

COMPLIANCE EVENT MANAGER 6.0
CA RS 2307 Service List

1

Service	Description	Type
LU09203	CEM BEST PRACTICES POLICY SAMPLES	PTF
LU09898	CEM USABILITY IMPROVEMENTS - BACKEND MAY'23	** PRP **
LU09899	CEM USABILITY IMPROVEMENTS - FRONTEND MAY'23	PTF
LU10445	SECURITY OR INTEGRITY PROBLEM	** PRP **
LU10613	PROCESSING ERROR USING DSNLISTS IN POLICY CRITERIA	PTF
The CA RS 2307 service count for this release is 5		

COMPLIANCE EVENT MANAGER
CA RS 2307 Service List for CCEM600

2

FMID	Service	Description	Type
CCEM600	LU09203	CEM BEST PRACTICES POLICY SAMPLES	PTF
	LU09898	CEM USABILITY IMPROVEMENTS - BACKEND MAY'23	** PRP **
	LU09899	CEM USABILITY IMPROVEMENTS - FRONTEND MAY'23	PTF
	LU10445	SECURITY OR INTEGRITY PROBLEM	** PRP **
	LU10613	PROCESSING ERROR USING DSNLISTS IN POLICY CRITERIA	PTF
The CA RS 2307 service count for this FMID is 5			

Service	Details
LU09203	LU09203 M.C.S. ENTRIES = ++PTF (LU09203) REWORK(2023178) CEM BEST PRACTICES POLICY SAMPLES ENHANCEMENT DESCRIPTION: This maintenance introduces new out of-the-box CEM Best Practice policies to continuously monitor the top recommended security best practices. These policies help you implement monitoring quickly and effectively. PRODUCT(S) AFFECTED: COMPLIANCE EVENT MANAGER Version 6.0 Related Problem: CEVM 19739 (C) 2023 Broadcom Inc and/or its subsidiaries; All rights reserved R00263-CEM060 DESC(CEM BEST PRACTICES POLICY SAMPLES). ++VER (Z038) FMID (CCEM600) PRE (LU04832 S011940) SUP (LT09203) ++HOLD (LU09203) SYSTEM FMID(CCEM600) REASON (ACTION) DATE (23178) COMMENT (<pre> +-----+ COMPLIANCE EVENT MANAGER Version 6.0 +-----+ SEQUENCE After Apply +-----+ PURPOSE Implement CEM Best Practices policies. +-----+ USERS All users who want to add the Best Practices policies AFFECTED to their Policy database. +-----+ KNOWLEDGE SMP/e REQUIRED JCL +-----+ ACCESS Access to CEM installation and deployment libraries. REQUIRED +-----+ ***** * STEPS TO PERFORM * ***** A. If the CEM Tomcat, LDAP, or policy server tasks are up, bring them down. B. Edit the CEMEMAPA job in your CUSTOM.JOBLIB data set. 1. Change the SYSIN DD to your CEM_HLQ.CEM_MLQ.CCEMOPTV data set if it is not already there. 3. Modify the CEMEMAPA job in your CUSTOM.JOBLIB to specify CEMPSE01 in the SYSIN DD statement if not already there. 3. Submit the CEMEMAPA job to add the new Best Practices policies. C. Restart and Tomcat, LDAP, or policy server tasks that were brought down earlier.).</pre>

Service	Details
LU09898	M.C.S. ENTRIES = ++PTF (LU09898) REWORK(2023178) CEM USABILITY IMPROVEMENTS - BACKEND MAY'23 ENHANCEMENT DESCRIPTION: Includes backend support for UI navigation improvements and Policy Status state indicator for each active task in the UI. Add several features to ISV SCRT report. Also adds a new console command (f CEMxxxxx,VARS) to display all user variables and their values. For complete support for the UI improvements, LU09899 is also needed. PRODUCT(S) AFFECTED: COMPLIANCE EVENT MANAGER Version 6.0 Related Problem: CEVM 20257 (C) 2023 Broadcom Inc and/or its subsidiaries; All rights reserved R00268-CEM060 DESC(CEM USABILITY IMPROVEMENTS - BACKEND MAY'23). ++VER (Z038) FMID (CCEM600) PRE (LU01451 LU01499 LU02322 LU02509 LU03004 LU03376 LU03395 LU03547 LU04604 LU04811 LU04832 LU04968 LU05003 LU05436 LU06536 LU07383 LU08341 LU08712 LU08875 R093241 R093955 R095120 R095914 R096808 R097117 R097806 R099004 S001579 S001786 S004429 S005780 S008567 S010112 S010673 S011330 S011339 S011340 S011940 S012260 S013759 S015246 S015548) SUP (LT02165 LT03083 LT03141 LT03272 LT03460 LT04465 LT05212 LT05795 LT06865 LT07014 LT07365 LT08381 LT09727 LT09898 LU02165 LU03083 LU03141 LU03272 LU03460 LU04465 LU05212 LU05795 LU06865 LU07014 LU08381 LU09727 R094299 R094481 R097563 R099900 S000971 S001442 S004214 S004651 S004968 S005263 S005403 S006108 S007336 S007764 S007933 S008290 S009259 S009713 S013117 S014805 S014976 ST00971 ST01442 ST04214 ST04651 ST04968 ST05263 ST05403 ST06108 ST07336 ST07764 ST07933 ST08290 ST09259 ST09713 ST13117 ST14805 ST14976 ST15189 TR94299 TR94481 TR96615 TR97563 TR99900) ++HOLD (LU09898) SYSTEM FMID(CCEM600) REASON (ACTION) DATE (23178) COMMENT (+-----+ COMPLIANCE EVENT MANAGER Version 6.0 +-----+ SEQUENCE After Apply +-----+ PURPOSE Activate updates. +-----+ USERS All users of Compliance Event Manager. +-----+ AFFECTED +-----+ KNOWLEDGE SMP/e, console commands +-----+ REQUIRED +-----+ ACCESS Access to SMP/e and console commands. +-----+ REQUIRED

Service	Details
	<pre>+-----+-----+ ***** * STEPS TO PERFORM * ***** 1. Restart all listener tasks (Alert, Logger, Warehouse and Monitor) or issue the following console commands for each active listener task: - F CEMxxxxx,RELOAD ECALCMAP - F CEMxxxxx,RELOAD ECALCSTS - F CEMxxxxx,RELOAD ECALCMGR) .</pre>

COMPLIANCE EVENT MANAGER 6.0
CA RS 2307 - PTF LU09899 Details

6

Service	Details	
LU09899	LU09899 M.C.S. ENTRIES = ++PTF (LU09899) REWORK(2023178)	
	CEM USABILITY IMPROVEMENTS - FRONTEND MAY'23	
	ENHANCEMENT DESCRIPTION:	
	This maintenance introduces the following enhancements to the Compliance Event Manager User Interface (UI):	
	- UI improvements to allow for easier navigation between related policy objects.	
	- Additional information about the status of the policy set that a listener is using to indicate whether a refresh of the listener is needed.	
	- Additional policy objects administered in the UI using REST APIs.	
	- Additional PDS Delta information in PDS Monitor reports for Datacom databases. To enable the display of this information, Datacom PTFs LU09474 and LU09475 must be applied to the environment being queried for PDS delta data.	
	PRODUCT(S) AFFECTED:	
	COMPLIANCE EVENT MANAGER	Version 6.0
	Related Problem:	
	CEVM 20256	
	(C) 2023 Broadcom Inc and/or its subsidiaries; All rights reserved	
	R00269-CEM060	
	DESC(CEM USABILITY IMPROVEMENTS - FRONTEND MAY'23).	
	++VER (Z038)	
	FMID (CCEM600)	
	PRE (LU01451 LU01499 LU02828 LU03395 LU03547 LU04832 LU05003 LU05436 LU05494 LU05549 LU05619 LU07383 LU08712 LU08875 LU09447 LU09898 R093245 R093955 R095234 R097117 R097806 R099364 S001523 S001579 S007773 S008567 S009251 S009872 S010112 S010140 S010559 S011702 S011940 S014934 S015246)	
	SUP (KC93748 LT03993 LT04689 LT05803 LT06594 LT09897 LT09899 LU03993 LU04689 LU06594 R099129 S001341 S001464 S004400 S004778 S006184 S009212 S009475 S009750 S011588 S012941 S013528 S013864 S013948 S014279 S015494 ST01341 ST01464 ST04400 ST04778 ST06184 ST07311 ST08046 ST09212 ST09475 ST09750 ST11588 ST12941 ST13528 ST13864 ST13948 ST14279 ST15494 TR99129)	
	++HOLD (LU09899) SYSTEM FMID(CCEM600)	
	REASON (ACTION) DATE (23178)	
	COMMENT (	
	+-----+-----+-----+	
	COMPLIANCE EVENT MANAGER	Version 6.0
	+-----+-----+-----+	
	SEQUENCE	Both before Apply and after Apply
	+-----+-----+-----+	
	PURPOSE	Apply and activate updates.
	+-----+-----+-----+	
	USERS	All users of Compliance Event Manager.
	AFFECTED	
	+-----+-----+-----+	
	KNOWLEDGE	SMP/e, operator commands.
	REQUIRED	
	+-----+-----+-----+	

Service	Details
	<pre> ACCESS Access to SMP/e and console commands. REQUIRED +-----+-----+ ***** * STEPS TO PERFORM * ***** Before applying the APAR A. Shut down the CEMETOM started task. After applying the APAR A. If none of the installation, deployment, customization and configuration steps have been completed, no special action is needed. You can proceed with those steps. B. If an installation configuration and deployment was done: 1. If desired, make a backup copy of the runtime libraries. 2. Deploy the updated SMPE target libraries to your runtime dataset names. 3. Refer to the 'Deploy Product Maintenance' section in the Compliance Event Manager documentation for assistance. C. Restart the CEMETOM started task.). PARM(PATHMODE(0,7,7,5)) SHSCRIPT(CEMETOMS,POST). SHSCRIPT(CEMETOMS,POST). SHSCRIPT(CEMETOMS,POST). </pre>

COMPLIANCE EVENT MANAGER 6.0
CA RS 2307 - PTF LU10445 Details

8

Service	Details
LU10445	LU10445 M.C.S. ENTRIES = ++PTF (LU10445) REWORK(2023173) SECURITY OR INTEGRITY PROBLEM PROBLEM DESCRIPTION: Security or Integrity Problem. For more details access Security Advisories using the following URL: support.broadcom.com/security-advisory/security-advisories-list.html Broadcom recommends that you subscribe to notifications for Security Advisories for the associated products that you support in your organization. Please use the following URL to register for proactive notifications: https://support.broadcom.com/user/notifications.html SYMPTOMS: N/A IMPACT: Security or Integrity Problem. CIRCUMVENTION: N/A PRODUCT(S) AFFECTED: COMPLIANCE EVENT MANAGER Version 6.0 Related Problem: CEVM 20671 (C) 2023 Broadcom Inc and/or its subsidiaries; All rights reserved R00270-CEM060 DESC(SECURITY OR INTEGRITY PROBLEM). ++VER (Z038) FMID (CCEM600) PRE (LU03246 LU04832 R099364 S001579 S007773 S010140) SUP (BC93748 CC93748 IC93748 LC93748 LT01152 LT01520 LT02106 LT03875 LT05638 LT06203 LT07467 LT08530 LT09326 LT10445 LU01152 LU01520 LU02106 LU06203 LU07467 LU08530 LU09326 MC93748 R093976 S013737 S014799 ST13737 ST14799 TR93976) ++HOLD (LU10445) SYSTEM FMID(CCEM600) REASON (DYNACT) DATE (23173) COMMENT (COMPLIANCE EVENT MANAGER Version 6.0 SEQUENCE Before Accept PURPOSE Tomcat Upgrade To Version 9.0.75 USERS All Users. AFFECTED KNOWLEDGE SMP/E. REQUIRED Product Installation. Product Deployment. USS Commands. ACCESS Access to USS directory where CA Compliance Event REQUIRED Manager is installed. *****

Service	Details
	* STEPS TO PERFORM * ***** Before applying the APAR A. Shut down the CEMETOM started task. After applying the APAR A. If none of the installation, deployment, customization and configuration steps have been completed, no special action is needed. You can proceed with those steps. B. If an installation deployment was done: 1. If desired, make a backup copy of the runtime libraries. 2. Deploy the updated SMPE target libraries to your runtime dataset names. 3. Refer to the 'Deploy Product Maintenance' section in the Compliance Event Manager documentation for assistance. C. Restart the CEMETOM started task.). PARM(PATHMODE(0,7,7,5)) SHSCRIPT(CEMETOMS,POST).

Service	Details
LU10613	LU10613 M.C.S. ENTRIES = ++PTF (LU10613) REWORK(2023179) PROCESSING ERROR USING DSNLISTS IN POLICY CRITERIA PROBLEM DESCRIPTION: A Policy statement that uses the DSNLIST operator may not match an event that should be processed. SYMPTOMS: Listeners that use policy with DSNLISTs may not process events that should be processed. IMPACT: Events that should be processed are not captured in CEM. If you have policy that is currently using the DSNLIST operator, that policy may not be evaluating correctly for events that contain data set names that are in the corresponding DSNLIST. CIRCUMVENTION: Replace DSNLISTs with Group Lists (GRPLIST operator) or specify each data set in policy using the separate DSN List entry step. PRODUCT(S) AFFECTED: COMPLIANCE EVENT MANAGER Version 6.0 Related Problem: CEVM 20807 (C) 2023 Broadcom Inc and/or its subsidiaries; All rights reserved R00271-CEM060 DESC(PROCESSING ERROR USING DSNLISTS IN POLICY CRITERIA). ++VER (Z038) FMID (CCEM600) PRE (LU01451 LU01499 LU02165 LU02509 LU03141 LU03272 LU03395 LU03460 LU03547 LU04465 LU04604 LU04832 LU05212 LU05436 LU05795 LU07383 LU08712 LU08875 LU09898 R093955 R094299 R094481 R096808 R097117 R097563 R097806 R099900 S000971 S001442 S001579 S001786 S004214 S004651 S004968 S005263 S005403 S005780 S006108 S007336 S007764 S007933 S008290 S008567 S009259 S009713 S010112 S010673 S011339 S011340 S011940 S012260 S013117 S014805 S014976 S015246) SUP (CL08875 LT02165 LT03141 LT03272 LT03460 LT04465 LT05212 LT05795 LT06865 LT07014 LT07365 LT10613 LU06865 LU07014 ST00971 ST01442 ST04214 ST04651 ST04968 ST05263 ST05403 ST06108 ST07336 ST07764 ST07933 ST08290 ST09259 ST09713 ST13117 ST14805 ST14976 ST15189 TR94299 TR94481 TR96615 TR97563 TR99900) MCS LU09203 STARTS ON PAGE 0002 MCS LU09898 STARTS ON PAGE 0003 MCS LU09899 STARTS ON PAGE 0005 MCS LU10445 STARTS ON PAGE 0007 MCS LU10613 STARTS ON PAGE 0009

COMPLIANCE EVENT MANAGER 6.0
CA RS 2307 Product/Component Listing

11

Product Family	Product	Release
Security	CA COMPLIANCE EVENT MANAGER	06.00.00
The CA RS 2307 Product/Component Count for this release is 1		

CA RS Level	Service	FMID
CAR2307	LU10613	CCEM600
	LU10445	CCEM600
	LU09899	CCEM600
	LU09898	CCEM600
	LU09203	CCEM600
CAR2306	LU09447	CCEM600
	LU08875	CCEM600
	LU08341	CCEM600
CAR2305	LU09727	CCEM600
	LU09093	CCEM600
	LU02888	CCEM600
CAR2304	LU09326	CCEM600
CAR2303	LU09141	CCEM600
CAR2302	LU08712	CCEM600
	LU08530	CCEM600
	LU08233	CCEM600
	LU07862	CCEM600
	LU07383	CCEM600
CAR2301	LU08381	CCEM600
CAR2212	LU07698	CCEM600
CAR2211	LU07467	CCEM600
CAR2210	LU07277	CCEM600
	LU07014	CCEM600
	LU06865	CCEM600
CAR2209	LU06536	CCEM600
CAR2208	LU06594	CCEM600
	LU05795	CCEM600
	LU05593	CCEM600
CAR2207	LU06203	CCEM600
	LU05619	CCEM600
	LU05549	CCEM600
	LU05436	CCEM600
	LU04877	CCEM600
	LU04058	CCEM600
CAR2206	LU05972	CCEM600
	LU05165	CCEM600
CAR2205	LU05494	CCEM600
	LU05212	CCEM600
	LU05003	CCEM600
	LU03611	CCEM600
	LU02569	CCEM600
CAR2204	LU04968	CCEM600
	LU04832	CCEM600
	LU04811	CCEM600
CAR2203	LU04689	CCEM600
	LU04604	CCEM600
	LU04512	CCEM600

CA RS Level	Service	FMID
	LU04465	CCEM600
	LU04297	CCEM600
	LU03993	CCEM600
	LU03604	CCEM600
CAR2202	LU03547	CCEM600
CAR2201	LU03968	CCEM600
	LU03460	CCEM600
	LU03246	CCEM600
CAR2112	LU03398	CCEM600
	LU03395	CCEM600
	LU03376	CCEM600
	LU03004	CCEM600
	LU02828	CCEM600
CAR2111	LU03272	CCEM600
	LU03141	CCEM600
	LU03083	CCEM600
	LU02948	CCEM600
CAR2109	LU02509	CCEM600
	LU02322	CCEM600
	LU02312	CCEM600
	LU02274	CCEM600
	LU02237	CCEM600
	LU02165	CCEM600
	LU02068	CCEM600
	LU01499	CCEM600
CAR2108	LU02106	CCEM600
	LU01692	CCEM600
	LU01451	CCEM600
	LU01315	CCEM600
	LU00731	CCEM600
CAR2107	S015494	CCEM600
	S015246	CCEM600
	S014934	CCEM600
	LU01520	CCEM600
	LU01358	CCEM600
CAR2106	LU01152	CCEM600
CAR2104	LU00621	CCEM600
CAR2103	S016311	CCEM600
	S015399	CCEM600
CAR2102	S015548	CCEM600
CAR2012	S014976	CCEM600
	S014799	CCEM600
CAR2011	S015278	CCEM600
	S015208	CCEM600
	S015153	CCEM600
CAR2010	S014805	CCEM600
	S014784	CCEM600

COMPLIANCE EVENT MANAGER 6.0
All CA RS Levels Service List

CA RS Level	Service	FMID
	S014689	CCEM600
	S013759	CCEM600
CAR2009	S014496	CCEM600
	S014421	CCEM600
	S014279	CCEM600
	S013948	CCEM600
	S013864	CCEM600
	S013737	CCEM600
	S013528	CCEM600
	S013475	CCEM600
	S012260	CCEM600
CAR2007	S013656	CCEM600
	S013155	CCEM600
	S013117	CCEM600
	S012941	CCEM600
	S012780	CCEM600
CAR2005	S012259	CCEM600
	S011940	CCEM600
	S011702	CCEM600
CAR2003	S011845	CCEM600
	S011588	CCEM600
CAR2002	S011340	CCEM600
	S011339	CCEM600
	S011336	CCEM600
	S010559	CCEM600
CAR2001	S011330	CCEM600
	S011024	CCEM600
	S010977	CCEM600
	S010673	CCEM600
CAR1911	S010140	CCEM600
	S010112	CCEM600
CAR1910	S009872	CCEM600
	S009750	CCEM600
	S009686	CCEM600
	S009475	CCEM600
	S009433	CCEM600
	S009189	CCEM600
	S009098	CCEM600
	S007185	CCEM600
CAR1909	S009713	CCEM600
CAR1908	S009349	CCEM600
	S009259	CCEM600
	S009251	CCEM600
	S009212	CCEM600
	S009127	CCEM600
	S008987	CCEM600
	S008567	CCEM600

CA RS Level	Service	FMID
	S008290	CCEM600
CAR1907	S008400	CCEM600
CAR1906	S007933	CCEM600
	S007773	CCEM600
	S007764	CCEM600
	S007464	CCEM600
	S007336	CCEM600
CAR1905	S008023	CCEM600
	S007962	CCEM600
CAR1904	S007611	CCEM600
	S007462	CCEM600
	S007246	CCEM600
	S005455	CCEM600
CAR1903	S006184	CCEM600
CAR1902	S006689	CCEM600
	S006607	CCEM600
	S006260	CCEM600
	S006108	CCEM600
	S005927	CCEM600
	S005848	CCEM600
	S005262	CCEM600
	S004429	CCEM600
CAR1901	S005780	CCEM600
	S005403	CCEM600
	S005263	CCEM600
CAR1812	S004968	CCEM600
	S004778	CCEM600
	S004399	CCEM600
	S001860	CCEM600
	R099634	CCEM600
	R098690	CCEM600
CAR1811	S004400	CCEM600
CAR1809	S004998	CCEM600
	S004920	CCEM600
	S001867	CCEM600
CAR1808	S004651	CCEM600
	S004214	CCEM600
CAR1807	S001786	CCEM600
	S001579	CCEM600
	S001523	CCEM600
	S001464	CCEM600
	S001442	CCEM600
	S001341	CCEM600
	S000971	CCEM600
	R099900	CCEM600
CAR1805	R096988	CCEM600
CAR1804	R099364	CCEM600

CA RS Level	Service	FMID
CAR1803	R099004	CCEM600
CAR1801	R099129	CCEM600
	R097806	CCEM600
CAR1712	R098165	CCEM600
	R097563	CCEM600
CAR1711	R097117	CCEM600
CAR1710	R096808	CCEM600
	R096796	CCEM600
	R095914	CCEM600
	R095318	CCEM600
	R095234	CCEM600
	R095120	CCEM600
	R094840	CCEM600
	R094808	CCEM600
	R094481	CCEM600
	R094299	CCEM600
	R093976	CCEM600
	R093955	CCEM600
	R093570	CCEM600
	R093245	CCEM600
	R093241	CCEM600