	ARADMINCACHEREFRESH
	ARADMINAUDITTRAIL
	ARADMINUSER_ARCHIVE
	ARADMINMANAGEROLE
	ARADMINROLEPRIVILEGE
	ARPFCMNORGCONFIGDATA
	ARPFCMNORGCONFIGSTATE
	ARPFCMNCACHEREFRESHSTATUS
	ARPFCMNCACHEREFRESHEVENT
	ARPFCMNCACHEREFRESHSCOPE
	ARCMNBULKTASKPARAM
	ARCMNBULKUPLOADTASK
	ARCMNBULKREQUEST
	ARCMNBULKOPERATIONATTRIBUTE
	ARCMNBULKOPERATION
UDS	ARUDSUSERAUDITLOG
	ARUDSORGANIZATIONAUDITLOG
	ARUDSCONFIGAUDITLOG
	ARUDSCONFIG
	ARUDSREPOSITORYTYPES
	ARUDSUSERATTRIBUTE
	ARUDSUSERACCOUNT_ARCHIVE
	ARUDSCUSTOMATTREXT_ARCHIVE

Component	Table
	ARUDSUSER_ARCHIVE
	ARUDSUSERCONTACT_ARCHIVE
	ARCMNCONFIG
	ARUDSREPOCLONESTATUS
	ARUDSCALLOUTINTERNAL
	ARUDSCALLOUTINTERNALPARAMS
	ARUDSCALLOUT
	ARUDSCALLOUTPARAM
WebFort	ARWFADMINAUDITLOG
	ARWFARCOTEMVHISTORY
	ARWFARCOTIDHISTORY
	ARWFARCOTOTPHISTORY
	ARWFAUTHAUDITLOG
	ARWFCONFIG
	ARWFGENERICCREDHISTORY
	ARWFISSUANCEAUDITLOG
	ARWFMODULEREGISTRY
	ARWFOATHHISTORY
	ARWFOATHTOKENREGISTRY
	ARWFORGACTIVECONFIG
	ARWFORGCONFIG
	ARWFPASSWORDHISTORY
	ARWFPROTOCOLCONFIGURATION
	ARWFQNAHISTORY
	ARWFSEQUENCE
	ARWFSSLTRUSTSTORE
	ARWFSVRMGMTAUDITLOG
	ARWFUNIQUEFIELDS

Tables That Do Not Need Synchronization

The following table lists the database tables that do not need any synchronization between the primary and the backup databases:

Component	Table		
Administration Console	ARCMNDBERRORCODES		
	ARADMINAUTHTOKEN		
	ARADMINMANAGEROLE		
	ARADMINPREDEFINEDROLE		
	ARADMINSUPPORTEDAUTHMECH		
	ARADMINSUPPORTEDTIMEZONE		
	ARADMINUITAB		
	ARADMINUITASK		
	ARADMINUITASKATTRIBUTES		
	ARADMINUITASKCONTAINER		
	ARADMINWIZARDTASK		
	ARCMNMAPDATATYPE		
	ARCMNCACHEREFRESH		
	ARPFCMNPRIVILEGEMAPPING		
	ARREPORTTABLES		
User Data Service	ARUDSSECUREATTRIBUTES		
WebFort	ARWFDBERRORCODES		
	ARWFDBQUERIES		
	ARWFDISPLAYNAMES		
	ARWFMESSAGES		

Database Tuning Parameters

The following table lists the common parameters that you can use to tune the connection between AuthMinder Server and the database. These configurations are made using the Instance Management screen of the Administration Console.

Field	Description	
Minimum Connections	Enter the minimum number of connections that will be created between AuthMinder Server and the database when the server starts up.	
Maximum Connections	Enter the maximum number of connections that can be created between the AuthMinder Server and the database.	
	Note: You must set this value depending on the maximum connections that the database supports, because this overrides the MaxConnections parameter. See your database vendor documentation for more information.	
Increment Connections By	Enter the number of connections that will be added to the existing connections at a time, when the need arises.	
	Important! The total number of connections cannot exceed the maximum number of connections.	
Monitor Thread Sleep Time (in Seconds)	Enter the time for which the monitoring thread will sleep between successive heartbeat checks for all databases.	
Monitor Thread Sleep Time in Fault Condition (in Seconds)	Enter the interval at which the database monitor thread will check the health of the connection pool in case of faulty database connections.	
Log Query Details	Enable this option if you want to log the details for all database queries.	
Monitor Database Connectivity	Enable checking of the pools proactively in the database monitor thread.	
Auto-Revert to Primary	Enable this option if you want server to switch from the backup database to the primary database when the primary database becomes available again after a failover condition.	

Appendix F: Configuring Alternate Schema for IBM DB2 Universal Database

IBM DB2 Universal Database (UDB) enables you to use a different schema other than the default schema. This schema is referred to as alternate schema. This appendix explains the steps that you must perform to set up and use the alternate schema. It covers the following topics:

- <u>Creating Schema</u> (see page 232)
- Editing Configuration File (see page 233)
- Configuring ODBC DSN (see page 234)

Creating Schema

To set up the alternate schema, perform the following steps:

1. Log in to IBM DB2 UDB database.

If the login name is arcotuser, then the default schema for this user will be arcotuser.

2. Create an alternate schema.

For example, the alternate schema can be arcotalternateuser.

Book: See the IBM DB2 UDB database documentation for more information about creating the alternate schema.

 Run the following queries to set up the alternate schema (arcotalternateuser): set current schema ARCOTALTERNATEUSER set current path ARCOTALTERNATEUSER

Note: The alternate schema *must* be specified in upper case.

- 5. Run the scripts in the following order:
 - a. arcot-db-config-for-common-2.0.sql
 - b. arcot-db-config-for-webfort-7.1.sql

Note: If you encounter any error while executing the scripts, then check with your database administrator whether you have the required privileges.

6. Ensure that the database user name (arcotuser) and the corresponding password are set in the securestore.enc file. If not, then use the DBUtil tool to insert the database user name and password.

See the "Tools for System Administrators" chapter in *CA AuthMinder Installation* and *Deployment Guide* for more information.

Editing Configuration File

If you plan to use alternate schema for IBM DB2 UDB, edit the arcotcommon.ini file to configure these settings. Perform the following steps to do so:

- Navigate to the following locations:
 <install_location>\Arcot Systems\conf
- 2. Open the arcotcommon.ini file in a text editor.
- 3. In the [arcot/db/primarydb] and [arcot/db/backupdb] sections, set the following parameters:
 - URL.1: Set this parameter to the JDBC data source, as follows: jdbc:db2://<server>:<database_port>/<database>:currentSchem a=<alternateID>;currentFunctionPath="SYSIBM","SYSFUN","SYSP ROC","SYSIBMADM","<alternateID>";

For example

jdbc:db2://db2server:50000/arcotdb:currentSchema=ARCOTALTER
NATEUSER;currentFunctionPath="SYSIBM","SYSFUN","SYSPROC","S
YSIBMADM","ARCOTALTERNATEUSER";

- **Username.1**: Set this parameter to the user name that is used to access the database. For example, arcotuser.
- 4. Save and close the arcotcommon.ini file.

Configuring ODBC DSN

AuthMinder Server uses the Open Database Connectivity (ODBC) to connect to the database. Ensure to edit the ODBC settings for AuthMinder Server to connect to the database using the alternate schema.

To edit the ODBC settings, perform the following steps:

- 1. Log in to the system where you have installed the AuthMinder Server.
- 2. Open the ODBC Data Source Manager.
- 3. Activate the **System DSN** tab.
- 4. Select the data source used by AuthMinder to configure for SSL.
- 5. Click Configure.

The ODBC DB2 Wire Protocol Driver Setup dialog box appears.

- 6. Activate the **Advanced** tab, set the following parameters:
 - Alternate ID: The alternate schema created in Step 2.
 - Current Function Path: Set this field to SYSIBM, SYSFUN, SYSPROC, SYSIBMADM, Alternate_ID>. For example, SYSIBM, SYSFUN, SYSPROC, SYSIBMADM, ARCOTALTERNATEUSER.
- 7. Click **OK** to save the configurations.

Appendix G: Default Port Numbers and URLs

This appendix lists the default port numbers that AuthMinder uses. It contains the following sections:

- <u>Default Port Numbers</u> (see page 235)
- <u>URLs for AuthMinder Components</u> (see page 236)

Default Port Numbers

AuthMinder supports four protocols that are configured at different ports. The following table lists the default port numbers that are used by AuthMinder:

Protocol	Default Port Number	Default Status	Description
Server Management Web Services	9743	Enabled	This protocol is used for managing AuthMinder server. Administration Console and arwfutil clients communicate using this port for server management activities.
Transaction Web Services	9744	Enabled	This protocol is used by the Authentication and the Issuance Web services clients to connect to AuthMinder Server.
Transaction Native	9742	Enabled	This is a proprietary, binary protocol used by AuthMinder for the purpose of authentication and credential issuance. This port is used by Authentication and Credential Management SDKs.
Administration Web Services	9745	Enabled	This protocol is used to create and manage configurations, such as profiles, policies, SAML, and ASSP configurations.

Protocol	Default Port Number	Default Status	Description
RADIUS	1812	Disabled	This is used to support the Remote Authentication Dial In User Service (RADIUS) protocol. When configured to support RADIUS protocol, AuthMinder server acts as a RADIUS server.
ASSP	9741	Disabled	This protocol is used with Adobe® Reader and Adobe® Acrobat® to authenticate user for server-side digital signing of the PDF documents.
Transaction HTTP	9746	Disabled	This protocol is used to transfer the HTTP request packets from the HTTP Client to the AuthMinder Server.

If some other service is already running on the default port, then you must set a new port for the protocols, as follows:

■ To set a new port number for Server Management Web Services protocol, use arwfserver tool.

Book: See the "Tools for System Administrators" chapter in *CA AuthMinder Administration Guide* for more information about the tool.

■ **To set a new port number for other protocols**, use Protocol Configuration screen in the Administration Console.

Book: See the "Managing AuthMinder Server Instances" chapter in *CA AuthMinder Administration Guide* for more information about changing the port numbers.

URLs for AuthMinder Components

Use the URLs listed in the following table to access AuthMinder components after installation:

Component or Service	URL
Administration Console URL for Master Administrator	http:// <apphost>:<app_server_port>/arcotadmin/masteradminlogin.htm</app_server_port></apphost>
	Note: Apphost refers to the system where Administration Console is deployed.
	App_Server_Port refers to the port number of the application server on which the Administration Console is deployed.

Component or Service	URL
Administration Console URL for other administrators	http:// <apphost>:<app_server_port>/arcotadmin/a dminlogin.htm</app_server_port></apphost>
	Note: Apphost refers to the system where Administration Console is deployed. App_Server_Port refers to the port number of the application server on which the Administration Console is deployed.
Organization Management Web Service	http:// <apphost>:<app_server_port>/arcotuds/services/ArcotUserRegistryMgmtSvc</app_server_port></apphost>
	Note: Apphost refers to the system where User Data Service (UDS) Console is deployed. App_Server_Port refers to the port number of the application server on which UDS is deployed.
User Management Web Services	http:// <apphost>:<app_server_port>/arcotuds/services/ArcotUserRegistrySvc</app_server_port></apphost>
	Note: Apphost refers to the system where User Data Service (UDS) Console is deployed. App_Server_Port refers to the port number of the application server on which UDS is deployed.
Configuration Registry Web Service	http:// <apphost>:<app_server_port>/arcotuds/services/ArcotConfigRegistrySvc</app_server_port></apphost>
	Note: Apphost refers to the system where User Data Service (UDS) Console is deployed. App_Server_Port refers to the port number of the application server on which UDS is deployed.
Credential Management Web Services	http:// <apphost>:<protocol_port>/WebFortIssuance Svc</protocol_port></apphost>
	Note: Apphost refers to the system where AuthMinder Server is installed. Protocol Port refers to the port number of the Transaction Web Services protocol. By default, this value is 9744.
Administration Web Service	http:// <apphost>:<protocol_port>/ArcotWebFortAd minSvc</protocol_port></apphost>
	Note: Apphost refers to the system where AuthMinder Server is installed. Protocol Port refers to the port number of the Administration Web Services protocol. By default, this value is 9745.

Component or Service	URL
Authentication Web Services	http:// <apphost>:<protocol_port>/WebFortAuthSvc Note: Apphost refers to the system where AuthMinder Server is installed. Protocol Port refers to the port number of the Transaction Web Services protocol. By default, this value is 9744.</protocol_port></apphost>
Bulk Operation Web Service	http:// <apphost>:<protocol_port>/WebFortBulkOpe rationsSvc Note: Apphost refers to the system where AuthMinder Server is installed. Protocol Port refers to the port number of the Administration Web Services protocol. By default, this value is 9745.</protocol_port></apphost>
Sample Application	http:// <apphost>:<app_server_port>/webfort-7.1-sa mple-application/ Note: App_Server_Port refers to the port number of the application server on which the Sample Application is deployed.</app_server_port></apphost>

Appendix H: Configuring Application Server

This appendix outlines the steps that you must perform to set up the database connection pooling on the application server, where the AuthMinder components will be deployed, lists the steps for setting up connection pool used by LDAP, enable Apache Tomcat security manager. It covers the following topics:

- Enabling Database Connection Pooling (see page 239)
- Enabling LDAP Connection Pooling (see page 246)
- Enabling Apache Tomcat Security Manager (see page 251)

Enabling Database Connection Pooling

Typically, accessing the database might not be a bottleneck, but setting up a new connection for each request can be an overhead and can bring down the performance of the system. By creating a database connection pool, you can avoid potential bottlenecks. This is because connection pooling helps you avoid the overhead of making a new database connection every time a AuthMinder component that is deployed on application server requires access to the database.

This section covers the configuration steps for the following application servers:

- Apache Tomcat (see page 240)
- <u>IBM WebSphere</u> (see page 242)
- Oracle WebLogic (see page 244)
- JBoss (see page 245)

Apache Tomcat

This section provides the steps to enable Apache Tomcat for JNDI-based database operations.

To create a JNDI connection in Apache Tomcat, perform the following steps:

1. Install the Apache Tomcat application server and test the installation using the following URL:

http://localhost:8080/

The preceding URL must open the Apache Tomcat home page.

- 2. Open the server.xml file present in the <TOMCAT-HOME>/conf directory.
- 3. Collect the following information that is required to define a data source:
 - JNDI Name

The JNDI name that is used by the CA components. This name must match with the AppServerConnectionPoolName. *N* in arcotcommon.ini (without the java:comp/env/ prefix).

User ID

The database user ID.

Password

The database password.

■ JDBC Driver Class

The JDBC driver class name. For example, oracle.jdbc.driver.OracleDriver.

■ JDBC URL

The JDBC URL for the database server. For example, if you are using Oracle driver, then URL would be: jdbc:oracle:thin:@<server>:<database_port>:<sid>.

4. To define the datasource within the *GlobalNamingResources* tag, add the following entry:

```
<Resource name="SampleDS"
auth="Container"
type="javax.sql.DataSource"
factory="org.apache.tomcat.dbcp.dbcp.BasicDataSourceFactory"
username="<userid>"
password="<password>"
driverClassName="<IDBC driver class>"
url="<jdbc-url>"
maxWait="30000"
maxActive="32"
maxIdle="8"
initialSize="4"
timeBetweenEvictionRunsMillis="300000"
minEvictableIdleTimeMillis="300000"/>
```

- 5. Open the context.xml file present in the <TOMCAT-HOME>/conf directory.
- 6. To define the datasource within the <Context> tag, add the following entry: <ResourceLink global="SampleDS" name="SampleDS" type="javax.sql.DataSource"/>
- 7. Copy the following database connection pooling (DBCP) dependencies to <TOMCAT-HOME>/common/lib directory.
 - commons-dbcp-1.2.2.jar
 - ojdbc14-10.2.0.1.0.jar (for Oracle database)
 - sqljdbc.jar (Microsoft JDBC driver for MS SQL Server 2005 version 1.2.2828)
 - db2jcc-9.5.jar (for DB2 database)
 - mysql-connector-java-5.1.22-bin.jar (for MySQL)

IBM WebSphere

This section provides the steps to enable IBM WebSphere for JNDI-based database operations:

Perform the following steps to configure IBM WebSphere for deploying Java-dependant components of AuthMinder

- 1. Log in to WebSphere Administration Console.
- 2. Click **Resources** and expand the **JDBC** node.
- 3. Click JDBC Providers.

The JDBC Providers page appears.

4. In the **Preferences** section, click **New**.

The Create a new JDBC Provider page appears.

5. Perform the following steps to create a JDBC provider:

Note: See

http://publib.boulder.ibm.com/infocenter/wasinfo/v6r1/topic/com.ibm.websphere _base.iseries.doc/info/iseries/ae/tdat_ccrtprov.html for more information on JDBC providers.

- a. Specify the **Database Type** and **Provider Type**.
- b. Select **Connection pool data source** from the **Implementation Type** drop-down list
- c. Enter a **Name** for the JDBC provider. You can also enter a **Description** for the JDBC provider.
- d. Click Next.

The Enter database class path information screen appears.

- e. Enter the absolute path for the JAR file.
- f. Click Next.

The Summary screen appears.

- g. After reviewing the summary of the information that you have entered, click Finish.
- 6. Set the CLASSPATH for the JDBC provider that you created in Step 5.
 - a. Click Resources and expand the JDBC node.
 - b. Click JDBC Providers.

The JDBC Providers page appears.

- c. Click the JDBC provider that you created in Step 5.
- d. Set the Class Path for the JDBC JAR.
- e. Click **Apply** to save the changes.

- 7. Create a Data Source, as follows:
 - a. Go to Resources, and then click JDBC.
 - b. Under JDBC, open Data Sources and click New. Perform the following steps to create a data source:
 - c. Specify the **Data source name**.
 - d. Specify the JNDI name. This name must match with the AppServerConnectionPoolName. N in arcotcommon.ini.
 - e. Click Next.
 - f. Select an existing JDBC provider created in Step 3.
 - g. Click Next.

The Enter database-specific properties for the data source screen appears.

- h. Depending on the database, enter the following information:
 - **■** For Oracle:

Specify the **Value** for JDBC URL. This URL would be of the following type: jdbc:oracle:thin:@<server>:<oracle_port>:<sid>
Select the **Data store helper class name**.

■ For MS SQL Server:

jdbc:sqlserver://<server>:<sql_port>;databaseName=<database
name>;selectMethod=cursor

■ For IBM DB2:

jdbc:db2://<server>:<db2_port>/<database>

For MySQL:

jdbc:mysql://<server>:<mysql_port>/<database>

i. Click Next.

The Setup Security aliases screen appears.

- j. Click **Next** to view the Summary screen, and then click **Finish**.
- 8. Click the data source created in Step 7.
- 9. In the Related Items section, click JAAS J2C authentication data.
- 10. Click **New** to create a new credential.
- 11. Enter login credentials that are used to connect to the database and save the credential.
- 12. Click **Apply**, and then click **OK** to save the changes made.
- 13. Click **Data Sources** and select the data source that you created in Step 7.
- 14. Under Security Settings -> Component-managed authentication alias, select the JAAS credential that you created in Step 11 and click Apply, and then OK.

- 15. Click **Data Sources** and select the check box for the data source you created in Step 7.
- 16. Click **Test connection** to verify that you have specified the connection correctly.

Note: This test only checks the connection to the database server, not necessarily the correct definition of the data source.

Oracle WebLogic

This section provides the steps to enable Oracle WebLogic for JNDI-based database operations.

Perform the following steps to create a data source in Oracle WebLogic:

- 1. Log in to WebLogic Administration Console.
- 2. Click the Lock & Edit button, if it is not done.
- 3. Go to Resources, and then click JDBC.
- 4. Under **JDBC**, open **Data Sources** and click **New** to create a new data source. Perform the following steps to create a data source.
- 5. Set the following JNDI and the database information:
 - a. Set **Name** = ArcotDB.
 - b. Set **JNDI Name** = ArcotDB.
 - c. Choose a suitable **Database Type**, for example Oracle.
 - d. Select a suitable **Database Driver**, for example Oracle Thin Driver.
- 6. Click **Next**, retain the default values and click **Next** again.
- 7. In the Connection Properties page, set the database details. The values mentioned here are for Oracle database:
 - Database: SID or service name of the DB server
 - Hostname: The DB server's IP address or host name
 - Port: 1521 or any other port the DB server is running
 - Database User Name
 - Database Password / Confirm Password
- 8. Click **Test Configuration** to verify that you have specified the correct database parameters.
- 9. Click **Next** and set the data source target to the preferred WebLogic server instance.
- 10. Click **Finish** to return to the data source list page.
- 11. Click **Activate** to enable the data source settings.

JBoss

This section provides the steps to enable JBoss for JNDI-based database operations.

- 1. Navigate to the location where you have deployed the WAR files. For example: <JBOSS HOME>/server/default/deploy
- 2. Create a data source descriptor file named arcotdatabase-ds.xml.
- 3. Collect the following information that is required to define a data source in the arcotdatabase-ds.xml file:
 - JNDI Name

The JNDI name used by the CA components. This name must match with the AppServerConnectionPoolName. *N* in arcotcommon.ini (without the java:comp/env/ prefix).

User ID

The database user ID.

Password

The database password.

JDBC Driver Class

The JDBC driver class name. For example, oracle.jdbc.driver.OracleDriver.

JDBC URL

The JDBC URL for the database server. For example, if you are using Oracle driver, then URL would be: jdbc:oracle:thin:<server>:<database_port>:<sid>.

Exception Sorter Class

Class that implements the org.jboss.resource.adapter.jdbc.ExceptionSorter interface, which determines whether the exception indicates a connection error.

Use this parameter for Oracle database *only*. Set it to org.jboss.resource.adapter.jdbc.vendor.OracleExceptionSorter.

- 4. Open the arcotdatabase-ds.xml in a text editor.
- 5. Add the following content:

```
<?xml version="1.0" encoding="UTF-8"?>
<datasources>
<local-tx-datasource>
<jndi-name>SampleDS</jndi-name>
<connection-url><jdbcurl></connection-url>
<driver-class><JDBC Driver class></driver-class>
<user-name><database_userid></user-name>
<password><database_password></password>
<exception-sorter-class-name></cr>

<p
```

</datasources>

6. Save and close the file.

Enabling LDAP Connection Pooling

This section covers the configuration steps for enabling LDAP connection pool for the following application servers:

- Apache Tomcat (see page 246)
- IBM WebSphere (see page 247)
- Oracle WebLogic (see page 247)
- <u>JBoss</u> (see page 250)

Apache Tomcat

To create an LDAP connection pool, perform the following steps:

 Install the Apache Tomcat application server and test the installation using the following URL:

http://localhost:8080/

The preceding URL must open the Apache Tomcat home page.

- 2. Navigate to the following location:
 - <TOMCAT-HOME>/conf
- 3. Open the catalina.properties file in a text editor.
- 4. Add the following entries to the file:
 - com.sun.jndi.ldap.connect.pool.protocol=plain ssl
 - com.sun.jndi.ldap.connect.pool.authentication=simple
 - com.sun.jndi.ldap.connect.pool.maxsize=64
 - com.sun.jndi.ldap.connect.pool.prefsize=32
 - com.sun.jndi.ldap.connect.pool.timeout=240000
 - com.sun.jndi.ldap.connect.pool.initsize=8
- 5. Save and close the file.
- 6. Restart the application server.

IBM WebSphere

Perform the following steps to create a LDAP connection pool:

- 1. Log in to WebSphere Administration Console.
- 2. Navigate to Servers -> Server Types -> WebSphere application servers.
- 3. The Application servers page appears.
- 4. Click the Server that you want to configure.
- 5. In the Server Infrastructure section, click Java and Process Management.
- 6. Click the Process Definition link.
- 7. In the Additional Properties section, click Java Virtual Machine.
- 8. In the Additional Properties section, click Custom Properties.
- 9. Click **New** to add custom properties.

The **General Properties** section appears.

10. Add the configurations listed in the following table as name-value pairs in the **General Properties** section. Repeat the process for each name-value pair.

Name	Value
com.sun.jndi.ldap.connect.pool.maxsize	64
com.sun.jndi.ldap.connect.pool.prefsize	32
com.sun.jndi.ldap.connect.pool.initsize	8
com.sun.jndi.ldap.connect.pool.timeout	240000
com.sun.jndi.ldap.connect.pool.protocol	plain ssl
com.sun.jndi.ldap.connect.pool.authentication	simple

- 11. Click Apply.
- 12. Restart WebSphere.

Oracle WebLogic

This section covers the following topics for enabling LDAP connection pool for Oracle WebLogic:

- Including LDAP Options in Startup Script (see page 248)
- Specifying LDAP Pool Options Using Managed Server (see page 250)

Including LDAP Options in Startup Script

This section provides the steps to include the LDAP connection pool parameters in WebLogic server startup script:

- 1. Log in to the system
- 2. Create a backup copy of the WebLogic Server startup script. This script is available at the following location: domain-name\bin\startWebLogic.cmd
- 3. Open the script in a text editor.
- 4. Add the following entries in the section that is used to start the WebLogic server.
 - -Dcom.sun.jndi.ldap.connect.pool.maxsize=64
 - -Dcom.sun.jndi.ldap.connect.pool.prefsize=32
 - -Dcom.sun.jndi.ldap.connect.pool.initsize=8
 - -Dcom.sun.jndi.ldap.connect.pool.timeout=240000
 - -Dcom.sun.jndi.ldap.connect.pool.protocol="plain ssl"
 - -Dcom.sun.jndi.ldap.connect.pool.authentication=simple

The following code snippet shows a sample script with LDAP connection pool parameters:

```
@REM START WEBLOGIC
echo starting weblogic with Java version:
%JAVA_HOME%\bin\java %JAVA_VM% -version
if "%WLS REDIRECT LOG%"=="" (
echo Starting WLS with line:
echo %JAVA_HOME%\bin\java %JAVA_VM% %MEM_ARGS% %JAVA_OPTIONS%
-Dweblogic.Name=%SERVER NAME%
-Djava.security.policy=%WL_HOME%\server\lib\weblogic.policy %PROXY_SETTINGS%
%SERVER CLASS%
%JAVA_HOME%\bin\java %JAVA_VM% %MEM_ARGS% %JAVA_OPTIONS%
-Dcom.sun.jndi.ldap.connect.pool.maxsize=64
-Dcom.sun.jndi.ldap.connect.pool.prefsize=32
-Dcom.sun.jndi.ldap.connect.pool.initsize=8
-Dcom.sun.jndi.ldap.connect.pool.timeout=240000
-Dcom.sun.jndi.ldap.connect.pool.protocol="plain ssl"
-Dcom.sun.jndi.ldap.connect.pool.authentication=simple
-Dweblogic.Name=%SERVER NAME%
-Djava.security.policy=%WL_HOME%\server\lib\weblogic.policy %PROXY_SETTINGS%
%SERVER CLASS%
) else (
echo Redirecting output from WLS window to %WLS_REDIRECT_LOG%
%JAVA_HOME%\bin\java %JAVA_VM% %MEM_ARGS% %JAVA_OPTIONS%
-Dweblogic.Name=%SERVER_NAME%
-Djava.security.policy=%WL HOME%\server\lib\weblogic.policy %PROXY SETTINGS%
%SERVER_CLASS% >"%WLS_REDIRECT_LOG%" 2>&1
```

- 5. Save the close the file.
- 6. Restart WebLogic server.

Specifying LDAP Pool Options Using Managed Server

- 1. Log in to WebLogic Administration Console.
- 2. Click the Lock & Edit button, if it is not done.
- 3. In the **Domain Structure** pane, Navigate to **Environment > Servers**.
- 4. Click the server you want to configure.
- 5. In the right pane, click **Server Start**.
- 6. In the **Arguments** field, include the following JVM options:
 - -Dcom.sun.jndi.ldap.connect.pool.maxsize=64
 - -Dcom.sun.jndi.ldap.connect.pool.prefsize=32
 - -Dcom.sun.jndi.ldap.connect.pool.initsize=8
 - -Dcom.sun.jndi.ldap.connect.pool.timeout=240000
 - -Dcom.sun.jndi.ldap.connect.pool.protocol="plain ssl"
 - -Dcom.sun.jndi.ldap.connect.pool.authentication=simple
- 7. Click **Save** and then **Activate Changes**.
- 8. Restart WebLogic server.

JBoss

Perform the following steps to create a LDAP connection pool:

- Navigate to the following location:
 <JBOSS_HOME>\server\<Profile>\deploy\
- 2. Open properties-service.xml file in a text editor.
- 3. Add the following properties to the <attribute name="Properties"> section:
 - com.sun.jndi.ldap.connect.pool.protocol=plain ssl
 - com.sun.jndi.ldap.connect.pool.authentication=simple
 - com.sun.jndi.ldap.connect.pool.maxsize=64
 - com.sun.jndi.ldap.connect.pool.prefsize=32
 - com.sun.jndi.ldap.connect.pool.timeout=240000
 - com.sun.jndi.ldap.connect.pool.initsize=8
- 4. Save and close the file.
- Restart JBoss.

Enabling Apache Tomcat Security Manager

Perform the following steps to enable Tomcat Security Manager:

- Navigate to the following Apache Tomcat installation location:
 *Tomcat_Home
- 2. Double-click the tomcat<version>w.exe file.

The Apache Tomcat Properties dialog box appears.

- 3. Activate the Java tab.
- 4. In the Java Options section, add the following entries:
 - -Djava.security.manager
 - -Djava.security.policy=<Tomcat_Home>\conf\catalina.policy
- 5. Click **Apply** to save the changes.
- 6. Click **OK** to close the Apache Tomcat Properties dialog box.
- 7. Navigate to the following Apache Tomcat installation location: <Tomcat_Home>\ conf\
- 8. Open catalina.policy file in a text editor.
- 9. Add the following code in the WEB APPLICATION PERMISSIONS section.

```
grant {
permission java.io.FilePermission
"${catalina.base}${file.separator}webapps${file.separator}arcotuds${file.sepa
rator}-", "read";
permission java.util.PropertyPermission "adb.converterutil", "read";
permission java.lang.RuntimePermission "accessDeclaredMembers";
permission java.security.SecurityPermission "putProviderProperty.BC";
permission java.security.SecurityPermission "insertProvider.BC";
permission java.security.SecurityPermission "putProviderProperty.SHAProvider";
permission java.io.FilePermission "${arcot.home}${file.separator}-",
"read,write";
permission java.net.SocketPermission "*:1024-65535", "connect,accept,resolve";
permission java.net.SocketPermission "*:1-1023", "connect,resolve";
};
```

10. Add the following section to grant permission for Administration Console (arcotadmin) and User Data Service (arcotuds).

```
grant codeBase "file:${catalina.home}/webapps/arcotuds/-" {
permission java.lang.RuntimePermission "getenv.ARCOT_HOME", "";
permission java.lang.RuntimePermission
"accessClassInPackage.org.bouncycastle.asn1.*";
permission java.security.AllPermission;
};
grant codeBase "file:${catalina.home}/webapps/arcotadmin/-" {
permission java.lang.RuntimePermission "getenv.ARCOT_HOME", "";
permission java.security.AllPermission;
```

};

- 11. Save and close the file.
- 12. Restart Apache Tomcat.

Appendix I: Deploying Administration Console on IBM WebSphere 7.0

If you plan to deploy Administration Console on IBM WebSphere 7.0, then perform the following steps:

- Navigate to the following location where the Administration Console WAR file is located:
 - <install_location>\Arcot Systems\java\webapps\
- Copy the arcotadmin.war file to a temporary directory. For example,
 C:\Arcot_temp\.
- 3. Extract the arcotadmin.war file contents.

Of the JARs that are extracted to the C:\Arcot_temp\arcotadmin\WEB-INF\lib\ directory, the following JARs are used to create the shared library in IBM WebSphere:

- axiom-api-1.2.10.jar
- axiom-impl-1.2.10.jar
- axis2-java2wsdl-1.5.2.jar
- backport-util-concurrent-3.1.jar
- commons-httpclient-3.1.jar
- commons-pool-1.5.5.jar
- axiom-dom-1.2.10.jar
- axis2-adb-1.5.2.jar
- axis2-kernel-1.5.2.jar
- commons-codec-1.3.jar
- commons-logging-1.1.1.jar
- log4j-1.2.16.jar
- axis2-transport-http-1.5.2.jar
- axis2-transport-local-1.5.2.jar
- 4. Log in to IBM WebSphere Administration Console.

- 5. Click Environment, and then click Shared Libraries.
 - a. From the Scope drop-down, select a valid visibility scope. The scope must include the target server/node on which the application is deployed.
 - b. Click New.
 - c. Enter the Name, for example, ArcotAdminSharedLibrary.
 - d. Specify the Classpath. Enter the path and file name for all the JAR files extracted in Step 3.
 - For example, C:/Arcot_temp/arcotadmin/WEB-INF/lib/axiom-api-1.2.10.jar
 - e. Click Apply to save the changes made.
- 6. Navigate to the following location where the Administration Console WAR file is located:
 - <install_location>\Arcot Systems\java\webapps\
- 7. Deploy arcotadmin.war in the application server.
- 8. Configure shared library, as follows:
 - a. Click Applications, Application Types, and then click WebSphere enterprise applications.
 - b. Click arcotadmin_war.
 - c. In the References section, click Shared library references.
 - d. Select arcotadmin_war and click Reference shared libraries.
 - Select the ArcotAdminSharedLibrary from the Available list and move it to the Selected list.
 - f. Click OK to save the configurations.
- 9. Configure the class loader order and policy as follows:
 - a. Click Applications, Application Types, and then click WebSphere enterprise applications.
 - b. Click arcotadmin_war.
 - c. Click Class loading and update detection link.
 - d. In the Class loader order section, select the Classes loaded with local class loader first (parent last) option.
 - e. In the WAR class loader policy section, select the Single class loader for application option.
 - f. Click OK to save the configurations.
- 10. Ensure that the application is restarted.

Appendix J: Troubleshooting AuthMinder Errors

This appendix describes the troubleshooting steps, which will help you resolve the errors that you might face while using AuthMinder. The troubleshooting topics are classified based on different AuthMinder components, as follows:

- <u>Installation Errors</u> (see page 256)
- <u>Database-Related Errors</u> (see page 261)
- AuthMinder Server Errors (see page 263)

Before you perform any troubleshooting tasks, check the AuthMinder log files to see if there were any errors. By default, all the log files are saved in the <install_location>\Arcot Systems\logs\ directory. The following table lists the default log file names of the AuthMinder components.

AuthMinder Component	File Name	Description
WebFort Server	arcotwebfortstartup .log	This file records all the start-up (or boot) actions. The information in this file is very useful in identifying the source of the problems if the AuthMinder service does not start up.
	arcotwebfort.log	This file records all requests processed by the server.
Administration Console	arcotadmin.log	This file records the Administration Console operations.
User Data Service	arcotuds.log	This file records the User Data Service operations.

Book: See appendix, "AuthMinder Logging" in the *CA AuthMinder Installation and Deployment Guide* for detailed information about the AuthMinder log files.

Installation Errors

Problem:

I do not find arcotadmin.war in <install_location>\Arcot Systems\java\webapps directory.

Cause:

The file may not have been created during installation.

Solution:

Perform the following steps to create the arcotadmin.war file:

- 1. Open command window.
- 2. Ensure ARCOT_HOME environment variable is set.
- 3. Navigate to <install_location>\Arcot Systems\tools\common\bundlemanger directory.
- 4. Run bundlemanager with the following command:

java -jar bundle-manager.jar

The preceding command generates the arcotadmin.war file in <install_location>\Arcot Systems\java\webapps directory.

Problem:

I cannot start the AuthMinder Server (Arcot WebFort Authentication Service). I see the following error in arcotwebfortstartup.log:

Failed to initialize DB Pool Manager

or

Data source name not found and no default driver specified

Cause:

The possible causes for this issue are:

- The DSN for your database was not created as System DSN.
- You are using a 64-bit platform. As a result, the DSN was created by using the 64-bit ODBC Manager.

Solution:

You can verify the DSN-related issues in the arcotcommon.ini file. If the problem is DSN-related, then:

- 1. To resolve the first issue, ensure that the DSN is a System DSN. To do so:
 - a. Open the Control Panel, navigate to Administrative Tools, and Data Sources (ODBC).
 - b. Activate the System DSN tab, and verify that your DSN exists here. If not, then you must re-create the DSN with the same name as earlier.
 - c. Restart the service.
- 2. To resolve the second issue (if you are using a 64-bit platform), use the 32-bit version of the ODBC Manager. On Windows, you will find the 32-bit version at C:\Windows\SysWOW64.

Note: For detailed information about arcotcommon.ini and other configuration files, see appendix, "Configuration Files and Options" in the *CA AuthMinder Installation and Configuration Guide*.

Problem:

I cannot start the AuthMinder Server (Arcot WebFort Authentication Service). The error message indicates that the service starts and stops automatically.

Cause:

A possible cause for this issue might be that you specified details for a Database during installation, but the data source was not created successfully.

Solution:

To resolve this issue:

- 1. Verify if there is a corresponding entry for the DSN in arcotcommon.ini.
 - If entry not found, manually create the DSN.
- 2. If you found the entry, then clean up the database (see "Uninstalling AuthMinder Schema" (see page 169)) and reseed the database, as described in section, "Running Database Scripts" (see page 70).
- 3. Restart the AuthMinder Server.

Problem:

When I launch the Administration Console for the first time (section, "Performing the Bootstrapping Tasks") as the Master Administrator, I see the following message:

The server encountered an internal error that prevented it from fulfilling this request."

I see the following error in the arcotadmin.log file: adminLog: java.lang.UnsatisfiedLinkError: no ArcotAccessKeyProvider in java.library.path

Cause:

The JAVA library does not include the path to one of the following files:

- ArcotAccessKeyProvider.dll
- arcot-crypto-util.jar

Solution:

Do the following:

- 1. Ensure that the PATH variable includes the absolute path to the following files:
 - ArcotAccessKeyProvider.dll
 - arcot-crypto-util.jar
- 2. Restart the application server.

Problem:

I do not see the log file (arcotadmin.log, arcotuds.log, or webfortserver.log) in the logs directory in ARCOT_HOME.

Cause:

Some of the probable causes for this issue might be:

- ARCOT_HOME might not be set correctly during installation.
- The application server JAVA HOME might be pointing to JRE instead of the JDK HOME.

Solution:

To resolve these issues, you must:

- Ensure that you reset the ARCOT_HOME to point to the correct location. Typically, this is <installation_location>\Arcot Systems\.
 - As a result of this, when you use the cd %ARCOT_HOME% command in the command prompt window, your current directory must change to <installation_location>\Arcot Systems\.
- Ensure that you copy the ArcotAccessKeyProvider.dll and arcot-crypto-util.jar files in the application server JAVA HOME location.

Problem:

I deployed the UDS, but it is not coming up.

Cause:

One of the possible causes might be that the application server JAVA HOME might be pointing to JRE instead of the JDK HOME.

Solution:

Ensure that you have copied the ArcotAccessKeyProvider.dll and arcot-crypto-util.jar files in the application server JAVA HOME location.

Problem:

Connection to the UDS fails. I see the following error message: Unable to contact User Data Service

Cause:

The possible causes might be:

- You might not have specified the UDS Host, Port, and Application Context information for UDS correctly.
- The UDS service might not be initialized.

Solution:

To resolve this issue:

- Verify whether the UDS information that you have provided in the "User Data Service Configuration" page of the Administration Console is correct. The details in Host, Port, and Application Context fields must be correct.
- 2. Check the UDS log file to ensure that the service was initialized successfully.

Database-Related Errors

Problem:

Connection to the database fails with the following entry in the AuthMinder Server log file:

ReportError: SQL Error State:08001, Native Error Code: 30FD, ODBC Error: [DataDirect][ODBC Oracle driver][Oracle]ORA-12541: TNS:no listener

Solution:

Check for the following:

- Listener service on your database server.
- The TNSnames.ora file settings on the system where AuthMinder Server is installed.

Problem:

Connection to the database fails with the following entry in the AuthMinder Server log file:

TNS:listener could not resolve SERVICE_NAME given in connect descriptor

Solution:

Check for the following:

- Database is started. If it is not, you will get the above message.
- If the database is running, probably the database has not registered yet with the listener. This occurs when the database or listener just starts up. Normally this problem should be solved by waiting a minute or so.
- If you are using static registration, ensure the SERVICE_NAME entry used in the connection string (TNSNAMES.ORA, NAMES, OID, ...) matches a valid service know by the listener.
- You can use C:>tnsping SERVICE_NAME to check the status or C:>Isnrctl services to verify all the services known to listener.

Problem:

Connection to the database fails with the following entry in the AuthMinder Server log file:

Database password could not be obtained from securestore.enc

Cause:

The database details might not be available in securestore.enc file.

Solution:

Use the DBUtil tool to update the securestore.enc file with the database details. See *CA AuthMinder Administration Guide* for more information about how to use DBUtil.

Problem:

Connection to the database fails with the following entry in the AuthMinder Server log file:

ORA-03113: end-of-file on communication channel

Cause:

This is a generic error that indicates that the connection has been lost. This can be caused by many reasons such as:

- Network issues or problems
- Forceful disconnection of a Server session
- Oracle Database crash
- Database Server crash
- Oracle internal errors, such as ORA-00600 or ORA-07445, causing aborts
- Oracle Client or TNS layer inability to handle the connections

Solution:

Check for the possible causes mentioned in the preceding list.

AuthMinder Server Errors

Problem:

I am trying to start AuthMinder Server, but it is not coming up. The last lines in arcotwebfortstartup.log shows the following error:

WARN STARTUP -161388848 00WFMAIN - [11]: Protocol module [SVRMGMT_WS] received portType error [bind: Address already in use]

Cause:

The possible cause for this issue is that the Server Management Port (Default Port Number: 9743) is already open on the host by some other process. While, AuthMinder Server requires a minimum of Server Management port to start up.

Solution:

Do the following:

- 1. Open the command prompt window.
- 2. Navigate to %ARCOT_HOME%\bin.
- 3. Run the following command:

arwfserver -i

4. Enter setsvrmgmtport <new_port_number>

After the Server Management port is set, the Master Administrator can log in to the Administration Console and can configure the other ports.

Problem:

RADIUS requests fail with the "Access-Reject" message.

Cause:

Check for the following:

- Shared secret is configured correctly.
- AuthMinder Server logs for any errors.

Solution:

Log in to the Administration Console as Global Administrator or Organization and set the shared secret using the RADIUS Configuration page.

Problem:

ArcotID PKI authentication fails with the following entry in the AuthMinder Server log file:

[Arcot Exception,No valid issuer certificate is available for this certificate: unknown or invalid certificate issuer in ArcotVerifier], Challenge verification failed -

Cause:

The Domain Key may have expired.

Solution:

Log in to the Administration Console as Global Administrator or Organization and configure the Domain Key using the Credential Key Management Configuration page.